MIDWEST PRIVATE CLIENT GROUP

Wealth. Planning. Simplified.

New Client Intake Form

Confidential

⌂ Summary and Disclosure

We look forward to serving as your finance and planning team alongside your other personal advisors. Our objective is to enhance the goals you have set for your life and improve the professional relationships you've made creating your plans and strategies. The information on this form is general in nature, and it is great starting point for our professional guidance. Depending on each individual's circumstances, it may be necessary to acquire more information later.

⌂ Confidentiality Statement

It is our duty to uphold a strict confidential, private relationship with you. We will not speak to any other advisor, individual, or entity about you or the work we have done for you without your permission. This includes collaborative efforts with your accountants and attorneys, so we cannot communicate with them on your behalf without your permission. Under no circumstance will we ever sell your information. We will not have any third party contact you through our recommendation without your knowledge and permission. We look forward to serving you, and we are excited to positively impact your life and the lives you care about. Let's get started!

Personal Information

Name___________________________

Date of Birth ____/____/_______

Spouse's Name_____________________

Date of Birth ____/____/_______

Home Address

____

____

Phone (______)______-___________

Email _______________________________

Employer ___________________________

Spouse's Phone(______)______-_________

Spouse's Email ________________________

Spouses' Employer_____________________

Annual Income_________________________

Spouse's Annual Income________________

Bonus, Rental Income, Retirement Income, Other_______________________________

How many children do you have through your current relationship?_____________

Any children through other relationships? Yes/No How many?___________

Do you anticipate wanting to leave assets and/or cash to your children? Yes/No/Undecided

How many grandchildren do you have? ______________

Do you anticipate wanting to leave assets and/or cash to your grandchildren? Yes/No/Undecided

Reason For Planning and Basic Facts

Who Referred You to Midwest Private Client Group?____________________

What is the purpose and interest of this planning? (check all that apply)

- Get Started on a Full Plan
- Get a Second Opinion on My Current Planning
- Make My Investments More Efficient
- Make Sure I have proper Protection in all or certain areas
- Provide for My Children and Grandchildren
- Review My Estate and Transfer Planning
- Plan for My Business' Future
- Ensure Inheritances are Fair for Children in My Business and Children Not in My Business
- Make Sure My Favorite Employees are Taken Care Of
- Somewhat Uncertain

Financial Information

Life Insurance Policies: How many?______

Amount of each:_____________________

____

Total Premium:______________________

Disability Insurance Policies: __________

____

Total Premium:______________________

Do you have Umbrella Insurance? Yes/No/Unsure

Do you have a mortgage? If so, what's the term & remaining balance:

____

Interest rate:___ Monthly Payment________

Extra Payment__________

Monthly Car Payment_____________

Extra Payment?_______________

Credit Card Monthly Payments__________

Credit Card Balances________________

Student Loan Total Amount____________

Student Loan Monthly Payment__________

Other Debt:_____________________

Bank and Cash Accounts Aggregate Amount:__________________________

Investment Accounts Aggregate Balance

____

Annual Investment Accounts Contribution

____

Retirement Accounts Aggregate Balance

____

Annual Retirement Accounts Contribution

____

Income-Generating Real Estate

#of Properties_____________________

Annual Income_____________________

Do you have a Will? Yes/No/Unsure

Trust? Yes/No/Unsure

What Kind of Trust(s)?

Advisor List

Accountant ____ □ Don't have one

Personal Attorney____ □ Don't have one

Car Insurance Agent______________________________________ □ Don't have one

Commercial Insurance Agent______________________________ □ Don't have one

Life Insurance Agent______________________________________ □ Don't have one

Financial Planner____ □ Don't have one

Referrals and Introductions

We want to thank you for your interest in our firm and congratulate you for taking the next steps in your financial future. Everyone we meet is working hard to better themselves and trying to make good decisions. The problem is most people need us or someone like us to guide them through the myriad of decisions that need to be made. People prefer to work with someone they know or that knows someone they know. You are in the unique position to help those around you to make sure their decisions will last for themselves and generations to come by introducing us to them. We can promise that everyone we meet with will find value in that meeting. We are not looking for people who are prime candidates for investing large amounts of money, only people who care about their family, business, and community. This introduction will have a positive impact on all who engage our services, and you will help them with that introduction.