

**Item 1: Cover Page  
Part 2B of Form ADV: Brochure Supplement  
April 2021**



**Christine Chui**

**Tortuga Wealth Management  
1957 Carson St. Suite 100  
Torrance, CA 90501  
www.tortugawealth.com**

**Firm Contact:  
Angela Park Sheldon  
Chief Compliance Officer**

This brochure supplement provides information about Ms. Chui that supplements our brochure. You should have received a copy of that brochure. Please contact Ms. Park-Sheldon if you did not receive Tortuga Wealth Management's brochure or if you have any questions about the contents of this supplement. Additional information about Ms. Chui is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov) by searching CRD #4353814.

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## Item 2: Educational Background & Business Experience

**Christine Chui**

**Year of Birth:** 1968

### **Educational Background:**

- 1999: University of Southern California, Marshall School of Business, MBA, International Business
- 1990: Shanghai University; Bachelor of Science, Computer Science

### **Business Background:**

- 2021 – Present Tortuga Wealth Management; Investment Advisor Representative
- 2019 – Present LPL Financial; Registered Representative
- 2016 – Present Pepperwood Apartments; Owner
- 2014 – 2016 LPL Financial; Administrative Associate

### **Exams, Licenses & Other Professional Designations:**

- 2001: Series 7, 31, & 63 Exams and CA Insurance Licensed

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## Item 3: Disciplinary Information<sup>1</sup>

There are no legal or disciplinary events material to the evaluation of Ms. Chui.

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## Item 4: Other Business Activities

Ms. Chui is a registered representative of LPL Financial, member FINRA/SIPC, and licensed insurance agent/broker. He may offer products and receive normal and customary commissions as a result of these transactions. A conflict of interest may arise as these commissionable securities sales may create an incentive to recommend products based on the compensation earned. To mitigate this potential conflict, Ms. Chui, as a fiduciary, will act in the client's best interest.

Ms. Chui is a real estate investor and owns and manages multiple income properties. To mitigate any potential conflicts, Ms. Chui, as a fiduciary, will act in the client's best interest.

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## Item 5: Additional Compensation

Ms. Chui does not receive any other economic benefit for providing advisory services in addition to advisory fees.

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## Item 6: Supervision

Angela Park Sheldon, Chief Compliance Officer of Tortuga Wealth Management, supervises and monitors Ms. Chui's activities on a regular basis to ensure compliance with our firm's Code of Ethics.

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<sup>1</sup> Note: Our firm may, under certain circumstances, rebut the presumption that a disciplinary event is material. If an event is immaterial, we are not required to disclose it. When we review a legal or disciplinary event involving the advisor to determine whether it is appropriate to rebut the presumption of materiality, we consider all of the following factors: (1) the proximity of advisor to the advisory function; (2) the nature of the infraction that led to the disciplinary event; (3) the severity of the disciplinary sanction; and (4) the time elapsed since the date of the disciplinary event. If we conclude that the materiality presumption has been overcome, we prepare and maintain a file memorandum of our determination in our records. We follow SEC rule 204-2(a)(14)(iii) and similar state rules.

Please contact Ms. