

# Brochure Supplement

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## **WCM Investment Management, LLC**

**281 Brooks Street**

**Laguna Beach, CA 92651-2874**

**(949) 380-0200**

**[www.wcminvest.com](http://www.wcminvest.com)**

**For:**

**Sanjay Ayer**

**281 Brooks Street**

**Laguna Beach, CA 92651-2874**

**(949) 380-0200**

This brochure supplement provides information about Sanjay Ayer that supplements WCM's brochure. You should have received a copy of that brochure. Please contact us at (949) 380-0200, or at [learnmore@wcminvest.com](mailto:learnmore@wcminvest.com) if you did not receive a copy of WCM's brochure or if you have any questions about the contents of this supplement.

*As of: March 22, 2021*

# Sanjay Ayer

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## Education, Background and Business Experience

Sanjay was born in 1981. He graduated Phi Beta Kappa from John Hopkins University with a B.A. in Economics and B.S. in Applied Mathematics in 2002.

Sanjay joined WCM in 2007. He has been a key owner at WCM since 2016. As Portfolio Manager and Business Analyst, Sanjay's primary responsibilities are portfolio management and equity research for our international and global growth strategies. Prior to joining WCM, Sanjay worked in the investment industry since 2006 in positions including an equity analyst at Morningstar, Inc. in Chicago, where he covered the gaming, cruise and online travel industries. Additionally, he held a position at J. & W. Seligman & Co. in New York as a performance analyst.

## Professional Designations

Sanjay is a CFA® charter holder, having earned the charter in 2006. The Chartered Financial Analyst (CFA) program is a course of education and testing for finance and investment professionals, particularly in the fields of investment management and financial analysis of stocks, bonds and their derivative assets. The program focuses on portfolio management and financial analysis, and provides a general knowledge of other areas of finance. The designation is an international professional certification offered by the CFA Institute. To earn the CFA charter, candidates must: 1) pass three sequential, six-hour examinations; 2) have at least four years of qualified professional investment experience; 3) join CFA Institute as members; and 4) commit to abide by, and annually reaffirm, their adherence to the CFA Institute Code of Ethics and Standards of Professional Conduct.

## Disciplinary Information

Sanjay does not have any disciplinary history.

## Other Business Activities

Sanjay is not actively engaged in any other investment-related business or industry activities.

## Additional Compensation

Sanjay does not receive any additional compensation outside his regular compensation from WCM.

## Supervision

Sanjay is a member of an Investment Strategy Group (ISG) for our international and global growth strategies, and all investment portfolio decisions are based on consensus decisions by the ISG. All client portfolios in a strategy are managed identically except for individual client restrictions. All client correspondence is maintained in our client files. Portfolios decisions, client restrictions and client files are reviewed by the Compliance Team headed by the CCO, David Brewer. All investment advice provided to clients is supervised by the Leadership Team.

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**281 Brooks Street**

**Laguna Beach, CA 92651-2874**

**(949) 380-0200**

**[www.wcminvest.com](http://www.wcminvest.com)**

**For:**

**Paul Black**

**CRD #2513132**

**281 Brooks Street**

**Laguna Beach, CA 92651-2874**

**(949) 380-0200**

This brochure supplement provides information about Paul Black that supplements WCM's brochure. You should have received a copy of that brochure. Please contact us at (949) 380-0200, or at [learnmore@wcminvest.com](mailto:learnmore@wcminvest.com) if you did not receive a copy of WCM's brochure or if you have any questions about the contents of this supplement.

Additional information about Paul Black is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

*As of: March 22, 2021*

# Paul Black

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## Education, Background and Business Experience

Paul was born in 1958. He received his B.S. in Finance from California State University, San Diego in 1983.

Paul joined WCM in 1989. He has been a Principal at WCM since 1998 and is the President and Co-CEO of the firm. As Portfolio Manager, Paul's primary is portfolio management for our international and global growth strategies. Prior to joining WCM in 1989, Paul was a Portfolio Manager with Wells Fargo Private Banking Group and Bank of America. At WCM, Paul has helped define the firm's investment strategy and pilot the firm's direction. In addition to his active role in portfolio management and security selection, he has also served as a client liaison for the communication of investment decisions and strategy.

## Disciplinary Information

Paul does not have any disciplinary history.

## Other Business Activities

Paul is not actively engaged in any other investment-related business or industry activities.

## Additional Compensation

Paul does not receive any additional compensation outside his regular compensation from WCM.

## Supervision

Paul is a member of an Investment Strategy Group (ISG) for our international and global growth strategies, and all investment portfolio decisions are based on consensus decisions by the ISG. All client portfolios in a strategy are managed identically except for individual client restrictions. All client correspondence is maintained in our client files. Portfolios decisions, client restrictions and client files are reviewed by the Compliance Team headed by the CCO, David Brewer. All investment advice provided to clients is supervised by the Leadership Team.

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**281 Brooks Street**

**Laguna Beach, CA 92651-2874**

**(949) 380-0200**

**[www.wcminvest.com](http://www.wcminvest.com)**

**For:**

**Duff Daniels**

**CRD #2198096**

**281 Brooks Street**

**Laguna Beach, CA 92651-2874**

**(949) 380-0200**

This brochure supplement provides information about Duff Daniels that supplements WCM's brochure. You should have received a copy of that brochure. Please contact us at (949) 380-0200, or at [learnmore@wcminvest.com](mailto:learnmore@wcminvest.com) if you did not receive a copy of WCM's brochure or if you have any questions about the contents of this supplement.

Additional information about Duff Daniels is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

*As of: March 22, 2021*

# Duff Daniels

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## Education, Background and Business Experience

Duff was born in 1968. He received his B.A. in English from Santa Clara University, in 1990.

Duff joined WCM in 2001. As Portfolio Specialist, Duff is responsible for communicating the firm's investment decisions and strategies while developing and servicing business relationships with investment consultants, EB plan sponsors, endowments/foundations and family offices. Prior to joining WCM, Duff began his career in 1995 in the investment industry in roles such as portfolio and relationship management with Van Deventer & Hoch Investment Counsel, and with Bank of America Private Bank.

## Disciplinary Information

Duff does not have any disciplinary history.

## Other Business Activities

Duff also acts as registered representative for IMST Distributors, LLC, a registered broker-dealer, for the purpose of selling WCM mutual funds and private funds.

## Additional Compensation

Beyond the regular compensation, Duff also receives a share of the revenues from WCM or IMST Distributors for each new client he brings to WCM. This presents no conflict of interest as there is no incentive for Duff to recommend one WCM investment vehicle over another based on the compensation received, because the fee sharing arrangements are the same for all vehicles. Recommendations are made based on client's needs and eligibility.

## Supervision

Duff is supervised by the Leadership Team.

# Brochure Supplement

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**281 Brooks Street**

**Laguna Beach, CA 92651-2874**

**(949) 380-0200**

**[www.wcminvest.com](http://www.wcminvest.com)**

**For:**

**Rick DeMuesy**

**281 Brooks Street**

**Laguna Beach, CA 92651-2874**

**(949) 380-0200**

This brochure supplement provides information about Rick DeMuesy that supplements WCM's brochure. You should have received a copy of that brochure. Please contact us at (949) 380-0200, or at [learnmore@wcminvest.com](mailto:learnmore@wcminvest.com) if you did not receive a copy of WCM's brochure or if you have any questions about the contents of this supplement.

