

## Fiduciary Duty #11

As you may recall from our last memo regarding the topic of the Fiduciary Duties that confront you, we wanted to visit each of the 22 documents that the Dept. of Labor can request in the event that you have been chosen to undergo an audit under their oversight.

Should you be the recipient of such a notice, we wanted to let you know what each of the requests would entail, thereby enabling you to prepare accordingly.

As such, the next item on their list would be a request for:

- **THE MOST RECENT INTERNAL INCOME STATEMENT WHICH INDICATES RECEIPTS AND DISBURSEMENTS OF FUNDS IN CONNECTION WITH THE PLAN.**

Question: if asked, could you locate this document and make it available within 10 days?

If so, terrific.

If not, what steps do you need to take in order to be in a position to deliver this, if asked?

If we can be of any assistance in this matter, please be sure to let us know.

Mike & Matt

