

*This brochure supplement provides information about Cory Benton Cook that supplements the Cox & Cook Wealth Advisors brochure. You should have received a copy of that brochure. Please contact Cory Benton Cook if you did not receive Cox & Cook Wealth Advisors's brochure or if you have any questions about the contents of this supplement.*

*Additional information about Cory Benton Cook is also available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).*

## **Cox & Cook Wealth Advisors**

Form ADV Part 2B – Individual Disclosure Brochure

*for*

### **Cory Benton Cook**

Personal CRD Number: 5410665

Investment Adviser Representative

Cox & Cook Wealth Advisors  
545 Metro Place South Suite 100  
Dublin, OH 43017

1990 Main St. Suite 750  
Sarasota, FL, 34236

(614) 764-2366  
[cory.cook@plans2retire.net](mailto:cory.cook@plans2retire.net)

UPDATED: 01/25/2018

## Item 2: Educational Background and Business Experience

**Name:** Cory Benton Cook                      **Born:** 1985

### **Educational Background and Professional Designations:**

#### **Education:**

Bachelor of Science in Human Ecology - Family Financial Management  
The Ohio State University - 2008

#### **Business Background:**

07/2007 - Present	Financial Advisor/Client Service Manager Cox Financial Group, Inc. d/b/a: Cox & Cook Wealth Advisors
12/2014 - Present	Registered Rep & IAR Purshe Kaplan Sterling Investments
02/2011 - 12/2014	Registered Rep & IAR Cambridge Investment Research, Inc.
08/2004 - 06/2008	Full Time Education The Ohio State University

## Item 3: Disciplinary Information

There are no legal or disciplinary events that are material to a client's or prospective client's evaluation of this advisory business.

#### **Item 4: Other Business Activities**

Cory Cook is a registered representative of Purshe Kaplan Sterling and he receives securities commissions. Cory Benton Cook is a licensed insurance agent. From time to time, he will offer clients advice or products from this activity. Clients should be aware that these services pay a commission and involve a possible conflict of interest, as commissionable products can conflict with the fiduciary duties of a registered investment adviser. Cox & Cook Wealth Advisors always acts in the best interest of the client; including in the sale of commissionable products to advisory clients. Clients are in no way required to implement the plan through any representative of Cox & Cook Wealth Advisors in their capacity as a licensed insurance agent.

Cory Benton Cook spends 15 hours per week of his time on those activities and considers them his primary business.

#### **Item 5: Additional Compensation**

Cory Benton Cook does not receive any economic benefit from any person, company, or organization, other than Cox & Cook Wealth Advisors in exchange for providing clients advisory services through Cox & Cook Wealth Advisors.

#### **Item 6: Supervision**

As a representative of Cox & Cook Wealth Advisors, Cory Benton Cook works closely with supervisor James D Cox, and all advice provided to clients is reviewed by this supervisor prior to implementation. James Cox can be reached at (614) 764-2366. Cory Benton Cook adheres to all required regulations regarding the activities of an Investment Adviser Representative and follows all policies and procedures outlined in the firm's policies and procedures manual, including the Code of Ethics, and appropriate securities regulatory requirements.

## Item 7: Requirements For State Registered Advisers

*This disclosure is required by Ohio and Florida state securities authorities and is provided for your use in evaluating this investment advisor representative's suitability.*

- A. Cory Benton Cook has NOT been involved in any of the events listed below.
1. An award or otherwise being found liable in an arbitration claim alleging damages in excess of \$2,500, involving any of the following:
    - a) an investment or an investment-related business or activity;
    - b) fraud, false statement(s), or omissions;
    - c) theft, embezzlement, or other wrongful taking of property;
    - d) bribery, forgery, counterfeiting, or extortion; or
    - e) dishonest, unfair, or unethical practices.
  2. An award or otherwise being found liable in a civil, self-regulatory organization, or administrative proceeding involving any of the following:
    - a) an investment or an investment-related business or activity;
    - b) fraud, false statement(s), or omissions;
    - c) theft, embezzlement, or other wrongful taking of property;
    - d) bribery, forgery, counterfeiting, or extortion; or
    - e) dishonest, unfair, or unethical practices.
- B. Cory Benton Cook has NOT been the subject of a bankruptcy petition in the past ten years.