*As of: March 22, 2021*

# Rick DeMuesy

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## Education, Background and Business Experience

Rick was born in 1957. He received a B.S. in Marketing from the University of Findlay in 1979.

Rick joined WCM in 2012. As Portfolio Specialist, Rick is responsible for communicating the firm's investment decisions and strategies while developing and servicing business relationships with EB plan sponsors, investment consultants and endowments/foundations. Rick began his career in 1986 and served in positions such as Vice President, Western Sales Direct with Hachette Filiipacchi Media/Hearst Magazines (June 2004 – February 2011), and other positions in business and consumer media, publishing, multi-platform communications, and sales and marketing management.

## Disciplinary Information

Rick does not have any disciplinary history.

## Other Business Activities

Rick is not actively engaged in any other investment-related business or industry activities.

## Additional Compensation

Beyond the regular compensation, Rick also receives a share of the revenues from each new client he brings to the firm.

## Supervision

Rick is supervised by the Leadership Team.



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## **WCM Investment Management, LLC**

**281 Brooks Street**

**Laguna Beach, CA 92651-2874**

**(949) 380-0200**

**[www.wcminvest.com](http://www.wcminvest.com)**

**For:**

**Jon Detter**

**3825 Edwards Road, Suite 620**

**Cincinnati, OH 45209**

**(949) 380-0200**

This brochure supplement provides information about Jon Detter that supplements WCM's brochure. You should have received a copy of that brochure. Please contact us at (949) 380-0200, or at [learnmore@wcminvest.com](mailto:learnmore@wcminvest.com) if you did not receive a copy of WCM's brochure or if you have any questions about the contents of this supplement.

*As of: March 22, 2021*

# Jon Detter

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## Education, Background and Business Experience

Jon was born in 1978. He graduated magna cum laude from Xavier University with a B.S.B.A. in Finance, in 2000.

Jon joined WCM in 2016. As Portfolio Manager, and member of the Portfolio Management Team for the firm's domestic value strategies, Jon's primary responsibilities are portfolio management, equity research and client service. His career in the investment industry began in 2003 where he was a Principal and Portfolio Manager at Opus Capital Management. Jon also held positions at Valuation Research Corporation and Arthur Andersen LLP, where he performed valuation work for public and private companies, primarily with respect to equity and option valuations, purchase price allocations, intangible assets and asset impairment testing.

## Professional Designations

Jon is a CFA® charter holder, having earned the charter in 2006. The Chartered Financial Analyst (CFA) program is a course of education and testing for finance and investment professionals, particularly in the fields of investment management and financial analysis of stocks, bonds and their derivative assets. The program focuses on portfolio management and financial analysis, and provides a general knowledge of other areas of finance. The designation is an international professional certification offered by the CFA Institute. To earn the CFA charter, candidates must: 1) pass three sequential, six-hour examinations; 2) have at least four years of qualified professional investment experience; 3) join CFA Institute as members; and 4) commit to abide by, and annually reaffirm, their adherence to the CFA Institute Code of Ethics and Standards of Professional Conduct.

## Disciplinary Information

Jon does not have any disciplinary history.

## Other Business Activities

Jon is not actively engaged in any other investment-related business or industry activities.

## Additional Compensation

Beyond his regular compensation, Jon receives a share of the product revenues of each strategy for which he is a Portfolio Manager.

## Supervision

Jon is a member of an Investment Strategy Group (ISG) for our domestic value strategies, and all investment portfolio decisions are based on consensus decisions by the ISG. All client portfolios in a strategy are managed identically except for individual client restrictions. All client correspondence is maintained in our client files. Portfolios decisions, client restrictions and client files are reviewed by the Compliance Team headed by the CCO, David Brewer. All investment advice provided to clients is supervised by the Leadership Team.

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**281 Brooks Street**

**Laguna Beach, CA 92651-2874**

**(949) 380-0200**

**[www.wcminvest.com](http://www.wcminvest.com)**

**For:**

**Pablo Echavarria**

**1786 Platte Street**

**Denver, CO 80202-1039**

**(949) 380-0200**

This brochure supplement provides information about Pablo Echavarria that supplements WCM's brochure. You should have received a copy of that brochure. Please contact David Brewer, CCO at (949) 380-0200, or at [learnmore@wcminvest.com](mailto:learnmore@wcminvest.com) if you did not receive a copy of WCM's brochure or if you have any questions about the contents of this supplement.

*As of: March 22, 2021*

# Pablo Echavarria

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## Education, Background and Business Experience

Pablo was born in 1984. He graduated summa cum laude from Drexel University with a B.S.B.A. in Finance and Accounting in 2007.

Pablo joined WCM in 2018. As Portfolio Manager, and member of the Portfolio Management Team for the firm's global ESG Strategies, Pablo's primary responsibilities are portfolio management, equity research and client service. His career in the investment industry began in 2007 where he was a Principal and Security Analyst at Turner Investments. Pablo also held positions as Equity Analyst and Associate Portfolio Managers at Thornburg Investment Management.

## Professional Designations

Pablo is a CFA® charter holder, having earned the charter in 2010. The Chartered Financial Analyst (CFA) program is a course of education and testing for finance and investment professionals, particularly in the fields of investment management and financial analysis of stocks, bonds and their derivative assets. The program focuses on portfolio management and financial analysis, and provides a general knowledge of other areas of finance. The designation is an international professional certification offered by the CFA Institute. To earn the CFA charter, candidates must: 1) pass three sequential, six-hour examinations; 2) have at least four years of qualified professional investment experience; 3) join CFA Institute as members; and 4) commit to abide by, and annually reaffirm, their adherence to the CFA Institute Code of Ethics and Standards of Professional Conduct.

## Disciplinary Information

Pablo does not have any disciplinary history.

## Other Business Activities

Pablo is not actively engaged in any other investment-related business or industry activities.

## Additional Compensation

Beyond his regular compensation, Pablo receives a share of the product revenues of each strategy for which he is a Portfolio Manager.

## Supervision

Pablo is a member of an Investment Strategy Group (ISG) for our international and global ESG strategies, and all investment portfolio decisions are based on consensus decisions by the ISG. All client portfolios in a strategy are managed identically except for individual client restrictions. All client correspondence is maintained in our client files. Portfolios decisions, client restrictions and client files are reviewed by the Compliance Team headed by the CCO, David Brewer. All investment advice provided to clients is supervised by the Leadership Team.

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**281 Brooks Street**

**Laguna Beach, CA 92651-2874**

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**[www.wcminvest.com](http://www.wcminvest.com)**

**For:**

**Drew French**

**281 Brooks Street**

**Laguna Beach, CA 92651-2874**

**(949) 380-0200**

This brochure supplement provides information about Drew French that supplements WCM's brochure. You should have received a copy of that brochure. Please contact us at (949) 380-0200, or at [learnmore@wcminvest.com](mailto:learnmore@wcminvest.com) if you did not receive a copy of WCM's brochure or if you have any questions about the contents of this supplement.

*As of: March 22, 2021*

# Drew French

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## Education, Background and Business Experience

Drew was born in 1984. He earned a B.A. in Communications from the University of California, San Diego.

Drew joined WCM in 2013. As a Portfolio Manager and Business Analyst, Drew's primary responsibilities are portfolio management and equity research for our global value strategies. During his career at WCM, Drew previously held several positions, including Marketing & Communications Manager and Portfolio Associate. Prior to joining WCM, Drew's experience included a position in the insurance industry as core claims representative with National Vendor, as well as various positions in office administration, training, and education in the U.S. and abroad.

## Disciplinary Information

Drew does not have any disciplinary history.

## Other Business Activities

Drew is not actively engaged in any other investment-related business or industry activities.

## Additional Compensation

Beyond his regular compensation, Drew receives a share of the product revenues of each strategy for which he is a Portfolio Manager.

## Supervision

Drew is a member of an Investment Strategy Group (ISG) for our global value strategies and all investment portfolio decisions are made by the ISG. All client portfolios in a strategy are managed identically except for individual client restrictions. All client correspondence is maintained in our client files. Portfolios decisions, client restrictions and client files are reviewed by the Compliance Team headed by the CCO, David Brewer. All investment advice provided to clients is supervised by the Leadership Team.

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**281 Brooks Street**

**Laguna Beach, CA 92651-2874**

**(949) 380-0200**

**[www.wcminvest.com](http://www.wcminvest.com)**

**For:**

**Anthony Glickhouse**

**3825 Edwards Road, Suite 620**

**Cincinnati, OH 45209**

**(949) 380-0200**

This brochure supplement provides information about Anthony Glickhouse that supplements WCM's brochure. You should have received a copy of that brochure. Please contact us at (949) 380-0200, or at [learnmore@wcminvest.com](mailto:learnmore@wcminvest.com) if you did not receive a copy of WCM's brochure or if you have any questions about the contents of this supplement.

*As of: March 22, 2021*



# Anthony Glickhouse

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## Education, Background and Business Experience

Anthony was born in 1983. He received his B.S. in Finance from Miami University in 2006.

Anthony joined WCM in 2016. As Portfolio Manager, and member of the Portfolio Management Team for the firm's domestic value strategies, Anthony's primary responsibilities are portfolio management, equity research and client service. He was a research analyst and portfolio manager at Opus Capital Management (since 2012). Anthony also held positions at The Private Client Reserve of U.S. Bank where he conducted economic / capital market research and detailed asset allocation analysis and Renaissance Investment Management where he performed operations and security analysis duties.

## Professional Designations

Anthony is a CFA® charter holder, having earned the charter in 2011. The Chartered Financial Analyst (CFA) program is a course of education and testing for finance and investment professionals, particularly in the fields of investment management and financial analysis of stocks, bonds and their derivative assets. The program focuses on portfolio management and financial analysis, and provides a general knowledge of other areas of finance. The designation is an international professional certification offered by the CFA Institute. To earn the CFA charter, candidates must: 1) pass three sequential, six-hour examinations; 2) have at least four years of qualified professional investment experience; 3) join CFA Institute as members; and 4) commit to abide by, and annually reaffirm, their adherence to the CFA Institute Code of Ethics and Standards of Professional Conduct.

## Disciplinary Information

Anthony does not have any disciplinary history.

## Other Business Activities

Anthony is not actively engaged in any other investment-related business or industry activities.

## Additional Compensation

Beyond his regular compensation, Anthony receives a share of the product revenues of each strategy for which he is a Portfolio Manager.

## Supervision

Anthony is a member of an Investment Strategy Group (ISG) for our domestic value strategies, and all investment portfolio decisions are based on consensus decisions by the ISG. All client portfolios in a strategy are managed identically except for individual client restrictions. All client correspondence is maintained in our client files. Portfolios decisions, client restrictions and client files are reviewed by the Compliance Team headed by the CCO, David Brewer. All investment advice provided to clients is supervised by the Leadership Team.

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**281 Brooks Street**

**Laguna Beach, CA 92651-2874**

**(949) 380-0200**

**[www.wcminvest.com](http://www.wcminvest.com)**

**For:**

**Chad Hoffman**

**7800 Forsyth Blvd., Suite 150**

**Saint Louis, MO 63105**

**(949) 380-0200**

This brochure supplement provides information about Chad Hoffman that supplements WCM's brochure. You should have received a copy of that brochure. Please contact us at (949) 380-0200, or at [learnmore@wcminvest.com](mailto:learnmore@wcminvest.com) if you did not receive a copy of WCM's brochure or if you have any questions about the contents of this supplement.

*As of: March 22, 2021*

# Chad Hoffman

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## Education, Background and Business Experience

Chad was born in 1976. He graduated Summa Cum Laude from Saint Louis University (Missouri) with a B.S. in Finance.

Chad joined WCM in 2018. As Portfolio Manager, and member of the Portfolio Management team for the firm's domestic growth strategies, his primary responsibilities are portfolio management and equity research. Chad's industry experience includes serving as Assistant Portfolio Manager for the Small-Cap Growth and SMID-Cap Growth strategies at Kennedy Capital Management and, earlier, at Missouri Valley Partners. Chad also served as Equity Research Analyst at both Kennedy Capital Management and Missouri Valley Partners, with significant experience following the Information Technology sector.

## Disciplinary Information

Chad does not have any disciplinary history.

## Other Business Activities

Chad is not actively engaged in any other investment-related business or industry activities.

## Additional Compensation

Beyond his regular compensation, Chad receives a share of the product revenues of each strategy for which he is a Portfolio Manager.

## Supervision

Chad is a member of an Investment Strategy Group (ISG) for our domestic growth strategies, and all investment portfolio decisions are based on consensus decisions by the ISG. All client portfolios in a strategy are managed identically except for individual client restrictions. All client correspondence is maintained in our client files. Portfolios decisions, client restrictions and client files are reviewed by the Compliance Team headed by the CCO, David Brewer. All investment advice provided to clients is supervised by the Leadership Team.

# Brochure Supplement

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## **WCM Investment Management, LLC**

**281 Brooks Street**

**Laguna Beach, CA 92651-2874**

**(949) 380-0200**

**[www.wcminvest.com](http://www.wcminvest.com)**

**For:**

**Pete Hunkel**

**281 Brooks Street**

**Laguna Beach, CA 92651-2874**

**(949) 380-0200**

This brochure supplement provides information about Pete Hunkel that supplements WCM's brochure. You should have received a copy of that brochure. Please contact us at (949) 380-0200, or at [learnmore@wcminvest.com](mailto:learnmore@wcminvest.com) if you did not receive a copy of WCM's brochure or if you have any questions about the contents of this supplement.

*As of: March 22, 2021*

# Pete Hunkel

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## Education, Background and Business Experience

Pete was born in 1972. He earned his B.A. in Communication Studies from San Jose State University in 1995, graduating with honors. He also earned a Juris Doctorate (J.D.) from the Monterey College of Law in 2004.

Pete joined WCM in 2007. He has been a key owner at WCM since 2013. As Portfolio Manager and Business Analyst, Pete's primary responsibilities are portfolio management and equity research for our international and global growth strategies. His career began in 1998 and includes a position as Portfolio Analyst for the Templeton Private Client Group. Pete has been working with WCM since 2001, initially as a Portfolio Specialist at a formerly affiliated firm, Centurion Alliance.

## Disciplinary Information

Pete does not have any disciplinary history.

## Other Business Activities

Pete is not actively engaged in any other investment-related business or industry activities.

## Additional Compensation

Pete does not receive any additional compensation outside his regular compensation from WCM.

## Supervision

Pete is a member of an Investment Strategy Group (ISG) for our international and global growth strategies, and all investment portfolio decisions are based on consensus decisions by the ISG. All client portfolios in a strategy are managed identically except for individual client restrictions. All client correspondence is maintained in our client files. Portfolios decisions, client restrictions and client files are reviewed by the Compliance Team headed by the CCO, David Brewer. All investment advice provided to clients is supervised by the Leadership Team.

# Brochure Supplement

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**281 Brooks Street**

**Laguna Beach, CA 92651-2874**

**(949) 380-0200**

**[www.wcminvest.com](http://www.wcminvest.com)**

**For:**

**Paul Hunter**

**CRD #2938215**

**7800 Forsyth Blvd., Suite 150**

**Saint Louis, MO 63105**

**(949) 380-0200**

This brochure supplement provides information about Paul Hunter that supplements WCM's brochure. You should have received a copy of that brochure. Please contact us at (949) 380-0200, or at [learnmore@wcminvest.com](mailto:learnmore@wcminvest.com) if you did not receive a copy of WCM's brochure or if you have any questions about the contents of this supplement.

Additional information about Paul Hunter is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

*As of: March 22, 2021*

# Paul Hunter

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## Education, Background and Business Experience

Paul was born in 1971. He earned his B.S. in Business Administration from the University of Richmond (Virginia) and his M.B.A. from Emory University's Goizueta Business School (Georgia).

Paul joined WCM in 2020. As Portfolio Specialist, he is responsible for developing and servicing client relationships with investment consultants, family offices and other institutions. Paul has nearly 20 years of institutional sales experience with Jefferies, Morgan Stanley and Wachovia Securities. In addition, he was a private wealth manager with Merrill Lynch, and a trader for Eagle Asset Management.

## Disciplinary Information

Paul does not have any disciplinary history.

## Other Business Activities

Paul is not actively engaged in any other investment-related business or industry activities.

## Additional Compensation

Paul does not receive any additional compensation outside his regular compensation from WCM.

## Supervision

Paul is supervised by the Leadership Team.



# Brochure Supplement

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**281 Brooks Street**

**Laguna Beach, CA 92651-2874**

**(949) 380-0200**

**[www.wcminvest.com](http://www.wcminvest.com)**

**For:**

**Greg Ise**

**281 Brooks Street**

**Laguna Beach, CA 92651-2874**

**(949) 380-0200**

This brochure supplement provides information about Greg Ise that supplements WCM's brochure. You should have received a copy of that brochure. Please contact us at (949) 380-0200, or at [learnmore@wcminvest.com](mailto:learnmore@wcminvest.com) if you did not receive a copy of WCM's brochure or if you have any questions about the contents of this supplement.

*As of: March 22, 2021*

# Greg Ise

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## Education, Background and Business Experience

Greg was born in 1979. He earned his B.S. in Business Administration from the University of Kansas, where he graduated with honors, and an M.B.A. from the University of California, Berkeley.

Greg joined WCM in 2014. As a Portfolio Manager and Business Analyst, Greg's primary responsibilities are portfolio management and equity research. Prior to joining WCM, his career in the investment industry includes a position as senior international research analyst at Rainier Investment Management in Seattle, where he helped launch the firm's first international product, and as vice president and analyst at Allianz Global Investors in San Diego in 2006, where he was responsible for covering global consumer stocks. Additionally, he held a position as research analyst at Osmium Partners, a San Francisco-based hedge fund, and as an investment banking analyst at UBS in New York.

## Professional Designations

Greg is a CFA® charterholder, having earned the charter in 2008. The Chartered Financial Analyst (CFA) program is a course of education and testing for finance and investment professionals, particularly in the fields of investment management and financial analysis of stocks, bonds and their derivative assets. The program focuses on portfolio management and financial analysis, and provides a general knowledge of other areas of finance. The designation is an international professional certification offered by the CFA Institute. To earn the CFA charter, candidates must: 1) pass three sequential, six-hour examinations; 2) have at least four years of qualified professional investment experience; 3) join CFA Institute as members; and 4) commit to abide by, and annually reaffirm, their adherence to the CFA Institute Code of Ethics and Standards of Professional Conduct.

## Disciplinary Information

Greg does not have any disciplinary history.

## Other Business Activities

Greg is not actively engaged in any other investment-related business or industry activities.

## Additional Compensation

Beyond his regular compensation, Greg receives a share of the product revenues of each strategy for which he is a Portfolio Manager.

## Supervision

Greg is a member of an Investment Strategy Group (ISG) for our international and global growth strategies, and all investment portfolio decisions are based on consensus decisions by the ISG. All client portfolios in a strategy are managed identically except for individual client restrictions. All client correspondence is maintained in our client files. Portfolios decisions, client restrictions and client files are reviewed by the Compliance Team headed by the CCO, David Brewer. All investment advice provided to clients is supervised by the Leadership Team.

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**281 Brooks Street**

**Laguna Beach, CA 92651-2874**

**(949) 380-0200**

**[www.wcminvest.com](http://www.wcminvest.com)**

**For:**

**John Karl**

**CRD #1335803**

**281 Brooks Street**

**Laguna Beach, CA 92651-2874**

**(949) 380-0200**

This brochure supplement provides information about John Karl that supplements WCM's brochure. You should have received a copy of that brochure. Please contact us at (949) 380-0200, or at [learnmore@wcminvest.com](mailto:learnmore@wcminvest.com) if you did not receive a copy of WCM's brochure or if you have any questions about the contents of this supplement.

Additional information about John Karl is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

*As of: March 22, 2021*

# John Karl

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## Education, Background and Business Experience

John was born in 1962. He received a B.S. in Business from Truman State University in 1984.

John joined WCM in 2010. As Portfolio Specialist, John is responsible for communicating the firm's investment decisions and strategies while developing and servicing business relationships in the plan sponsor and pension consultant communities. His career began in 1988 and includes a position as Portfolio Specialist at Avondale Partners from March, 2009 through July, 2010 and Senior Portfolio Specialist at Westridge Capital Management from February, 2002 to February, 2009. John has also held senior management roles at Wells Capital Management and Strong Capital Management.

## Disciplinary Information

John does not have any disciplinary history.

## Other Business Activities

John also acts as registered representative for IMST Distributors, LLC, a registered broker-dealer, for the purpose of selling WCM mutual funds and private funds.

