



Mack Investment Securities, Inc.

Mr. Stephen W. Mack, CFP®, RFC

Mr. Mack is owner and President of Mack Investment Securities, an NASD broker dealer handling security investments. The firm was originated in January, 1986. Mr. Mack, a Certified Financial Planner, has been involved in the securities business for more than 32 years. Formerly one of Merrill Lynch's top brokers, Mr. Mack was the resident manager of the Merrill Lynch Rockford, Illinois branch office.

Mr. Mack attended the University of Michigan, graduating in 1976 with a degree in finance. Mr. Mack joined his father in their family Cadillac business in the summer of 1976. After attaining the position of General Sales Manager for Mack Cadillac, Mr. Mack left to join Merrill Lynch in 1981 locating originally in their Sears Tower branch.

| License | Designations | Attained |
|-----------|---|-------------|
| Series 63 | Uniform Securities Agent State Law Examination | 08/81 |
| Series 7 | General Securities Representative | 08/81 |
| Series 5 | Interest Rate Options | 10/81 |
| Series 8 | General Securities Sales Supervisor | 09/83 |
| Series 15 | Foreign Currency Options | 02/85 |
| Series 24 | General Securities Principal | 03/86 |
| Series 4 | Registered Options Principal | 03/86 |
| Series 53 | Municipal Securities Principal | 03/86 |
| | Life Insurance Agent | 09/81 |
| | Accident and Health Insurance Agent | 09/84 |
| | Variable Insurance Agent | 10/84 |
| | Registered Financial Consultant | 07/86 |
| | Real Estate Agent | 04/86 |
| | Certified Financial Planner | 10/87 |
| | Mensa | 08/88 |
| | Registry of CFP® Licensed Practitioners | 12/89 |
| | Financial Industry Regulatory Authority Arbitrator | 08/97 |
| | NFLPA Registered Player Financial Adviso | 11/05-11/12 |
| | FINRA District 8 Committee seat | 01/15- |
| | Who's Who in Finance and Industry | |
| | Who's Who in The Midwest | |
| | Who's Who in America | |
| | Who's Who in The World | |
| | Writer and producer of Mack Tracks Newsletter - First issue December of 1985. | |

Memberships include:

- Financial Planning Association
- International Association of Registered Financial Planners
- Past President, Glenview Youth Baseball