# Item 1: Cover Page Part 2B of Form ADV: Brochure Supplement March 2023

# **Christopher McKay Dockery**

Pinnacle Wealth, LLC 1020 Highland Colony Parkway, Suite 603 Ridgeland, MS 39157

www.mypinnwealth.com

Firm Contact: Carol Brock Chief Compliance Officer

This brochure supplement provides information about Mr. Dockery that supplements our brochure. You should have received a copy of that brochure. Please contact Ms. Brock if you did not receive Pinnacle Wealth LLC's brochure or if you have any questions about the contents of this supplement. Additional information about Mr. Dockery is available on the SEC's website at <a href="https://www.adviserinfo.sec.gov">www.adviserinfo.sec.gov</a> by searching CRD #5870461.

### Item 2: Educational Background & Business Experience

# **Christopher McKay Dockery**

Year of Birth: 1970

#### **Educational Background:**

• 1992: Mississippi State University; Bachelor's Degree in General Business

#### **Business Background:**

- 05/2021 Present Pinnacle Wealth, LLC; Corporate Retirement Plans Vice President
- 10/2014 05/2021 Pinnacle Trust; Financial Advisor
   10/2010 10/2014 Merrill Lynch; Financial Advisor

#### **Exams, Licenses & Other Professional Designations:**

- 2021: Series 65 Exam
- 2015: SIE Exam
- 2011: Series 7, 63 Exams

# **Item 3: Disciplinary Information**<sup>1</sup>

There are no legal or disciplinary events material to the evaluation of Mr. Dockery.

## **Item 4: Other Business Activities**

Mr. Dockery does not have any outside business activities to report.

#### **Item 5: Additional Compensation**

Mr. Dockery does not receive any other economic benefit for providing advisory services in addition to advisory fees.

#### **Item 6: Supervision**

Carol Brock, Chief Operating Officer and Chief Compliance Officer of Pinnacle Wealth, LLC, supervises and monitors Mr. Dockery's activities on a regular basis to ensure compliance with our firm's Code of Ethics. Please contact Ms. Brock if you have any questions about Mr. Dockery's brochure supplement at (601) 957-0323.

<sup>&</sup>lt;sup>1</sup> Note: Our firm may, under certain circumstances, rebut the presumption that a disciplinary event is material. If an event is immaterial, we are not required to disclose it. When we review a legal or disciplinary event involving the advisor to determine whether it is appropriate to rebut the presumption of materiality, we consider all of the following factors: (1) the proximity of advisor to the advisory function; (2) the nature of the infraction that led to the disciplinary event; (3) the severity of the disciplinary sanction; and (4) the time elapsed since the date of the disciplinary event. If we conclude that the materiality presumption has been overcome, we prepare and maintain a file memorandum of our determination in our records. We follow SEC rule 204-2(a)(14)(iii) and similar state rules.