## Additional Compensation

Beyond the regular compensation, John also receives a share of the revenues from WCM or IMST Distributors for each new client he brings to WCM. This presents no conflict of interest as there is no incentive for John to recommend one WCM investment vehicle over another based on the compensation received, because the fee sharing arrangements are the same for all vehicles. Recommendations are made based on client's needs and eligibility.

## Supervision

John is supervised by the Leadership Team.

# Brochure Supplement

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## **WCM Investment Management, LLC**

**281 Brooks Street**

**Laguna Beach, CA 92651-2874**

**(949) 380-0200**

**[www.wcminvest.com](http://www.wcminvest.com)**

**For:**

**Rolf Kelly**

**1786 Platte Street**

**Denver, CO 80202-1039**

**(949) 380-0200**

This brochure supplement provides information about Rolf Kelly that supplements WCM's brochure. You should have received a copy of that brochure. Please contact David Brewer, CCO at (949) 380-0200, or at [learnmore@wcminvest.com](mailto:learnmore@wcminvest.com) if you did not receive a copy of WCM's brochure or if you have any questions about the contents of this supplement.

*As of: March 22, 2021*

# Rolf Kelly

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## Education, Background and Business Experience

Rolf was born in 1978. He earned an M.B.A. from Duke University (North Carolina), and a B.S. in Engineering from Colorado School of Mines, where he graduated with honors.

Rolf joined WCM in 2018. As Portfolio Manager his primary responsibilities are portfolio management and equity research for our global ESG strategies. Since the start of his investment career in 2005, Rolf's experience includes a position as analyst and portfolio manager at Thornburg Investment Management in Santa Fe (NM) where he concentrated on ESG investing. Prior to that, he worked as an analyst at NCM Capital.

## Professional Designations

Rolf is a CFA® charter holder, having earned the charter in 2009. The Chartered Financial Analyst (CFA) program is a course of education and testing for finance and investment professionals, particularly in the fields of investment management and financial analysis of stocks, bonds and their derivative assets. The program focuses on portfolio management and financial analysis, and provides a general knowledge of other areas of finance. The designation is an international professional certification offered by the CFA Institute. To earn the CFA charter, candidates must: 1) pass three sequential, six-hour examinations; 2) have at least four years of qualified professional investment experience; 3) join CFA Institute as members; and 4) commit to abide by, and annually reaffirm, their adherence to the CFA Institute Code of Ethics and Standards of Professional Conduct.

## Disciplinary Information

Rolf does not have any disciplinary history.

## Other Business Activities

Rolf is not actively engaged in any other investment-related business or industry activities.

## Additional Compensation

Beyond his regular compensation, Rolf receives a share of the product revenues of each strategy for which he is a Portfolio Manager.

## Supervision

Rolf is a member of an Investment Strategy Group (ISG) for our international and global ESG strategies, and all investment portfolio decisions are based on consensus decisions by the ISG. All client portfolios in a strategy are managed identically except for individual client restrictions. All client correspondence is maintained in our client files. Portfolios decisions, client restrictions and client files are reviewed by the Compliance Team headed by the CCO, David Brewer. All investment advice provided to clients is supervised by the Leadership Team.



# Brochure Supplement

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## **WCM Investment Management, LLC**

**281 Brooks Street**

**Laguna Beach, CA 92651-2874**

**(949) 380-0200**

**[www.wcminvest.com](http://www.wcminvest.com)**

**For:**

**Matt MacArthur**

**CRD #1904282**

**281 Brooks Street**

**Laguna Beach, CA 92651-2874**

**(949) 380-0200**

This brochure supplement provides information about Matt MacArthur that supplements WCM's brochure. You should have received a copy of that brochure. Please contact us at (949) 380-0200, or at [learnmore@wcminvest.com](mailto:learnmore@wcminvest.com) if you did not receive a copy of WCM's brochure or if you have any questions about the contents of this supplement.

Additional information about J. Matt MacArthur is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

*As of: March 22, 2021*

# Matt MacArthur

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## Education, Background and Business Experience

Matt was born in 1964. He attended the University of Arizona from 1984-1985, earned his B.A. in Physical Education from The Master's College in 1988, and obtained his CIMA from the Wharton School at the University of Pennsylvania in 1997.

Matt joined WCM in 2007. As Portfolio Specialist, Matt is responsible for communicating the firm's investment decisions and strategies while developing and servicing business relationships with investment consultants, endowments/foundations, family offices and other institutions. His career in the investment industry began in 1992 and includes a position with Templeton Private Client Group. Matt has been working with WCM since 2001, initially as a Portfolio Specialist at a formerly affiliated firm, Centurion Alliance.

## Disciplinary Information

Matt does not have any disciplinary history.

## Other Business Activities

Matt also acts as registered representative for IMST Distributors, LLC, a registered broker-dealer, for the purpose of selling WCM mutual funds and private funds.

## Additional Compensation

Beyond the regular compensation, Matt also receives a share of the revenues from WCM or IMST Distributors for each new client he brings to WCM. This presents no conflict of interest as there is no incentive for Matt to recommend one WCM investment vehicle over another based on the compensation received, because the fee sharing arrangements are the same for all vehicles. Recommendations are made based on client's needs and eligibility.

## Supervision

Matt is supervised by the Leadership Team.

# Brochure Supplement

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## **WCM Investment Management, LLC**

**281 Brooks Street**

**Laguna Beach, CA 92651-2874**

**(949) 380-0200**

**[www.wcminvest.com](http://www.wcminvest.com)**

**For:**

**Tamara Manoukian**

**281 Brooks Street**

**Laguna Beach, CA 92651-2874**

**(949) 380-0200**

This brochure supplement provides information about Tamara Manoukian that supplements WCM's brochure. You should have received a copy of that brochure. Please contact us at (949) 380-0200, or at [learnmore@wcminvest.com](mailto:learnmore@wcminvest.com) if you did not receive a copy of WCM's brochure or if you have any questions about the contents of this supplement.

*As of: March 22, 2021*

# Tamara Manoukian

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## Education, Background and Business Experience

Tamara was born in 1983. She earned a B.S. (cum laude) in Business Administration (Finance concentration) from Boston University School of Management (Massachusetts).

Tamara joined WCM in 2017. As a Portfolio Manager and Business Analyst, Tamara's primary responsibilities are portfolio management and equity research. Prior to joining WCM, her career in the investment industry includes positions as Associate Portfolio Manager / Equity Research Analyst at Thornburg Investment Management in Santa Fe, NM, and as Investment Analyst at Boston-based hedge fund Greenwood Investments.

## Professional Designations

Tamara is a CFA® charterholder, having earned the charter in 2009. The Chartered Financial Analyst (CFA) program is a course of education and testing for finance and investment professionals, particularly in the fields of investment management and financial analysis of stocks, bonds and their derivative assets. The program focuses on portfolio management and financial analysis and provides a general knowledge of other areas of finance. The designation is an international professional certification offered by the CFA Institute. To earn the CFA charter, candidates must: 1) pass three sequential, six-hour examinations; 2) have at least four years of qualified professional investment experience; 3) join CFA Institute as members; and 4) commit to abide by, and annually reaffirm, their adherence to the CFA Institute Code of Ethics and Standards of Professional Conduct.

