

# *INTREPID*



This brochure supplement provides information about ROBERT DEE WAGLER that supplements the Intrepid Financial Planning Group, LLC brochure. You should have received a copy of that brochure. Please contact the Intrepid Financial Planning Group, LLC office at (317) 818-1776 if you did not receive Intrepid Financial Planning Group, LLC's brochure or if you have any questions about the contents of this supplement.

Additional information about ROBERT DEE WAGLER is available on the United States Securities and Exchange Commission's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

**INTREPID FINANCIAL PLANNING GROUP, LLC.**

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Indianapolis, IN 46240

(317) 818-1776

IARD No. 112196

SEC FILE NUMBER: 801-61020

ADV Part 2B – Brochure Supplement For:

**ROBERT DEE WAGLER**

107 W. Lafayette Street

Lagrange, IN 46761

(260) 463-2243

CRD No. 2289912

March 30, 2018

## **EDUCATION BACKGROUND & BUSINESS EXPERIENCE**

Robert Dee Wagler, born in 1948, attended Goshen College in Goshen, IN. He received his Bachelor of Arts Degree in Business in 1972. Mr. Wagler completed his certification as a Certified Public Accountant (CPA) in 1983. He holds various insurance and mutual fund licenses as well.

As a CPA, Mr. Wagler has been a self-employed CPA since 1983 providing tax and accounting services to his clients.

## **DISCIPLINARY INFORMATION**

There are no legal or disciplinary events to report for Robert Dee Wagler.

## **OTHER BUSINESS ACTIVITIES**

In addition to being a CPA and registered investment advisor representative through Intrepid Financial Planning Group, LLC, Mr. Wagler is also a registered representative with Morris Group, Inc. Morris Group, Inc. is a duly licensed broker-dealer and member of FINRA and SIPC. When appropriate, registered representatives of Morris Group, Inc. will offer commission-based mutual funds, variable annuities, unit investment trusts, stocks, and/or bonds to clients. Although the client may choose to implement the recommended securities transaction through any third-party broker-dealer, the registered representatives of Morris Group, Inc. may recommend the use of Morris Group, Inc. provided that the recommendation is consistent with Intrepid Financial Planning Group, LLC's fiduciary obligations to the client.

As a registered representative with Morris Group, Inc., Mr. Wagler would receive commissions for any securities transactions affected through Morris Group, Inc. These commissions may be higher or lower for the marketplace, and as compared to other broker-dealers are separate and distinct from any investment advisory fees. At no time is the client obligated to purchase such securities or engage in these services.

In addition, registered representatives of the Morris Group, Inc. will receive 12b-1 distribution fees and/or commissions from investment companies in connection with the placement of client funds into those investment companies. Clients should be aware that this represents a conflict of interest, which may affect the independent judgment of the registered representative/investment advisor representative.

Intrepid Financial Planning Group, LLC's Chief Compliance Officer, Richard K. Locke, remains available to address any questions or concerns that a client, or a prospective client, may have regarding this arrangement as well as any corresponding perceived conflict of interest any such arrangement may create. Mr. Locke can be reached at (317) 818-1776.

Additionally, Mr. Wagler operates a freezer-rental operation out of his home and is a representative for Jackson National Life Insurance Company.

### **ADDITIONAL COMPENSATION**

Robert Dee Wagler does not receive any additional compensation over and above his regular salary from Intrepid Financial Planning Group, LLC.

### **SUPERVISION**

All of Intrepid Financial Planning Group LLC's advisory representatives and employees are monitored and supervised by Richard K. Locke, Lead Portfolio Manager/Chief Compliance Officer. Mr. Locke is located at the Indianapolis headquarters and is available via telephone at (317) 818-1776.

The majority of all investment advice from Intrepid Financial Planning Group, LLC comes jointly from Lead Portfolio Manager/Chief Compliance Officer, Richard K. Locke and its advisory representatives. All client communications are retained in client files and are reviewed by the Chief Compliance Officer.

In addition, all advisory representatives and employees are required to accurately report all personal securities transactions on a quarterly basis. Personal securities transactions are then reviewed by the Chief Compliance Officer.

### **OTHER DISCLOSURES**

There are no additional disclosures for Robert Dee Wagler.