

# About Kestra Financial



Headquartered in Austin, Texas, Kestra Financial provides an industry-leading platform that empowers sophisticated independent financial advisors to provide clients with superior service. With an energetic culture rich in reinvention and advocacy, we've developed integrated business management technology that offers exceptional scale and efficiency.

Financial advisors who partner with Kestra Financial have access to a wide range of tools and services they can use to help clients achieve their financial goals, including investment advisory, brokerage, and insurance services. Before being offered to clients, certain products must pass a rigorous vetting and due diligence process performed by a team of experienced professionals at Kestra Financial.

## Strength and Stability



Broker-Dealer  
Established in  
**1997**



**1,800**  
Registered Representatives



**Assets Under  
Advisement<sup>1</sup>**

**\$71 billion**



**Assets Under  
Management<sup>2</sup>**

**\$19.2 billion**



**Total Revenue<sup>3</sup>**

**\$423 million**

## An Industry Leader

Top Ten



**#8** **Fastest Growing Independent Broker-Dealers**  
by Financial Planning, 2016 Top 10 IBDs by Revenue Growth

**#10** **Independent Broker-Dealers by Gross Revenue**  
by Investment Advisor, 2016 Broker-Dealer Reference Guide

Kestra Financial, Inc. is the parent company of Kestra Investment Services, LLC, member FINRA/SIPC, Kestra Advisory Services, LLC, and Kestra Private Wealth Services, LLC. Securities offered through Registered Representatives of Kestra Investment Services, LLC. Investment Advisory Services offered through Investment Advisor Representatives of Kestra Advisory Services, LLC or Kestra Private Wealth Services, LLC. For more information about Kestra Financial, visit [www.kestrafinancial.com](http://www.kestrafinancial.com).

1. As of Dec. 31, 2016, assets include Kestra Investment Services, LLC, Kestra Advisory Services, LLC, Kestra Private Wealth Services, LLC, and Kestra Institutional Services  
2. As of Dec. 31, 2016, assets include Kestra Advisory Services, LLC, Kestra Private Wealth Services, LLC, and Kestra Institutional Services  
3. As of Dec. 31, 2016, includes combined revenue of Kestra Financial, Inc. and its subsidiaries