## Disciplinary Information

Tamara does not have any disciplinary history.

## Other Business Activities

Tamara is not actively engaged in any other investment-related business or industry activities.

## Additional Compensation

Beyond her regular compensation, Tamara receives a share of the product revenues of each strategy for which she is a Portfolio Manager.

## Supervision

Tamara is a member of an Investment Strategy Group (ISG) for our Focused International Opportunities strategy, and all investment portfolio decisions are based on consensus decisions by the ISG. All client portfolios in a strategy are managed identically except for individual client restrictions. All client correspondence is maintained in our client files. Portfolios decisions, client restrictions and client files are reviewed by the Compliance Team headed by the CCO, David Brewer. All investment advice provided to clients is supervised by the Leadership Team.

# Brochure Supplement

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## **WCM Investment Management, LLC**

**281 Brooks Street**

**Laguna Beach, CA 92651-2874**

**(949) 380-0200**

**[www.wcminvest.com](http://www.wcminvest.com)**

**For:**

**Pat McGee**

**3825 Edwards Road, Suite 620**

**Cincinnati, OH 45209**

**(949) 380-0200**

This brochure supplement provides information about Pat McGee that supplements WCM's brochure. You should have received a copy of that brochure. Please contact us at (949) 380-0200, or at [learnmore@wcminvest.com](mailto:learnmore@wcminvest.com) if you did not receive a copy of WCM's brochure or if you have any questions about the contents of this supplement.

*As of: March 22, 2021*

# Pat McGee

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## Education, Background and Business Experience

Pat was born in 1982. He received his BBA in Finance from College of William & Mary in 2004 and his MBA from University of Virginia in 2010.

Pat joined WCM in 2016. As Portfolio Manager, and member of the Portfolio Management Team for the firm's domestic value strategies, Pat's primary responsibilities are portfolio management, equity research and client service. Pat was a research analyst and portfolio manager at Opus Capital Management (since 2011). Pat also held positions as an associate analyst at Avondale Partners LLC where he assisted in research and as a senior consultant at Ernst & Young LLP where he established the Los Angeles office's Fraud Investigation and Dispute Services practice.

## Professional Designations

Pat is a CFA® charter holder, having earned the charter in 2013. The Chartered Financial Analyst (CFA) program is a course of education and testing for finance and investment professionals, particularly in the fields of investment management and financial analysis of stocks, bonds and their derivative assets. The program focuses on portfolio management and financial analysis, and provides a general knowledge of other areas of finance. The designation is an international professional certification offered by the CFA Institute. To earn the CFA charter, candidates must: 1) pass three sequential, six-hour examinations; 2) have at least four years of qualified professional investment experience; 3) join CFA Institute as members; and 4) commit to abide by, and annually reaffirm, their adherence to the CFA Institute Code of Ethics and Standards of Professional Conduct.

## Disciplinary Information

Pat does not have any disciplinary history.

## Other Business Activities

Pat is not actively engaged in any other investment-related business or industry activities.

## Additional Compensation

Beyond his regular compensation, Pat receives a share of the product revenues of each strategy for which he is a Portfolio Manager.

## Supervision

Pat is a member of an Investment Strategy Group (ISG) for our domestic value strategies, and all investment portfolio decisions are based on consensus decisions by the ISG. All client portfolios in a strategy are managed identically except for individual client restrictions. All client correspondence is maintained in our client files. Portfolios decisions, client restrictions and client files are reviewed by the Compliance Team headed by the CCO, David Brewer. All investment advice provided to clients is supervised by the Leadership Team.



# Brochure Supplement

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## **WCM Investment Management, LLC**

**281 Brooks Street**

**Laguna Beach, CA 92651-2874**

**(949) 380-0200**

**[www.wcminvest.com](http://www.wcminvest.com)**

**For:**

**Chris Moore**

**CRD #1181100**

**281 Brooks Street**

**Laguna Beach, CA 92651-2874**

**(949) 380-0200**

This brochure supplement provides information about Chris Moore that supplements WCM's brochure. You should have received a copy of that brochure. Please contact us at (949) 380-0200, or at [learnmore@wcminvest.com](mailto:learnmore@wcminvest.com) if you did not receive a copy of WCM's brochure or if you have any questions about the contents of this supplement.

Additional information about Chris Moore is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

*As of: March 22, 2021*

# Chris Moore

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## Education, Background and Business Experience

Chris was born in 1960. He earned his B.A. in Business Administration / Marketing from Memphis State University in 1982.

Chris joined WCM in 2013. As Portfolio Specialist, Chris is responsible for communicating the firm's investment decisions and strategies while developing and servicing business relationships with investment consultants, endowments/foundations, family offices and other institutions. His career in the investment industry began in 1992 and includes a position in Sales with Pacific Income Advisors. Prior to joining WCM, Chris was self-employed, acting as a third-party solicitor for investment advisors across the United States.

## Disciplinary Information

Chris does not have any disciplinary history.

## Other Business Activities

Chris also acts as registered representative for IMST Distributors, LLC, a registered broker-dealer, for the purpose of selling WCM mutual funds, private funds, and the Centennial privately placed funds.

## Additional Compensation

Beyond the regular compensation, Chris also receives a share of the revenues from WCM or IMST Distributors for each new client he brings to WCM. This presents no conflict of interest as there is no incentive for Chris to recommend one WCM investment vehicle over another based on the compensation received, because the fee sharing arrangements are the same for all vehicles.

Recommendations are made based on client's needs and eligibility.

Chris also receives compensation from IMST Distributors for past sales of the Centennial privately placed funds. Because this compensation is based on existing business and Chris is not actively pursuing new business for these funds, it presents no conflict of interest.

## Supervision

Chris is supervised by the Leadership Team.

# Brochure Supplement

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## **WCM Investment Management, LLC**

**281 Brooks Street**

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**(949) 380-0200**

**[www.wcminvest.com](http://www.wcminvest.com)**

**For:**

**Bill Orke**

**CRD #1052851**

**281 Brooks Street**

**Laguna Beach, CA 92651-2874**

**(949) 380-0200**

This brochure supplement provides information about Bill Orke that supplements WCM's brochure. You should have received a copy of that brochure. Please contact us at (949) 380-0200, or at [learnmore@wcminvest.com](mailto:learnmore@wcminvest.com) if you did not receive a copy of WCM's brochure or if you have any questions about the contents of this supplement.

Additional information about Bill Orke is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

*As of: March 22, 2021*

# Bill Orke

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## Education, Background and Business Experience

Bill was born in 1958. He earned a B.A. in Economics from the University of Minnesota in 1987, and his CIMA from the Wharton School at the University of Pennsylvania in 1993.

Bill joined WCM in 2002. As Portfolio Specialist, Bill is responsible for communicating the firm's investment decisions and strategies while developing and servicing business relationships with investment consultants, EB plan sponsors, non-profits and other institutions. His career began in the investment industry in 1988 and includes positions as a Portfolio Analyst at Dain Bosworth, Director of Investment Consulting at Roney & Company and Portfolio Specialist and Portfolio Manager at Steward Capital Management.

