



CONGRESS ASSET  
MANAGEMENT COMPANY

## **Form ADV Part 2B – Brochure Supplement**

for

**Daniel A. Lagan, CFA  
CEO & President**

**Effective: March 11, 2020**

This Brochure Supplement provides information about the background and qualifications of Daniel A. Lagan, CFA (CRD# **2236297**) in addition to the information contained in the Congress Asset Management, LLP (“CAM” or the “Advisor”) (CRD# **105161**) Disclosure Brochure. If you have not received a copy of the Disclosure Brochure or if you have any questions about the contents of the CAM Disclosure Brochure or this Brochure Supplement, please contact us at (617) 428-4300.

Additional information about Mr. Lagan is available on the SEC’s Investment Adviser Public Disclosure website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

## Item 2 – Educational Background and Business Experience

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Daniel A. Lagan is the Chief Executive Officer, President, and Chief Investment Officer of CAM. Mr. Lagan, born in 1964, is dedicated to advising clients of CAM. He is the chair of the Firm's Management Committee and the Investment Oversight Committee. Additionally, Mr. Lagan is a member of all investment committees ex officio in his role as CIO. Mr. Lagan completed his undergraduate studies at St. Michael's College in 1987. He later received his MBA with a specialization in Finance from Boston College in 1993. Additional information regarding Mr. Lagan's employment history is included below.

### Employment History:

Congress Asset Management; CEO, President and Chief Investment Officer	08/2013 to Present
Congress Asset Management; President	07/1999 to 08/2013
Congress Asset Management; Executive Vice President	08/1989 to 06/1999
Price Waterhouse; Auditor	09/1987 to 04/1989
Congress Trust National Association; Executive Vice President & Trust Officer	12/1997 to 11/2009
Congress Wealth Management, LLC; Director	04/2009 to Present

### Chartered Financial Analyst ("CFA")

The Chartered Financial Analyst ("CFA") charter is a professional designation established in 1962 and awarded by CFA Institute. To earn the CFA charter, candidates must pass three sequential, six-hour examinations over two to four years. The three levels of the CFA Program test a wide range of investment topics, including ethical and professional standards, fixed-income analysis, alternative and derivative investments, and portfolio management and wealth planning. In addition, CFA charter holders must have at least four years of acceptable professional experience in the investment decision-making process and must commit to abide by, and annually reaffirm, their adherence to the CFA Institute Code of Ethics and Standards of Professional Conduct.

## Item 3 – Disciplinary Information

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**There are no legal, civil, or disciplinary events to disclose regarding Mr. Lagan.** Mr. Lagan has never been involved in any regulatory, civil, or criminal action. There have been no client complaints, lawsuits, arbitration claims, or administrative proceedings against Mr. Lagan.

Securities laws require an advisor to disclose any instances where the advisor or its advisory persons have been found liable in a legal, regulatory, civil, or arbitration matter that alleges violation of securities and other statutes; fraud; false statements or omissions; theft, embezzlement or wrongful taking of property; bribery, forgery, counterfeiting, or extortion; and/or dishonest, unfair or unethical practices. **As previously noted, there are no legal, civil, or disciplinary events to disclose regarding Mr. Lagan.**

However, we do encourage you to independently view the background of Mr. Lagan on the Investment Adviser Public Disclosure website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov). Select "Investment Adviser Search" from the left navigation menu. Then select the option for "Individual" and enter **2236297** in the field labeled "Individual Name or CRD#".

## Item 4 – Other Business Activities

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### Congress Wealth Management, LLC

Mr. Lagan is a member of the Board of Directors of Congress Wealth Management, LLC. He does not receive commissions, bonuses, or other compensation on the sale of securities or other investment products. He is not engaged in any other business or occupation that provides substantial compensation or involves a substantial amount of his time. Daniel A. Lagan does not receive any economic benefit from a non-advisory client for the

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2 Seaport Lane, Suite 500, Boston, MA 02210  
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provision of advisory services.

### **Item 5 – Additional Compensation**

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Mr. Lagan does not have additional business activities where compensation is received. His business activities are detailed in Item 4 above.

### **Item 6 – Supervision**

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Mr. Lagan's activities are overseen by the CAM Management Committee and he is subject to the firm's compliance program administered by the CAM Chief Compliance Officer.

CAM has implemented a Code of Ethics and internal compliance that guide each employee in meeting their fiduciary obligations to clients of CAM. Further, CAM is subject to regulatory oversight by various agencies. These agencies require registration by CAM and its employees. As a registered entity, CAM is subject to examinations by regulators, which may be announced or unannounced. CAM is required to periodically update the information provided to these agencies and to provide various reports regarding the business activities and assets of the Advisor.



**CONGRESS ASSET  
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## **Form ADV Part 2B – Brochure Supplement**

**for**

**Christopher M. Lagan, CFA,  
Managing Director, COO**

**Effective: March 11, 2020**

This Brochure Supplement provides information about the background and qualifications of Christopher M. Lagan, CFA (CRD# **2497190**) in addition to the information contained in the Congress Asset Management, LLP (“CAM” or the “Advisor”) (CRD# **105161**) Disclosure Brochure. If you have not received a copy of the Disclosure Brochure or if you have any questions about the contents of the CAM Disclosure Brochure or this Brochure Supplement, please contact us at (617) 428-4300.

Additional information about Mr. Lagan is available on the SEC's Investment Adviser Public Disclosure website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

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[www.congressasset.com](http://www.congressasset.com)

## Item 2 – Educational Background and Business Experience

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Christopher M. Lagan is Managing Director and Chief Operating Officer (COO) of CAM. Mr. Lagan, born in 1970, is dedicated to advising clients of CAM. He is a member of the firm's Management Committee and is also head of the Private Equity Investment Policy Committee. Mr. Lagan received a Bachelor of Science degree in Business Administration from Saint Michael's College in 1992. In January, 1999 he received a Master's of Business Administration from Boston University's Graduate School of Management. Additional information regarding Mr. Lagan's employment history is included below.

### Employment History:

Congress Asset Management; Managing Director and Chief Operating Officer	08/2013 to Present
Congress Asset Management; Senior Vice President	02/1999 to 08/2013
Congress Wealth Management, LLC; Director	04/2009 to Present
Congress Trust National Association; Vice President and Trust Officer	02/1999 to 12/2005
Congress Asset Management; Research Analyst	02/1997 to 02/1999
Rentex Inc; Purchasing Agent	07/1992 to 07/1993

### Chartered Financial Analyst ("CFA")

The Chartered Financial Analyst ("CFA") charter is a professional designation established in 1962 and awarded by CFA Institute. To earn the CFA charter, candidates must pass three sequential, six-hour examinations over two to four years. The three levels of the CFA Program test a wide range of investment topics, including ethical and professional standards, fixed-income analysis, alternative and derivative investments, and portfolio management and wealth planning. In addition, CFA charter holders must have at least four years of acceptable professional experience in the investment decision-making process and must commit to abide by, and annually reaffirm, their adherence to the CFA Institute Code of Ethics and Standards of Professional Conduct.

## Item 3 – Disciplinary Information

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***There are no legal, civil, or disciplinary events to disclose regarding Mr. Lagan.*** Mr. Lagan has never been involved in any regulatory, civil, or criminal action. There have been no client complaints, lawsuits, arbitration claims, or administrative proceedings against Mr. Lagan.

Securities laws require an advisor to disclose any instances where the advisor or its advisory persons have been found liable in a legal, regulatory, civil or arbitration matter that alleges violation of securities and other statutes; fraud; false statements or omissions; theft, embezzlement or wrongful taking of property; bribery, forgery, counterfeiting, or extortion; and/or dishonest, unfair or unethical practices. ***As previously noted, there are no legal, civil, or disciplinary events to disclose regarding Mr. Lagan.***

However, we do encourage you to independently view the background of Mr. Lagan on the Investment Adviser Public Disclosure website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov). Select "Investment Adviser Search" from the left navigation menu. Then select the option for "Individual" and enter **2497190** in the field labeled "Individual Name or CRD#".

