



Checklist of Suggested Documents

To make your appointment as productive as possible please bring the following items with you:

A driver's license, passport or other government-issued photo ID (The U.S. Patriot Act requires us to verify the identity of all clients as part of the government's efforts to combat terrorism and money laundering.)

Recent statements for all financial accounts, including:

- Checking & Savings Accounts
- CDs
- Money Market Funds
- Mutual Funds
- Tax-Deferred Annuities
- IRAs and other retirement/pension accounts
- Trust or Managed Accounts
- Limited partnerships, UITs or REITs
- Brokerage accounts
- Provide a list of any EE savings bonds or other bonds you have in a safety deposit box

Information on real estate, including

- Current value
- Type of mortgage, interest rate, remaining balance

Employer booklets describing employer-provided:

- Insurance
- Pension or retirement plans
- Any other employee benefits, such as stock options or deferred compensation plans

Insurance policies, including:

- Life Insurance
- Disability
- Long-Term Care

A copy of your latest tax return

A copy of your most recent Social Security Statement, if available

A list of any other financially-valuable assets not mentioned above

A list of debts, including interest rate and amount owed, for such debts as:

- Credit Cards
- Auto Loans
- Personal Loans
- School Loans
- Other

And finally, please bring lots of questions!