## Disciplinary Information

Bill does not have any disciplinary history.

## Other Business Activities

Bill also acts as registered representative for IMST Distributors, LLC, a registered broker-dealer, for the purpose of selling WCM mutual funds and private funds.

## Additional Compensation

Beyond the regular compensation, Bill also receives a share of the revenues from WCM or IMST Distributors for each new client he brings to WCM. This presents no conflict of interest as there is no incentive for Bill to recommend one WCM investment vehicle over another based on the compensation received, because the fee sharing arrangements are the same for all vehicles. Recommendations are made based on client's needs and eligibility.

## Supervision

Bill is supervised by the Leadership Team.

# Brochure Supplement

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## **WCM Investment Management, LLC**

**281 Brooks Street**

**Laguna Beach, CA 92651-2874**

**(949) 380-0200**

**[www.wcminvest.com](http://www.wcminvest.com)**

**For:**

**John Rackers**

**7800 Forsyth Blvd., Suite 150**

**Saint Louis, MO 63105**

**(949) 380-0200**

This brochure supplement provides information about John Rackers that supplements WCM's brochure. You should have received a copy of that brochure. Please contact us at (949) 380-0200, or at [learnmore@wcminvest.com](mailto:learnmore@wcminvest.com) if you did not receive a copy of WCM's brochure or if you have any questions about the contents of this supplement.

*As of: March 22, 2021*

# John Rackers

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## Education, Background and Business Experience

John was born in 1964. He earned his M.B.A. in Finance from the University of Notre Dame (Indiana), and his B.S. in Finance from the University of Missouri.

John joined WCM in 2018. As Portfolio Manager, and member of the Portfolio Management team for the firm's domestic growth strategies, John's primary responsibilities are portfolio management and equity research. Since the start of his investment career in 1991, John's experience includes a position as lead portfolio manager for the Small-Cap Growth and SMID-Cap Growth strategies at Kennedy Capital Management and, earlier, at Missouri Valley Partners. Earlier still, he was a founding partner at Pearl Street Capital, where he managed a sector-focused long/short fund.

## Disciplinary Information

John does not have any disciplinary history.

## Other Business Activities

John is not actively engaged in any other investment-related business or industry activities.

## Additional Compensation

Beyond his regular compensation, John receives a share of the product revenues of each strategy for which he is a Portfolio Manager.

## Supervision

John is a member of an Investment Strategy Group (ISG) for our domestic growth strategies, and all investment portfolio decisions are based on consensus decisions by the ISG. All client portfolios in a strategy are managed identically except for individual client restrictions. All client correspondence is maintained in our client files. Portfolios decisions, client restrictions and client files are reviewed by the Compliance Team headed by the CCO, David Brewer. All investment advice provided to clients is supervised by the Leadership Team.

# Brochure Supplement

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## WCM Investment Management, LLC

281 Brooks Street

Laguna Beach, CA 92651-2874

(949) 380-0200

[www.wcminvest.com](http://www.wcminvest.com)

**For:**

**Aaron Reed**

**CRD #2189934**

**3825 Edwards Road, Suite 620**

**Cincinnati, OH 45209**

**(949) 380-0200**

This brochure supplement provides information about Aaron Reed that supplements WCM's brochure. You should have received a copy of that brochure. Please contact us at (949) 380-0200, or at [learnmore@wcminvest.com](mailto:learnmore@wcminvest.com) if you did not receive a copy of WCM's brochure or if you have any questions about the contents of this supplement.

Additional information about Aaron Reed is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

*As of: March 22, 2021*

# Aaron Reed

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## Education, Background and Business Experience

Aaron was born in 1969. He earned his B.S. in Economics from Oklahoma City University.

Aaron joined WCM in 2020. As Portfolio Specialist, he is responsible for developing and servicing client relationships with investment consultants, family offices and other institutions. Since he began his investment career in 1991, Aaron's institutional sales experience includes positions at Nuveen, MFS, Nicholas Applegate and Scudder Stevens & Clark. Prior to joining WCM, he was Managing Director and Head of Institutional Business at Kayne Anderson Rudnick.

## Disciplinary Information

Aaron does not have any disciplinary history.

## Other Business Activities

Aaron is not actively engaged in any other investment-related business or industry activities.

## Additional Compensation

Aaron does not receive any additional compensation outside his regular compensation from WCM.

## Supervision

Aaron is supervised by the Leadership Team.



# Brochure Supplement

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## **WCM Investment Management, LLC**

**281 Brooks Street**

**Laguna Beach, CA 92651-2874**

**(949) 380-0200**

**[www.wcminvest.com](http://www.wcminvest.com)**

**For:**

**Mike Tian**

**281 Brooks Street**

**Laguna Beach, CA 92651-2874**

**(949) 380-0200**

This brochure supplement provides information about Mike Tian that supplements WCM's brochure. You should have received a copy of that brochure. Please contact us at (949) 380-0200, or at [learnmore@wcminvest.com](mailto:learnmore@wcminvest.com) if you did not receive a copy of WCM's brochure or if you have any questions about the contents of this supplement.

*As of: March 22, 2021*

# Mike Tian

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## Education, Background and Business Experience

Mike was born in 1984. He received a B.S. in Finance from the University of Illinois at Urbana-Champaign in 2006.

Mike joined WCM in 2012. As a Portfolio Manager and Business Analyst, Mike's primary responsibilities are portfolio management and equity research for our international and global growth strategies. Prior to joining WCM, Mike's career in the investment industry began in 2009 and includes a position as a Senior Equity Analyst and Equity Strategist at Morningstar, Inc. in Chicago (July, 2006 – September, 2012). Additionally, Michael managed the *Morningstar Opportunistic Investor*, a portfolio and newsletter focusing on special situations and growth companies. Also, of note, he played an instrumental role in the development of the economic moat trend methodology at Morningstar.

## Professional Designations

Mike is a CFA® charterholder, having earned the charter in 2010. The Chartered Financial Analyst (CFA) program is a course of education and testing for finance and investment professionals, particularly in the fields of investment management and financial analysis of stocks, bonds and their derivative assets. The program focuses on portfolio management and financial analysis, and provides a general knowledge of other areas of finance. The designation is an international professional certification offered by the CFA Institute. To earn the CFA charter, candidates must: 1) pass three sequential, six-hour examinations; 2) have at least four years of qualified professional investment experience; 3) join CFA Institute as members; and 4) commit to abide by, and annually reaffirm, their adherence to the CFA Institute Code of Ethics and Standards of Professional Conduct.

## Disciplinary Information

Mike does not have any disciplinary history.

## Other Business Activities

Mike is not actively engaged in any other investment-related business or industry activities.

## Additional Compensation

Beyond his regular compensation, Mike receives a share of the product revenues of each strategy for which he is a Portfolio Manager.