## Item 4 – Other Business Activities

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### Congress Wealth Management, LLC

Mr. Lagan is a member of the Board of Directors of Congress Wealth Management, LLC. Mr. Lagan does not receive commissions, bonuses, or other compensation on the sale of securities or other investment products. Mr. Lagan is not engaged in any other business or occupation that provides substantial compensation or involves

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a substantial amount of his time. Christopher Michael Lagan does not receive any economic benefit from a non-advisory client for the provision of advisory services.

### **Item 5 – Additional Compensation**

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Mr. Lagan has additional business activities that are detailed in item 4 above.

### **Item 6 – Supervision**

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Mr. Lagan serves as the Managing Director and COO of CAM and is supervised by Daniel A. Lagan, the CEO and President of the Advisor. Daniel A. Lagan can be reached at (617) 428-4300 or by email at [dlagan@congressasset.com](mailto:dlagan@congressasset.com).

CAM has implemented a Code of Ethics and internal compliance that guide each employee in meeting their fiduciary obligations to clients of CAM. Further, CAM is subject to regulatory oversight by various agencies. These agencies require registration by CAM and its employees. As a registered entity, CAM is subject to examinations by regulators, which may be announced or unannounced. CAM is required to periodically update the information provided to these agencies and to provide various reports regarding the business activities and assets of the Advisor.



CONGRESS ASSET  
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## Form ADV Part 2B – Brochure Supplement

for

**Matthew Terence Lagan, CFA**  
**Vice President, Portfolio Manager**

**Effective: March 11, 2020**

This Brochure Supplement provides information about the background and qualifications of Matthew Terence Lagan, CFA (CRD# **4345233**) in addition to the information contained in the Congress Asset Management, LLP (“CAM” or the “Advisor”) (CRD# **105161**) Disclosure Brochure. If you have not received a copy of the Disclosure Brochure or if you have any questions about the contents of the CAM Disclosure Brochure or this Brochure Supplement, please contact us at (617) 428-4300.

Additional information about Mr. Lagan is available on the SEC's Investment Adviser Public Disclosure website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

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[www.congressasset.com](http://www.congressasset.com)

## Item 2 – Educational Background and Business Experience

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Matthew Lagan is a Portfolio Manager of CAM. Mr. Lagan, born in 1973, is dedicated to advising clients of CAM. He is a member of the firm's Management Committee and a member of the Large Cap Growth Investment Policy Committee and All Cap Opportunity Investment Policy Committee. Mr. Lagan attended Bridgewater State University for his undergraduate studies. Mr. Lagan received a Master's of Business Administration from the University of Dublin in 2003. Additional information regarding Mr. Lagan's employment history is included below.

### Employment History:

Congress Asset Management; Vice President, Portfolio Manager	01/2014 to Present
Congress Asset Management; Senior Research Analyst	01/2003 to 01/2014

### Chartered Financial Analyst ("CFA")

The Chartered Financial Analyst ("CFA") charter is a professional designation established in 1962 and awarded by CFA Institute. To earn the CFA charter, candidates must pass three sequential, six-hour examinations over two to four years. The three levels of the CFA Program test a wide range of investment topics, including ethical and professional standards, fixed-income analysis, alternative and derivative investments, and portfolio management and wealth planning. In addition, CFA charter holders must have at least four years of acceptable professional experience in the investment decision-making process and must commit to abide by, and annually reaffirm, their adherence to the CFA Institute Code of Ethics and Standards of Professional Conduct.

## Item 3 – Disciplinary Information

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***There are no legal, civil, or disciplinary events to disclose regarding Mr. Lagan.*** Mr. Lagan has never been involved in any regulatory, civil, or criminal action. There have been no client complaints, lawsuits, arbitration claims, or administrative proceedings against Mr. Lagan.

Securities laws require an advisor to disclose any instances where the advisor or its advisory persons have been found liable in a legal, regulatory, civil, or arbitration matter that alleges violation of securities and other statutes; fraud; false statements or omissions; theft, embezzlement or wrongful taking of property; bribery, forgery, counterfeiting, or extortion; and/or dishonest, unfair or unethical practices. ***As previously noted, there are no legal, civil, or disciplinary events to disclose regarding Mr. Lagan.***

However, we do encourage you to independently view the background of Mr. Lagan on the Investment Adviser Public Disclosure website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov). Select "Investment Adviser Search" from the left navigation menu. Then select the option for "Individual" and enter **2397678** in the field labeled "Individual Name or CRD#".

## Item 4 – Other Business Activities

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Mr. Lagan does not receive commissions, bonuses, or other compensation on the sale of securities or other investment products. He is not engaged in any other business or occupation that provides substantial compensation or involves a substantial amount of his time. He does not receive any economic benefit from a non-advisory client for the provision of advisory services.



## **Item 5 – Additional Compensation**

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Mr. Lagan does not have additional business activities where compensation is received. His business activities are detailed in item 4 above.

## **Item 6 – Supervision**

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Mr. Lagan serves as Vice President, Portfolio Manager of CAM and is supervised by Daniel A. Lagan, the CEO and President of the Advisor. Mr. Daniel A. Lagan can be reached at (617) 428-4300 or by email at [dlagan@congressasset.com](mailto:dlagan@congressasset.com).

CAM has implemented a Code of Ethics and internal compliance that guide each employee in meeting their fiduciary obligations to clients of CAM. Further, CAM is subject to regulatory oversight by various agencies. These agencies require registration by CAM and its employees. As a registered entity, CAM is subject to examinations by regulators, which may be announced or unannounced. CAM is required to periodically update the information provided to these agencies and to provide various reports regarding the business activities and assets of the Advisor.



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## Form ADV Part 2B – Brochure Supplement

for

**John M. Beaver, CFA**  
**Vice President, Portfolio Manager**

**Effective: March 11, 2020**

This Brochure Supplement provides information about the background and qualifications of John M. Beaver, CFA (CRD# **4587495**) in addition to the information contained in the Congress Asset Management, LLP (“CAM” or the “Advisor”) (CRD# **105161**) Disclosure Brochure. If you have not received a copy of the Disclosure Brochure or if you have any questions about the contents of the CAM Disclosure Brochure or this Brochure Supplement, please contact us at (617) 428-4300.

Additional information about Mr. Beaver is available on the SEC’s Investment Adviser Public Disclosure website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

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## Item 2 – Educational Background and Business Experience

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John M. Beaver is a Portfolio Manager of CAM. Mr. Beaver, born in 1969, is dedicated to advising clients of CAM. He is a member of the Investment Oversight Committee, the Fixed Income Investment Policy Committee and the All Cap Opportunity Investment Committee. Mr. Beaver completed his undergraduate studies at Colby College. He also attended the two-year graduate program at Babson College. Additional information regarding Mr. Beaver's employment history is included below.

### Employment History:

Congress Asset Management; Vice President, Portfolio Manager	12/2006 to Present
Congress Asset Management; Credit Analyst	06/2002 to 12/2006
Massachusetts Financial Services Company; Quantitative Research Associate	11/1996 to 08/2002
Fidelity Investments; Performance Analyst	09/1992 to 07/1996

### Chartered Financial Analyst ("CFA")

The Chartered Financial Analyst ("CFA") charter is a professional designation established in 1962 and awarded by CFA Institute. To earn the CFA charter, candidates must pass three sequential, six-hour examinations over two to four years. The three levels of the CFA Program test a wide range of investment topics, including ethical and professional standards, fixed-income analysis, alternative and derivative investments, and portfolio management and wealth planning. In addition, CFA charter holders must have at least four years of acceptable professional experience in the investment decision-making process and must commit to abide by, and annually reaffirm, their adherence to the CFA Institute Code of Ethics and Standards of Professional Conduct.

## Item 3 – Disciplinary Information

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***There are no legal, civil, or disciplinary events to disclose regarding Mr. Beaver.*** Mr. Beaver has never been involved in any regulatory, civil, or criminal action. There have been no client complaints, lawsuits, arbitration claims, or administrative proceedings against Mr. Beaver.

Securities laws require an advisor to disclose any instances where the advisor or its advisory persons have been found liable in a legal, regulatory, civil, or arbitration matter that alleges violation of securities and other statutes; fraud; false statements or omissions; theft, embezzlement or wrongful taking of property; bribery, forgery, counterfeiting, or extortion; and/or dishonest, unfair or unethical practices. ***As previously noted, there are no legal, civil, or disciplinary events to disclose regarding Mr. Beaver.***

However, we do encourage you to independently view the background of Mr. Beaver on the Investment Adviser Public Disclosure website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov). Select "Investment Adviser Search" from the left navigation menu. Then select the option for "Individual" and enter **4587495** in the field labeled "Individual Name or CRD#".

## Item 4 – Other Business Activities

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John M. Beaver does not receive commissions, bonuses, or other compensation on the sale of securities or other investment products. He is not engaged in any other business or occupation that provides substantial compensation or involves a substantial amount of his time. He does not receive any economic benefit from a non-advisory client for the provision of advisory services.

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## **Item 5 – Additional Compensation**

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Mr. Beaver does not have additional business activities where compensation is received. His business activities are detailed in item 4 above.

## **Item 6 – Supervision**

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Mr. Beaver serves as Vice President, Portfolio Manager of CAM and is supervised by Jeffrey R. Porter, the EVP and Director of Fixed Income of the Advisor. Mr. Porter can be reached at (617)-428-4300 or by email at [jporter@congressasset.com](mailto:jporter@congressasset.com).

CAM has implemented a Code of Ethics and internal compliance that guide each employee in meeting their fiduciary obligations to clients of CAM. Further, CAM is subject to regulatory oversight by various agencies. These agencies require registration by CAM and its employees. As a registered entity, CAM is subject to examinations by regulators, which may be announced or unannounced. CAM is required to periodically update the information provided to these agencies and to provide various reports regarding the business activities and assets of the Advisor.



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## **Form ADV Part 2B – Brochure Supplement**

**for**

**E. Martine Elie, CFA  
Vice President, Portfolio Manager**

**Effective: March 11, 2020**

This Brochure Supplement provides information about the background and qualifications of E. Martine Elie, CFA (CRD# **4345533**) in addition to the information contained in the Congress Asset Management, LLP (“CAM” or the “Advisor”) (CRD# **105161**) Disclosure Brochure. If you have not received a copy of the Disclosure Brochure or if you have any questions about the contents of the CAM Disclosure Brochure or this Brochure Supplement, please contact us at (617) 428-4300.

Additional information about Ms. Elie is available on the SEC’s Investment Adviser Public Disclosure website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

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[www.congressasset.com](http://www.congressasset.com)

## Item 2 – Educational Background and Business Experience

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E. Martine Elie is a Portfolio Manager of CAM. Ms. Elie, born in 1972, is dedicated to advising clients of CAM. She is a member of the firm's Investment Oversight Committee and a member of the Private Equity Investment Policy Committee, the Large Cap Investment Policy Committee, and Dividend Growth Investment Policy Committee. Ms. Elie received an MBA from Northeastern in 1999 with a concentration in Finance. She earned her undergraduate degree from HEC Montreal in 1994. Additional information regarding Ms. Elie's employment history is included below.

### Employment History:

Congress Asset Management; Vice President, Portfolio Manager	01/2002 to Present
Congress Trust National Association; Vice President and Trust officer	08/2005 to 09/2009
Congress Asset Management; Research Analyst	06/1999 to 01/2002
Credifinance Securities (formerly Majendie Charlton Securities); Analyst	05/1995 to 06/1997

### Chartered Financial Analyst ("CFA")

The Chartered Financial Analyst ("CFA") charter is a professional designation established in 1962 and awarded by CFA Institute. To earn the CFA charter, candidates must pass three sequential, six-hour examinations over two to four years. The three levels of the CFA Program test a wide range of investment topics, including ethical and professional standards, fixed-income analysis, alternative and derivative investments, and portfolio management and wealth planning. In addition, CFA charter holders must have at least four years of acceptable professional experience in the investment decision-making process and must commit to abide by, and annually reaffirm, their adherence to the CFA Institute Code of Ethics and Standards of Professional Conduct.

## Item 3 – Disciplinary Information

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***There are no legal, civil, or disciplinary events to disclose regarding Ms. Elie.*** Ms. Elie has never been involved in any regulatory, civil, or criminal action. There have been no client complaints, lawsuits, arbitration claims, or administrative proceedings against Ms. Elie.

Securities laws require an advisor to disclose any instances where the advisor or its advisory persons have been found liable in a legal, regulatory, civil, or arbitration matter that alleges violation of securities and other statutes; fraud; false statements or omissions; theft, embezzlement or wrongful taking of property; bribery, forgery, counterfeiting, or extortion; and/or dishonest, unfair or unethical practices. ***As previously noted, there are no legal, civil, or disciplinary events to disclose regarding Ms. Elie.***

However, we do encourage you to independently view the background of Ms. Elie on the Investment Adviser Public Disclosure website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov). Select "Investment Adviser Search" from the left navigation menu. Then select the option for "Individual" and enter **4345533** in the field labeled "Individual Name or CRD#".

## Item 4 – Other Business Activities

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Ms. Elie is not engaged in any other investment-related activities. She does not receive commissions, bonuses, or other compensation on the sale of securities or other investment products. She is not engaged in any other business or occupation that provides substantial compensation or involves a substantial amount of her time. She does not receive any economic benefit from a non-advisory client for the provision of advisory services.

## **Item 5 – Additional Compensation**

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Ms. Elie does not have additional business activities where compensation is received. Her business activities are detailed in item 4 above.

## **Item 6 – Supervision**

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Ms. Elie serves as Vice President, Portfolio Manager of CAM and is supervised by Daniel A. Lagan, the CEO and President of the Advisor. Mr. Lagan can be reached at (617) 428-4300 or by email at [dlagan@congressasset.com](mailto:dlagan@congressasset.com).

CAM has implemented a Code of Ethics and internal compliance that guide each employee in meeting their fiduciary obligations to clients of CAM. Further, CAM is subject to regulatory oversight by various agencies. These agencies require registration by CAM and its employees. As a registered entity, CAM is subject to examinations by regulators, which may be announced or unannounced. CAM is required to periodically update the information provided to these agencies and to provide various reports regarding the business activities and assets of the Advisor.



**CONGRESS ASSET  
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## **Form ADV Part 2B – Brochure Supplement**

**for**

**John G. Fitzgerald, CFA  
Vice President, Portfolio Manager**

**Effective: March 11, 2020**

This Brochure Supplement provides information about the background and qualifications of John G. Fitzgerald, CFA (CRD# **2958946**) in addition to the information contained in the Congress Asset Management, LLP (“CAM” or the “Advisor”) (CRD# **105161**) Disclosure Brochure. If you have not received a copy of the Disclosure Brochure or if you have any questions about the contents of the CAM Disclosure Brochure or this Brochure Supplement, please contact us at (617) 428-4300.

Additional information about Mr. Fitzgerald is available on the SEC’s Investment Adviser Public Disclosure website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

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[www.congressasset.com](http://www.congressasset.com)



## Item 2 – Educational Background and Business Experience

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John G. Fitzgerald is a Portfolio Manager of CAM. Mr. Fitzgerald, born in 1964, is dedicated to advising clients of CAM. He is a member of the Private Equity Investment Policy Committee, the All Cap Opportunity Investment Policy Committee, and the Small Cap Investment Policy Committee. Mr. Fitzgerald completed a Bachelor of Business Administration from the University of Massachusetts in 1986 with a concentration in Finance. He also received an MBA from Suffolk University in 1991. Additional information regarding Mr. Fitzgerald's employment history is included below.

### Employment History:

Congress Asset Management; Vice President, Portfolio Manager	06/2001 to Present
Congress Trust National Association; Vice president and Trust Officer	04/2002 to 06/2009
MG Financial; Principal	09/1996 to 06/2001
Gannett Welsh & Kotler; Vice President	08/1994 to 8/1996
Wellington Management Company; Assistant Vice President	01/1989 to 07/1994
Putnam Investor Services; Senior Accountant	09/1986 to 12/1988

### Chartered Financial Analyst ("CFA")

The Chartered Financial Analyst ("CFA") charter is a professional designation established in 1962 and awarded by CFA Institute. To earn the CFA charter, candidates must pass three sequential, six-hour examinations over two to four years. The three levels of the CFA Program test a wide range of investment topics, including ethical and professional standards, fixed-income analysis, alternative and derivative investments, and portfolio management and wealth planning. In addition, CFA charter holders must have at least four years of acceptable professional experience in the investment decision-making process and must commit to abide by, and annually reaffirm, their adherence to the CFA Institute Code of Ethics and Standards of Professional Conduct.

## Item 3 – Disciplinary Information

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***There are no legal, civil, or disciplinary events to disclose regarding Mr. Fitzgerald.*** Mr. Fitzgerald has never been involved in any regulatory, civil, or criminal action. There have been no client complaints, lawsuits, arbitration claims, or administrative proceedings against Mr. Fitzgerald.

Securities laws require an advisor to disclose any instances where the advisor or its advisory persons have been found liable in a legal, regulatory, civil, or arbitration matter that alleges violation of securities and other statutes; fraud; false statements or omissions; theft, embezzlement or wrongful taking of property; bribery, forgery, counterfeiting, or extortion; and/or dishonest, unfair or unethical practices. ***As previously noted, there are no legal, civil, or disciplinary events to disclose regarding Mr. Fitzgerald.***

However, we do encourage you to independently view the background of Mr. Fitzgerald on the Investment Adviser Public Disclosure website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov). Select "Investment Adviser Search" from the left navigation menu. Then select the option for "Individual" and enter **2958946** in the field labeled "Individual Name or CRD#".

## Item 4 – Other Business Activities

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Mr. Fitzgerald is not engaged in any other investment-related activities. He does not receive commissions, bonuses, or other compensation on the sale of securities or other investment products. He is not engaged in any other business or occupation that provides substantial compensation or involves a substantial amount of his time. He does not receive any economic benefit from a non-advisory client for the provision of advisory services.

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## **Item 5 – Additional Compensation**

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Mr. Fitzgerald does not have additional business activities where compensation is received. His business activities are detailed in item 4 above.

## **Item 6 – Supervision**

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Mr. Fitzgerald serves as Vice President, Portfolio Manager of CAM and is supervised by Daniel A. Lagan, the CEO and President of the Advisor. Mr. Daniel A. Lagan can be reached at (617) 428-4300 or by email at [dlagan@congressasset.com](mailto:dlagan@congressasset.com).

CAM has implemented a Code of Ethics and internal compliance that guide each employee in meeting their fiduciary obligations to clients of CAM. Further, CAM is subject to regulatory oversight by various agencies. These agencies require registration by CAM and its employees. As a registered entity, CAM is subject to examinations by regulators, which may be announced or unannounced. CAM is required to periodically update the information provided to these agencies and to provide various reports regarding the business activities and assets of the Advisor.



CONGRESS ASSET  
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## Form ADV Part 2B – Brochure Supplement

for

**Brian T. Guild**  
**Vice President, Portfolio Manager**

**Effective: March 11, 2020**

This Brochure Supplement provides information about the background and qualifications of Brian T. Guild (CRD# **5780952**) in addition to the information contained in the Congress Asset Management, LLP (“CAM” or the “Advisor”) (CRD # **105161**) Disclosure Brochure. If you have not received a copy of the Disclosure Brochure or if you have any questions about the contents of the CAM Disclosure Brochure or this Brochure Supplement, please contact us at (617) 428-4300.

Additional information about Mr. Guild is available on the SEC’s Investment Adviser Public Disclosure website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

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2 Seaport Lane, Suite 500, Boston, MA 02210  
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## Item 2 – Educational Background and Business Experience

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Brian T. Guild, born in 1977, is dedicated to advising clients of CAM in his role as a Vice President and Portfolio Manager. He is also a member of the Fixed Income Investment Policy Committee and the All Cap Investment Policy Committee. Mr. Guild earned a B.S. Economics Finance from Bentley College in 2000 and an MBA from Northeastern University in 2011. Additional information regarding Mr. Guild's employment history is included below.

### Employment History:

Congress Asset Management; Portfolio Manager	01/2016 to Present
Congress Wealth Management; Portfolio Manager	12/2010 to 03/2017
Congress Asset Management; Research Analyst	05/2008 to 01/2016
Congress Asset Management; Fixed Income Trader	11/2001 to 05/2008
Congress Asset Management; Portfolio Accountant	08/2000 to 11/2001

## Item 3 – Disciplinary Information

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**There are no legal, civil, or disciplinary events to disclose regarding Mr. Guild.** Mr. Guild has never been involved in any regulatory, civil, or criminal action. There have been no client complaints, lawsuits, arbitration claims, or administrative proceedings against Mr. Guild.

Securities laws require an advisor to disclose any instances where the advisor or its advisory persons have been found liable in a legal, regulatory, civil, or arbitration matter that alleges violation of securities and other statutes; fraud; false statements or omissions; theft, embezzlement or wrongful taking of property; bribery, forgery, counterfeiting, or extortion; and/or dishonest, unfair or unethical practices. **As previously noted, there are no legal, civil, or disciplinary events to disclose regarding Mr. Guild.**

However, we do encourage you to independently view the background of Mr. Guild on the Investment Adviser Public Disclosure website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov) by searching for his individual CRD # **5780952**.

## Item 4 – Other Business Activities

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Mr. Guild is not engaged in any other investment-related activities. He does not receive commissions, bonuses, or other compensation on the sale of securities or other investment products. He is not engaged in any other business or occupation that provides substantial compensation or involves a substantial amount of his time. He does not receive any economic benefit from a non-advisory client for the provision of advisory services.

## Item 5 – Additional Compensation

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Mr. Guild does not have additional business activities where compensation is received. His business activities are detailed in item 4 above.

## Item 6 – Supervision

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Mr. Guild serves as Vice President and Portfolio Manager of CAM and is supervised by Daniel A. Lagan, the CEO and President of the Advisor. Mr. Daniel A. Lagan can be reached at (617) 428-4300 or by email at [dlagan@congressasset.com](mailto:dlagan@congressasset.com).

CAM has implemented a Code of Ethics and internal compliance that guide each employee in meeting their fiduciary obligations to clients of CAM. Further, CAM is subject to regulatory oversight by various agencies. These agencies require registration by CAM and its employees. As a registered entity, CAM is subject to examinations by regulators, which may be announced or unannounced. CAM is required to periodically update the information provided to these agencies and to provide various reports regarding the business activities and assets of the Advisor.



**CONGRESS ASSET  
MANAGEMENT COMPANY**

## **Form ADV Part 2B – Brochure Supplement**

**for**

**Jeffrey K. Kerrigan, CFA  
Vice President, Portfolio Manager**

**Effective: March 11, 2020**

This Brochure Supplement provides information about the background and qualifications of Jeffrey K. Kerrigan, CFA (CRD# **24923389**) in addition to the information contained in the Congress Asset Management, LLP (“CAM” or the “Advisor”) (CRD# **105161**) Disclosure Brochure. If you have not received a copy of the Disclosure Brochure or if you have any questions about the contents of the CAM Disclosure Brochure or this Brochure Supplement, please contact us at (617) 428-4300.

Additional information about Mr. Kerrigan is available on the SEC’s Investment Adviser Public Disclosure website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

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**Congress Asset Management, LLP**  
2 Seaport Lane, Suite 500, Boston, MA 02210  
Phone: (617) 428-4300 Fax: (617) 428-4399  
[www.congressasset.com](http://www.congressasset.com)

## Item 2 – Educational Background and Business Experience

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Jeffrey K. Kerrigan, born in 1971, is a Vice President and Portfolio Manager of CAM. He is head of the Small Cap Value strategy. Mr. Kerrigan earned his Bachelor degree and Master's degree in Economics from Boston University. Additional information regarding Mr. Kerrigan's employment history is included below.

### Employment History:

Congress Asset Management; Vice President and Portfolio Manager	09/2017 to Present
Century Capital Management, LLC; Vice President and Portfolio Manager	03/2014 to 09/2017
Haber Trilix; Portfolio Manager and Chief Investment Officer	05/2013 to 03/2014
Ten Asset Management	08/2010 to 05/2013

### Chartered Financial Analyst ("CFA")

The Chartered Financial Analyst ("CFA") charter is a professional designation established in 1962 and awarded by CFA Institute. To earn the CFA charter, candidates must pass three sequential, six-hour examinations over two to four years. The three levels of the CFA Program test a wide range of investment topics, including ethical and professional standards, fixed-income analysis, alternative and derivative investments, and portfolio management and wealth planning. In addition, CFA charter holders must have at least four years of acceptable professional experience in the investment decision-making process and must commit to abide by, and annually reaffirm, their adherence to the CFA Institute Code of Ethics and Standards of Professional Conduct.

## Item 3 – Disciplinary Information

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***There are no legal, civil, or disciplinary events to disclose regarding Mr. Kerrigan.*** Mr. Kerrigan has never been involved in any regulatory, civil, or criminal action. There have been no client complaints, lawsuits, arbitration claims, or administrative proceedings against Mr. Kerrigan.

Securities laws require an advisor to disclose any instances where the advisor or its advisory persons have been found liable in a legal, regulatory, civil, or arbitration matter that alleges violation of securities and other statutes; fraud; false statements or omissions; theft, embezzlement or wrongful taking of property; bribery, forgery, counterfeiting, or extortion; and/or dishonest, unfair or unethical practices. ***As previously noted, there are no legal, civil, or disciplinary events to disclose regarding Mr. Kerrigan.***

However, we do encourage you to independently view the background of Mr. Kerrigan on the Investment Adviser Public Disclosure website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov) by searching for his full name or CRD # **2492389**.

## Item 4 – Other Business Activities

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Mr. Kerrigan serves as a Board Member for a private family office. This activity is separate from Mr. Kerrigan's capacity with CAM. Mr. Kerrigan spends less than 10% of his time at this activity.

## Item 5 – Additional Compensation

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Mr. Kerrigan has additional business activities that are detailed in item 4 above.

## Item 6 – Supervision

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Mr. Kerrigan serves as Vice President, Portfolio Manager for CAM and is supervised by Daniel A. Lagan, the CEO and President of the Advisor. Mr. Daniel A. Lagan can be reached at (617) 428-4300 or by email at [dlagan@congressasset.com](mailto:dlagan@congressasset.com).

CAM has implemented a Code of Ethics and internal compliance that guide each employee in meeting their fiduciary obligations to clients of CAM. Further, CAM is subject to regulatory oversight by various agencies. These agencies require registration by CAM and its employees. As a registered entity, CAM is subject to examinations by regulators, which may be announced or unannounced. CAM is required to periodically update the information provided to these agencies and to provide various reports regarding the business activities and assets of the Advisor.





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## Form ADV Part 2B – Brochure Supplement

for

**Amy B. Noyes, CFA**  
**Vice President, Portfolio Manager**

**Effective: March 11, 2020**

This Brochure Supplement provides information about the background and qualifications of Amy Noyes, CFA (CRD# **2097723**) in addition to the information contained in the Congress Asset Management, LLP (“CAM” or the “Advisor”) (CRD# **105161**) Disclosure Brochure. If you have not received a copy of the Disclosure Brochure or if you have any questions about the contents of the CAM Disclosure Brochure or this Brochure Supplement, please contact us at (617) 428-4300.

Additional information about Ms. Noyes is available on the SEC’s Investment Adviser Public Disclosure website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

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2 Seaport Lane, Suite 500, Boston, MA 02210  
Phone: (617) 428-4300 Fax: (617) 428-4399  
[www.congressasset.com](http://www.congressasset.com)

## Item 2 – Educational Background and Business Experience

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Amy Noyes is a Portfolio Manager of CAM. Ms. Noyes, born in 1968, is dedicated to advising clients of CAM. She is a member of the Mid Cap Investment Policy Committee and Small Cap Investment Policy Committee. Ms. Noyes received an MBA from Northeastern University in 1994. She earned her undergraduate degree from Castleton University in 1990. Additional information regarding Ms. Noyes' employment history is included below.

### Employment History:

Congress Asset Management; Vice President, Portfolio Manager	05/1998 to Present
Congress Asset Management; Research Analyst	05/1996 to 05/1998
New England Insurance and Investment; Senior Investment Analyst	09/1995 to 05/1996
Fidelity Investments; Research Assistant	09/1994 to 09/1995
Newbury, Piret & Companies, Inc.; Controller & Office Manager	06/1991 to 09/1994

### Chartered Financial Analyst (“CFA”)

The Chartered Financial Analyst (“CFA”) charter is a professional designation established in 1962 and awarded by CFA Institute. To earn the CFA charter, candidates must pass three sequential, six-hour examinations over two to four years. The three levels of the CFA Program test a wide range of investment topics, including ethical and professional standards, fixed-income analysis, alternative and derivative investments, and portfolio management and wealth planning. In addition, CFA charter holders must have at least four years of acceptable professional experience in the investment decision-making process and must commit to abide by, and annually reaffirm, their adherence to the CFA Institute Code of Ethics and Standards of Professional Conduct.

## Item 3 – Disciplinary Information

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***There are no legal, civil, or disciplinary events to disclose regarding Ms. Noyes.*** Ms. Noyes has never been involved in any regulatory, civil, or criminal action. There have been no client complaints, lawsuits, arbitration claims, or administrative proceedings against Ms. Noyes.

Securities laws require an advisor to disclose any instances where the advisor or its advisory persons have been found liable in a legal, regulatory, civil, or arbitration matter that alleges violation of securities and other statutes; fraud; false statements or omissions; theft, embezzlement or wrongful taking of property; bribery, forgery, counterfeiting, or extortion; and/or dishonest, unfair or unethical practices. ***As previously noted, there are no legal, civil, or disciplinary events to disclose regarding Ms. Noyes.***

However, we do encourage you to independently view the background of Ms. Noyes on the Investment Adviser Public Disclosure website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov). Select “Investment Adviser Search” from the left navigation menu. Then select the option for “Individual” and enter **2097723** in the field labeled “Individual Name or CRD#”.

## Item 4 – Other Business Activities

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Ms. Noyes is not engaged in any other investment-related activities. She does not receive commissions, bonuses, or other compensation on the sale of securities or other investment products. She is not engaged in any other business or occupation that provides substantial compensation or involves a substantial amount of her time. She does not receive any economic benefit from a non-advisory client for the provision of advisory services.

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## **Item 5 – Additional Compensation**

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Ms. Noyes does not have additional business activities where compensation is received. Her business activities are detailed in item 4 above.

## **Item 6 – Supervision**

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Ms. Noyes serves as Vice President, Portfolio Manager of CAM and is supervised by Daniel A. Lagan, the CEO and President of the Advisor. Mr. Lagan can be reached at (617) 428-4300 or by email at [dlagan@congressasset.com](mailto:dlagan@congressasset.com).

CAM has implemented a Code of Ethics and internal compliance that guide each employee in meeting their fiduciary obligations to clients of CAM. Further, CAM is subject to regulatory oversight by various agencies. These agencies require registration by CAM and its employees. As a registered entity, CAM is subject to examinations by regulators, which may be announced or unannounced. CAM is required to periodically update the information provided to these agencies and to provide various reports regarding the business activities and assets of the Advisor.



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## **Form ADV Part 2B – Brochure Supplement**

**for**

**Gregg A. O’Keefe, CFA  
Executive Vice President, Portfolio Manager**

**Effective: March 11, 2020**

This Brochure Supplement provides information about the background and qualifications of Gregg A. O’Keefe, CFA (CRD# **2276823**) in addition to the information contained in the Congress Asset Management, LLP (“CAM” or the “Advisor”) (CRD# **105161**) Disclosure Brochure. If you have not received a copy of the Disclosure Brochure or if you have any questions about the contents of the CAM Disclosure Brochure or this Brochure Supplement, please contact us at (617) 428-4300.

Additional information about Mr. O’Keefe is available on the SEC’s Investment Adviser Public Disclosure website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

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[www.congressasset.com](http://www.congressasset.com)

## Item 2 – Educational Background and Business Experience

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Gregg A. O’Keefe is a Portfolio Manager of CAM. Mr. O’Keefe, born in 1960, is dedicated to advising clients of CAM. He is Head of the Small Cap Investment Policy Committee. Mr. O’Keefe is also a member of the firm’s Management Committee, the Investment Oversight Committee, the Large Cap Investment Policy Committee, and the Mid Cap Investment Policy Committee. Mr. O’Keefe completed a Bachelor of Science in Business Administration from Boston University with a concentration in Finance in 1982. He also received an MBA from Boston College in 1989. Additional information regarding Mr. O’Keefe’s employment history is included below.

### Employment History:

Congress Asset Management; Executive Vice President	07/1999 to Present
Congress Asset Management; Senior Vice President	05/1986 to 06/1999
Congress Trust National Association; Vice President & Trust Officer	12/1997 to 10/2005
Congress Trust National Association; Vice President	08/1994 to 8/1996
Trustee & Investors Co., Inc.; Analyst	06/1982 to 04/1986

### Chartered Financial Analyst (“CFA”)

The Chartered Financial Analyst (“CFA”) charter is a professional designation established in 1962 and awarded by CFA Institute. To earn the CFA charter, candidates must pass three sequential, six-hour examinations over two to four years. The three levels of the CFA Program test a wide range of investment topics, including ethical and professional standards, fixed-income analysis, alternative and derivative investments, and portfolio management and wealth planning. In addition, CFA charter holders must have at least four years of acceptable professional experience in the investment decision-making process and must commit to abide by, and annually reaffirm, their adherence to the CFA Institute Code of Ethics and Standards of Professional Conduct.

## Item 3 – Disciplinary Information

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***There are no legal, civil, or disciplinary events to disclose regarding Mr. O’Keefe.*** Mr. O’Keefe has never been involved in any regulatory, civil, or criminal action. There have been no client complaints, lawsuits, arbitration claims, or administrative proceedings against Mr. O’Keefe.

Securities laws require an advisor to disclose any instances where the advisor or its advisory persons have been found liable in a legal, regulatory, civil, or arbitration matter that alleges violation of securities and other statutes; fraud; false statements or omissions; theft, embezzlement or wrongful taking of property; bribery, forgery, counterfeiting, or extortion; and/or dishonest, unfair or unethical practices. ***As previously noted, there are no legal, civil, or disciplinary events to disclose regarding Mr. O’Keefe.***

However, we do encourage you to independently view the background of Mr. O’Keefe on the Investment Adviser Public Disclosure website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov). Select “Investment Adviser Search” from the left navigation menu. Then select the option for “Individual” and enter **2958946** in the field labeled “Individual Name or CRD#”.

## Item 4 – Other Business Activities

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Mr. O’Keefe is not engaged in any other investment-related activities. Mr. O’Keefe does not receive commissions, bonuses, or other compensation on the sale of securities or other investment products. Mr. O’Keefe is not engaged in any other business or occupation that provides substantial compensation or involves a substantial amount of his time. Gregg A. O’Keefe does not receive any economic benefit from a non-advisory client for the provision of advisory services.

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[www.congressasset.com](http://www.congressasset.com)

## **Item 5 – Additional Compensation**

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Mr. O'Keefe does not have additional business activities where compensation is received. His business activities are detailed in item 4 above.

## **Item 6 – Supervision**

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Mr. O'Keefe serves as Executive Vice President, Portfolio Manager of CAM and is supervised by Daniel A. Lagan, the CEO and President of the Advisor. Mr. Daniel A. Lagan can be reached at (617) 428-4300 or by email at [dlagan@congressasset.com](mailto:dlagan@congressasset.com).

CAM has implemented a Code of Ethics and internal compliance that guide each employee in meeting their fiduciary obligations to clients of CAM. Further, CAM is subject to regulatory oversight by various agencies. These agencies require registration by CAM and its employees. As a registered entity, CAM is subject to examinations by regulators, which may be announced or unannounced. CAM is required to periodically update the information provided to these agencies and to provide various reports regarding the business activities and assets of the Advisor.



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## **Form ADV Part 2B – Brochure Supplement**

**for**

**John B. O'Reilly, CFA  
Senior Vice President, Portfolio Manager**

**Effective: March 11, 2020**

This Brochure Supplement provides information about the background and qualifications of John B. O'Reilly, CFA (CRD# **1694781**) in addition to the information contained in the Congress Asset Management, LLP ("CAM" or the "Advisor") (CRD# **105161**) Disclosure Brochure. If you have not received a copy of the Disclosure Brochure or if you have any questions about the contents of the CAM Disclosure Brochure or this Brochure Supplement, please contact us at (617) 428-4300.

Additional information about Mr. O'Reilly is available on the SEC's Investment Adviser Public Disclosure website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

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2 Seaport Lane, Suite 500, Boston, MA 02210  
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[www.congressasset.com](http://www.congressasset.com)

## Item 2 – Educational Background and Business Experience

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John B. O'Reilly is a Portfolio Manager of CAM. Mr. O'Reilly, born in 1964, is dedicated to advising clients of CAM. He is Chair of the Multi-Cap Investment Policy Committee. He is also a member of the Investment Oversight Committee, the Mid Cap Investment Policy Committee, and the Large Cap Investment Policy Committee. Mr. O'Reilly completed a Bachelor of Arts from Providence College in 1986. He also received an MBA from Bryant College in 1992. Additional information regarding Mr. O'Reilly's employment history is included below.

### Employment History:

Congress Asset Management; Vice President, Portfolio Manager	06/2001 to Present
Mellon Private Asset Management; Portfolio Manager	07/2000 to 06/2001
State Street Bank & Trust; Vice President	03/1991 to 07/2000

### Chartered Financial Analyst ("CFA")

The Chartered Financial Analyst ("CFA") charter is a professional designation established in 1962 and awarded by CFA Institute. To earn the CFA charter, candidates must pass three sequential, six-hour examinations over two to four years. The three levels of the CFA Program test a wide range of investment topics, including ethical and professional standards, fixed-income analysis, alternative and derivative investments, and portfolio management and wealth planning. In addition, CFA charter holders must have at least four years of acceptable professional experience in the investment decision-making process and must commit to abide by, and annually reaffirm, their adherence to the CFA Institute Code of Ethics and Standards of Professional Conduct.

## Item 3 – Disciplinary Information

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***There are no legal, civil, or disciplinary events to disclose regarding Mr. O'Reilly.*** Mr. O'Reilly has never been involved in any regulatory, civil, or criminal action. There have been no client complaints, lawsuits, arbitration claims, or administrative proceedings against Mr. O'Reilly.

Securities laws require an advisor to disclose any instances where the advisor or its advisory persons have been found liable in a legal, regulatory, civil, or arbitration matter that alleges violation of securities and other statutes; fraud; false statements or omissions; theft, embezzlement or wrongful taking of property; bribery, forgery, counterfeiting, or extortion; and/or dishonest, unfair or unethical practices. ***As previously noted, there are no legal, civil, or disciplinary events to disclose regarding Mr. O'Reilly.***

However, we do encourage you to independently view the background of Mr. O'Reilly on the Investment Adviser Public Disclosure website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov). Select "Investment Adviser Search" from the left navigation menu. Then select the option for "Individual" and enter **1694781** in the field labeled "Individual Name or CRD#".

## Item 4 – Other Business Activities

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Mr. O'Reilly is not engaged in any other investment-related activities. He does not receive commissions, bonuses, or other compensation on the sale of securities or other investment products. He is not engaged in any other business or occupation that provides substantial compensation or involves a substantial amount of his time. He does not receive any economic benefit from a non-advisory client for the provision of advisory services.



## **Item 5 – Additional Compensation**

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Mr. O'Reilly does not have additional business activities where compensation is received. His business activities are detailed in item 4 above.

## **Item 6 – Supervision**

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Mr. O'Reilly serves as Vice President, Portfolio Manager of CAM and is supervised by Daniel A. Lagan, the CEO and President of the Advisor. Mr. Daniel A. Lagan can be reached at (617) 428-4300 or by email at [dlagan@congressasset.com](mailto:dlagan@congressasset.com).

CAM has implemented a Code of Ethics and internal compliance that guide each employee in meeting their fiduciary obligations to clients of CAM. Further, CAM is subject to regulatory oversight by various agencies. These agencies require registration by CAM and its employees. As a registered entity, CAM is subject to examinations by regulators, which may be announced or unannounced. CAM is required to periodically update the information provided to these agencies and to provide various reports regarding the business activities and assets of the Advisor.



**CONGRESS ASSET  
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## **Form ADV Part 2B – Brochure Supplement**

**for**

**Jeffrey R. Porter, CFA  
Executive Vice President & Director Fixed Income**

**Effective: March 11, 2020**

This Brochure Supplement provides information about the background and qualifications of Jeffrey R. Porter, CFA (CRD# **5096700**) in addition to the information contained in the Congress Asset Management, LLP (“CAM” or the “Advisor”) (CRD# **105161**) Disclosure Brochure. If you have not received a copy of the Disclosure Brochure or if you have any questions about the contents of the CAM Disclosure Brochure or this Brochure Supplement, please contact us at (617) 428-4300.

Additional information about Mr. Porter is available on the SEC’s Investment Adviser Public Disclosure website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

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2 Seaport Lane, Suite 500, Boston, MA 02210  
Phone: (617) 428-4300 Fax: (617) 428-4399  
[www.congressasset.com](http://www.congressasset.com)

## Item 2 – Educational Background and Business Experience

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Jeffrey R. Porter, born in 1964, is an Executive Vice President and Director of Fixed Income of CAM. He is head of the Fixed Income Committee. He is also member of the firm's Management Committee, the Investment Oversight Committee, and the Risk Management Investment Policy Committee. Mr. Porter received two Bachelor degrees, Accounting and Business Management, from North Carolina State University. He also received an MBA from East Carolina University in 1990. Additional information regarding Mr. Porter's employment history is included below.

### Employment History:

Congress Asset Management; EVP & Director Fixed Income	03/2010 to Present
Prelude Asset Management; Co-Founder & Chief Executive Officer	06/2009 to 03/2010
Kellport Capital Management/Crescam Asset Management; Co-Founder	04/2005 to 05/2009
Partners HealthCare Systems, Inc.; Director of Portfolio Management	05/1997 to 04/2005
North Carolina Retirement Systems; Investment Professional	08/1992 to 04/1997
KPMG Peat Marwick; Staff Auditor	08/1987 to 04/1988

### Chartered Financial Analyst ("CFA")

The Chartered Financial Analyst ("CFA") charter is a professional designation established in 1962 and awarded by CFA Institute. To earn the CFA charter, candidates must pass three sequential, six-hour examinations over two to four years. The three levels of the CFA Program test a wide range of investment topics, including ethical and professional standards, fixed-income analysis, alternative and derivative investments, and portfolio management and wealth planning. In addition, CFA charter holders must have at least four years of acceptable professional experience in the investment decision-making process and must commit to abide by, and annually reaffirm, their adherence to the CFA Institute Code of Ethics and Standards of Professional Conduct.

## Item 3 – Disciplinary Information

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***There are no legal, civil, or disciplinary events to disclose regarding Mr. Porter.*** Mr. Porter has never been involved in any regulatory, civil, or criminal action. There have been no client complaints, lawsuits, arbitration claims, or administrative proceedings against Mr. Porter.

Securities laws require an advisor to disclose any instances where the advisor or its advisory persons have been found liable in a legal, regulatory, civil, or arbitration matter that alleges violation of securities and other statutes; fraud; false statements or omissions; theft, embezzlement or wrongful taking of property; bribery, forgery, counterfeiting, or extortion; and/or dishonest, unfair or unethical practices. ***As previously noted, there are no legal, civil, or disciplinary events to disclose regarding Mr. Porter.***

However, we do encourage you to independently view the background of Mr. Porter on the Investment Adviser Public Disclosure website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov). Select "Investment Adviser Search" from the left navigation menu. Then select the option for "Individual" and enter **5096700** in the field labeled "Individual Name or CRD#".

## Item 4 – Other Business Activities

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Mr. Porter is not engaged in any other investment-related activities. He does not receive commissions, bonuses, or other compensation on the sale of securities or other investment products. He is not engaged in any other business or occupation that provides substantial compensation or involves a substantial amount of his time. He does not receive any economic benefit from a non-advisory client for the provision of advisory services.

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[www.congressasset.com](http://www.congressasset.com)

## **Item 5 – Additional Compensation**

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Mr. Porter does not have additional business activities where compensation is received. His business activities are detailed in item 4 above.

## **Item 6 – Supervision**

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Mr. Porter serves as Executive Vice President and Director of Fixed Income for CAM and is supervised by Daniel A. Lagan, the CEO and President of the Advisor. Mr. Daniel A. Lagan can be reached at (617) 428-4300 or by email at [dlagan@congressasset.com](mailto:dlagan@congressasset.com).

CAM has implemented a Code of Ethics and internal compliance that guide each employee in meeting their fiduciary obligations to clients of CAM. Further, CAM is subject to regulatory oversight by various agencies. These agencies require registration by CAM and its employees. As a registered entity, CAM is subject to examinations by regulators, which may be announced or unannounced. CAM is required to periodically update the information provided to these agencies and to provide various reports regarding the business activities and assets of the Advisor.



**CONGRESS ASSET  
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## **Form ADV Part 2B – Brochure Supplement**

**for**

**Todd W. Solomon, CFA  
Senior Vice President, Portfolio Manager**

**Effective: March 11, 2020**

This Brochure Supplement provides information about the background and qualifications of Todd W. Solomon, CFA (CRD# **2272877**) in addition to the information contained in the Congress Asset Management, LLP (“CAM” or the “Advisor”) (CRD# **105161**) Disclosure Brochure. If you have not received a copy of the Disclosure Brochure or if you have any questions about the contents of the CAM Disclosure Brochure or this Brochure Supplement, please contact us at (617) 428-4300.

Additional information about Mr. Solomon is available on the SEC’s Investment Adviser Public Disclosure website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

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2 Seaport Lane, Suite 500, Boston, MA 02210  
Phone: (617) 428-4300 Fax: (617) 428-4399  
[www.congressasset.com](http://www.congressasset.com)

## Item 2 – Educational Background and Business Experience

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Todd W. Solomon, born in 1970, is a Senior Vice President Portfolio Manager of CAM. He is head of the Mid Cap Growth Investment Policy Committee. Mr. Solomon is also a member of the Investment Oversight Committee and the Multi-Cap Investment Policy Committee. Mr. Solomon earned his Bachelor degree from Georgetown University. He also received an MBA from New York University in 1996. Additional information regarding Mr. Solomon's employment history is included below.

### Employment History:

Congress Asset Management; Senior Vice President	04/2001 to Present
Congress Trust National Association; Vice President and Trust Officer	05/2003 to 06/2009
United States Trust Company of Boston; Investment Officer	05/1998 to 02/2001
Fidelity Management & Research Company; Sector Research	08/1996 to 05/1998
The Pioneer Group, Inc.; Assistant Analyst	01/1993 to 08/1994

### Chartered Financial Analyst ("CFA")

The Chartered Financial Analyst ("CFA") charter is a professional designation established in 1962 and awarded by CFA Institute. To earn the CFA charter, candidates must pass three sequential, six-hour examinations over two to four years. The three levels of the CFA Program test a wide range of investment topics, including ethical and professional standards, fixed-income analysis, alternative and derivative investments, and portfolio management and wealth planning. In addition, CFA charter holders must have at least four years of acceptable professional experience in the investment decision-making process and must commit to abide by, and annually reaffirm, their adherence to the CFA Institute Code of Ethics and Standards of Professional Conduct.

## Item 3 – Disciplinary Information

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***There are no legal, civil, or disciplinary events to disclose regarding Mr. Solomon.*** Mr. Solomon has never been involved in any regulatory, civil or criminal action. There have been no client complaints, lawsuits, arbitration claims, or administrative proceedings against Mr. Solomon.

Securities laws require an advisor to disclose any instances where the advisor or its advisory persons have been found liable in a legal, regulatory, civil, or arbitration matter that alleges violation of securities and other statutes; fraud; false statements or omissions; theft, embezzlement or wrongful taking of property; bribery, forgery, counterfeiting, or extortion; and/or dishonest, unfair or unethical practices. ***As previously noted, there are no legal, civil, or disciplinary events to disclose regarding Mr. Solomon.***

However, we do encourage you to independently view the background of Mr. Solomon on the Investment Adviser Public Disclosure website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov). Select "Investment Adviser Search" from the left navigation menu. Then select the option for "Individual" and enter **2272877** in the field labeled "Individual Name or CRD#".

## Item 4 – Other Business Activities

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Mr. Solomon is not engaged in any other investment-related activities. He does not receive commissions, bonuses, or other compensation on the sale of securities or other investment products. He is not engaged in any other business or occupation that provides substantial compensation or involves a substantial amount of his time. He does not receive any economic benefit from a non-advisory client for the provision of advisory services.

## **Item 5 – Additional Compensation**

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Mr. Solomon does not have additional business activities where compensation is received. His business activities are detailed in item 4 above.

## **Item 6 – Supervision**

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Mr. Solomon serves as Senior Vice President Portfolio Manager for CAM and is supervised by Daniel A. Lagan, the CEO and President of the Advisor. Mr. Daniel A. Lagan can be reached at (617) 428-4300 or by email at [dlagan@congressasset.com](mailto:dlagan@congressasset.com).

CAM has implemented a Code of Ethics and internal compliance that guide each employee in meeting their fiduciary obligations to clients of CAM. Further, CAM is subject to regulatory oversight by various agencies. These agencies require registration by CAM and its employees. As a registered entity, CAM is subject to examinations by regulators, which may be announced or unannounced. CAM is required to periodically update the information provided to these agencies and to provide various reports regarding the business activities and assets of the Advisor.



CONGRESS ASSET  
MANAGEMENT COMPANY

## Form ADV Part 2B – Brochure Supplement

for

**Alexander L. Thorndike**  
**Executive Vice President, Portfolio Manager**

**Effective: March 11, 2020**

This Brochure Supplement provides information about the background and qualifications of Alexander L. Thorndike (CRD# **2530341**) in addition to the information contained in the Congress Asset Management, LLP (“CAM” or the “Advisor”) (CRD # **105161**) Disclosure Brochure. If you have not received a copy of the Disclosure Brochure or if you have any questions about the contents of the CAM Disclosure Brochure or this Brochure Supplement, please contact us at (617) 428-4300.

Additional information about Mr. Thorndike is available on the SEC’s Investment Adviser Public Disclosure website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

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**Congress Asset Management, LLP**  
2 Seaport Lane, Suite 500, Boston, MA 02210  
Phone: (617) 428-4300 Fax: (617) 428-4399  
[www.congressasset.com](http://www.congressasset.com)



## Item 2 – Educational Background and Business Experience

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Alexander L. Thorndike, born in 1966, is dedicated to advising clients of CAM in his role as an Executive Vice President and Portfolio Manager. He is also a member of the Firm's Management Committee, the Large Cap Growth Policy Committee, the Small Cap Growth Policy Committee, and the SMid Growth Policy Committee. Mr. Thorndike earned a Bachelor's degree from Harvard University and an MBA from Northwestern University. Additional information regarding Mr. Thorndike's employment history is included below.

### Employment History:

Congress Asset Management; Executive Vice President and Portfolio Manager	09/2017 to Present
Century Capital Management, LLC: Managing Partner, CEO and Portfolio Manager	01/2004 to 09/2017
Century Capital Management, LLC: Managing Director, CIO and Portfolio Manager	01/1999 to 01/2004
William Blair & Company: Senior Research Analyst and Manager	07/1994 to 01/1999

## Item 3 – Disciplinary Information

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**There are no legal, civil, or disciplinary events to disclose regarding Mr. Thorndike.** Mr. Thorndike has never been involved in any regulatory, civil, or criminal action. There have been no client complaints, lawsuits, arbitration claims, or administrative proceedings against Mr. Thorndike.

Securities laws require an advisor to disclose any instances where the advisor or its advisory persons have been found liable in a legal, regulatory, civil, or arbitration matter that alleges violation of securities and other statutes; fraud; false statements or omissions; theft, embezzlement or wrongful taking of property; bribery, forgery, counterfeiting, or extortion; and/or dishonest, unfair or unethical practices. **As previously noted, there are no legal, civil, or disciplinary events to disclose regarding Mr. Thorndike.**

However, we do encourage you to independently view the background of Mr. Thorndike on the Investment Adviser Public Disclosure website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov) by searching for his individual CRD # **2530341**.

## Item 4 – Other Business Activities

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Mr. Thorndike is not engaged in any other investment-related activities. He does not receive commissions, bonuses, or other compensation on the sale of securities or other investment products. He is not engaged in any other business or occupation that provides substantial compensation or involves a substantial amount of his time. He does not receive any economic benefit from a non-advisory client for the provision of advisory services.

## Item 5 – Additional Compensation

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Mr. Thorndike does not have additional business activities where compensation is received. His business activities are detailed in item 4 above.

## Item 6 – Supervision

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Mr. Thorndike serves as Executive Vice President and Portfolio Manager of CAM and is supervised by Daniel A. Lagan, the CEO and President of the Advisor. Mr. Daniel A. Lagan can be reached at (617) 428-4300 or by email at [dlagan@congressasset.com](mailto:dlagan@congressasset.com).

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