Top Area Wealth Managers/Advisors

Researched and selected by NABCAP (listed alphabetically)

Advisor Name	Firm Name	Avg. Assets Under Management Per Client	Avg. number of clients per advisor	Advisor to Support Staff	Top Five Specialties	Credentials	Phone
Adams Financial Partners Cary	Financial Network Investment Corp	$600,000	30	8:2	RP, FP, AM, RM, CM	CFP	919-424-4650
Aggarwal Group Raleigh	Morgan Stanley Smith Barney	$965,000	70	3:1	RP, FP, AM, PM, CM	CFP	919-877-2431
Altavista Wealth Managment, Inc. Charlotte	Altavista Wealth Management, Inc.	$1,475,000	37	5:3	FP, PP, EP, PM, CM	CFP, CPA	866-684-2600
Coastal Wealth Management Raleigh	Coastal Federal Credit Union	$195,000	100	5:3	RP, FP, AM, EP, CM	CFP	919-865-7771
CottonMoehrke Financial Group Durham	Morgan Stanley Smith Barney	$1,595,000	40	3:2	RP, FP, RM, PM, CM	CFP, CIMA, CRPS	919-490-7183
Dave Powell & Associates Raleigh	Ameriprise Financial	$235,000	163	2:2	RP, FP, AM, EP, CM	CFP, CHFC, CLU	919-870-8930
E. Kemp Reece, Jr. Raleigh	Davenport & Company LLC	$380,000	224	1:1	RP, FP, EP, PM, AM	CFP, CIMA	919-571-6540
Eubanks Lappin Group Cary	Merrill Lynch	$4,190,000	75	2:4	RP, AM, RM, LM, CM	CIMA, CPWA	919-319-7173
Fordham Group Chapel Hill	Morgan Stanley Smith Barney	$715,000	42	5:2	RP, FP, AM, EP, SA	CFA	919-960-5472
Gary C. Rooth Team Raleigh	Janney Montgomery Scott	$660,000	98	3:1	CS, AM, FS, RM, CM	CFP	919-788-2051
Gaulden & Associates Durham	Ameriprise Financial	$850,000	100	2:4	RP, FP, RM, PM, CM	CFP	919-361-9898
Gordon L Richardson, Jr. Raleigh	Merrill Lynch	$1,250,000	151	1:3	RP, RM, LM, CM, AM		919-829-2076
Hunter Muse Raleigh	Ameriprise Financial	$195,000	200	1:1	RP, FP, WP, AM, EP	CFP, CLU	919-803-1764
Jester Group Chapel Hill	Morgan Stanley Smith Barney	$815,000	72	3:2	RP, FP, PM, CM, AM	CFP, CIMA, CPWA, CRPS	919-960-5464
Moore, Corbett & Gunn Group Durham	Morgan Stanley Smith Barney	$420,000	167	3:1	RP, FP, AM, EP, AM	CFP, CRPS	919-490-7165
Morris Group Raleigh	Graystone Consulting, Morgan Stanley Smith Barney	$24,000,000	75	1:4	CS, AM, RM, CM, AM	CFP, CIMA	919-877-2402
Pamela S. Rigsby Raleigh	Raymond James Financial Services	$365,000	200	1:2	RP, WP, AM, EP, CM	CFP	919-847-7600
Paul Jackson Raleigh	Morgan Stanley Smith Barney	$3,125,000	80	1:3	CS, AM, FS, ES, CM		919-877-2468
Phillip P. Sielatycki Raleigh	Ameriprise Financial	$250,000	75	1:1	RP, FP, RM, PM, CM		919-851-1011
Rakestraw Malloy Team Raleigh	Scott & Stringfellow	$3,500,000	15	2:3	FP, AM, BP, EP, CM	CFP	919-571-1893
Rosenthal, Puff & Associates Durham	Merrill Lynch	$1,530,000	94	2:2	RP, FP, PP, EP, CM	CFP, CIMA, CRPS	919-490-8228
Sizemore Group Raleigh	Morgan Stanley Smith Barney	$1,195,000	63	4:3	FP, AM, RS, FS, PM		919-571-6160
Weston Banks Wealth Partners Raleigh	Raymond James	$735,000	75	1:2	RP, WP, PP, EP, CM		919-783-8500

Credentials Index:
- CEP: Certified Estate Planner
- CFA: Chartered Financial Analyst
- CFP: Certified Financial Planner
- CIMA: Certified Investment Management Analyst
- CAIA: Chartered Alternative Investment Analyst
- CPWA: Certified Private Wealth Advisor
- CHFC: Chartered Financial Consultant
- CRPS: Chartered Retirement Plans Specialist
- CLU: Chartered Life Underwriter
- MCEP: Master Certified Estate Planner
- CPA: Certified Public Accountant
- AIF: Accredited Investment Fiduciary

Specialties Index:
- RP: Retirement Planning
- EP: Estate Planning
- PP: Philanthropic Planning
- WP: Wealth Preservation/Insurance
- CM: Comprehensive Wealth Management
- PM: Portfolio Management
- RM: Risk Management
- RS: Retirement Services
- FS: Family Office Services
- PA: Professional Athletes
- LC: Low Cost Provider
- FP: Financial Planning
- SP: Special Needs Planning
- BP: Business Planning
- CP: Capital Preservation
- AM: Asset Allocation Management
- IM: Investment Management
- LM: Liability Management
- CS: Corporate Services
- ES: Executive Services
- HP: High Profiled Individuals
- SA: Separately Managed Accounts

Distinguishing characteristics of an advisory practice will include in part, though not exclusively: Mass Affluent or High Net Worth practice, Team dynamics- who comprises the team, Years of experience Credentials/Designations, Minimum investable assets to become a new client, Planning philosophy Investment philosophy, Risk philosophy, Typical percentage of Alternative Investment ownership Fee/Cost structure, Customer service Model, U4/ADV status