## Supervision

Mike is a member of an Investment Strategy Group (ISG) for our international and global growth strategies, and all investment portfolio decisions are based on consensus decisions by the ISG. All client portfolios in a strategy are managed identically except for individual client restrictions. All client correspondence is maintained in our client files. Portfolios decisions, client restrictions and client files are reviewed by the Compliance Team headed by the CCO, David Brewer. All investment advice provided to clients is supervised by the Leadership Team.

# Brochure Supplement

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## **WCM Investment Management, LLC**

**281 Brooks Street**

**Laguna Beach, CA 92651-2874**

**(949) 380-0200**

**[www.wcminvest.com](http://www.wcminvest.com)**

**For:**

**Mike Trigg**

**281 Brooks Street**

**Laguna Beach, CA 92651-2874**

**(949) 380-0200**

This brochure supplement provides information about Mike Trigg that supplements WCM's brochure. You should have received a copy of that brochure. Please contact us at (949) 380-0200, or at [learnmore@wcminvest.com](mailto:learnmore@wcminvest.com) if you did not receive a copy of WCM's brochure or if you have any questions about the contents of this supplement.

*As of: March 22, 2021*

# Mike Trigg

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## Education, Background and Business Experience

Mike was born in 1977. He received a B.S. in Finance from Saint Louis University in 2000.

Mike joined WCM in 2006. He has been a key owner at WCM since 2013. As Portfolio Manager and Business Analyst, Mike's primary responsibilities are portfolio management and equity research for our international and global growth strategies. Mike began his career in the investment industry in 2004. Prior to joining WCM, Mike served as Equity Analyst at Morningstar, Inc. in Chicago where, in addition to general equity analysis, he managed their Model Growth Portfolio (January, 2001 – March, 2006). Mike also held a position as Analyst at the Motley Fool, an online investment service.

## Disciplinary Information

Mike does not have any disciplinary history.

## Other Business Activities

Mike is not actively engaged in any other investment-related business or industry activities.

## Additional Compensation

Mike does not receive any additional compensation outside his regular compensation from WCM.

## Supervision

Mike is a member of an Investment Strategy Group (ISG) and all investment portfolio decisions are based on consensus decisions by the ISG. All client portfolios in a strategy are managed identically except for individual client restrictions. All client correspondence is maintained in our client files. Portfolios decisions, client restrictions and client files are reviewed by the Compliance Team headed by the CCO, David Brewer. All investment advice provided to clients is supervised by the Leadership Team.

# Brochure Supplement

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## **WCM Investment Management, LLC**

**281 Brooks Street**

**Laguna Beach, CA 92651-2874**

**(949) 380-0200**

**[www.wcminvest.com](http://www.wcminvest.com)**

**For:**

**Andrew Wiechert**

**281 Brooks Street**

**Laguna Beach, CA 92651-2874**

**(949) 380-0200**

This brochure supplement provides information about Andrew Wiechert that supplements WCM's brochure. You should have received a copy of that brochure. Please contact us at (949) 380-0200, or at [learnmore@wcminvest.com](mailto:learnmore@wcminvest.com) if you did not receive a copy of WCM's brochure or if you have any questions about the contents of this supplement.

*As of: March 22, 2021*

# Andrew Wiechert

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## Education, Background and Business Experience

Andrew was born in 1983. He earned a B.S. in Economics and Management Science from the University of California, San Diego.

Andrew joined WCM in 2007. As a Portfolio Manager and Business Analyst, Andrew's primary responsibilities are portfolio management and equity research for our global value strategies. During his career at WCM, Andrew previously held several positions, including Assistant Portfolio Manager and Operations & Risk Manager. Prior to joining WCM, Andrew started his career in the investment industry in 2006 with a position at BNY Mellon Wealth Management.

## Disciplinary Information

Andrew does not have any disciplinary history.

## Other Business Activities

Andrew is not actively engaged in any other investment-related business or industry activities.

## Additional Compensation

Beyond his regular compensation, Andrew receives a share of the product revenues of each strategy for which he is a Portfolio Manager.

## Supervision

Andrew is a member of an Investment Strategy Group (ISG) for our global value strategies and all investment portfolio decisions are based on consensus decisions by the ISG. All client portfolios in a strategy are managed identically except for individual client restrictions. All client correspondence is maintained in our client files. Portfolios decisions, client restrictions and client files are reviewed by the Compliance Team headed by the CCO, David Brewer. All investment advice provided to clients is supervised by the Leadership Team.

# Brochure Supplement

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## **WCM Investment Management, LLC**

**281 Brooks Street**

**Laguna Beach, CA 92651-2874**

**(949) 380-0200**

**[www.wcminvest.com](http://www.wcminvest.com)**

**For:**

**Kurt Winrich**

**CRD #2128635**

**281 Brooks Street**

**Laguna Beach, CA 92651-2874**

**(949) 380-0200**

This brochure supplement provides information about Kurt Winrich that supplements WCM's brochure. You should have received a copy of that brochure. Please contact us at (949) 380-0200, or at [learnmore@wcminvest.com](mailto:learnmore@wcminvest.com) if you did not receive a copy of WCM's brochure or if you have any questions about the contents of this supplement.

Additional information about Kurt Winrich is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

*As of: March 22, 2021*



# Kurt Winrich

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## Education, Background and Business Experience

Kurt was born in 1953. He received his B.A. in Physical Science from Westmont College, Santa Barbara in 1980, and earned his B.S. and M.S. in Electrical Engineering from Stanford University, in 1980.

Kurt joined WCM investment Management in 1984. He has been a Principal at WCM since 1994 and is the Chairman and Co-CEO of the firm. As Portfolio Manager, Kurt's primary responsibilities include portfolio management and equity research. His career in the investment industry began in 1984. Kurt's analytical background includes a position as head of computer-aided design and analysis with Hughes Electronics Santa Barbara Research Center.

## Professional Designations

Kurt is a CFA® charter holder, having earned the charter in 1989. The Chartered Financial Analyst (CFA) program is a course of education and testing for finance and investment professionals, particularly in the fields of investment management and financial analysis of stocks, bonds and their derivative assets. The program focuses on portfolio management and financial analysis, and provides a general knowledge of other areas of finance. The designation is an international professional certification offered by the CFA Institute. To earn the CFA charter, candidates must: 1) pass three sequential, six-hour examinations; 2) have at least four years of qualified professional investment experience; 3) join CFA Institute as members; and 4) commit to abide by, and annually reaffirm, their adherence to the CFA Institute Code of Ethics and Standards of Professional Conduct.

## Disciplinary Information

Kurt does not have any disciplinary history.

## Other Business Activities

Kurt is not actively engaged in any other investment-related business or industry activities.

## Additional Compensation

Kurt does not receive any additional compensation outside his regular compensation from WCM.

## Supervision

Kurt is a member of an Investment Strategy Group (ISG) for our international and global growth strategies, and all investment portfolio decisions are based on consensus decisions by the ISG. All client portfolios in a strategy are managed identically except for individual client restrictions. All client correspondence is maintained in our client files. Portfolios decisions, client restrictions and client files are reviewed by the Compliance Team headed by the CCO, David Brewer. All investment advice provided to clients is supervised by the Leadership Team.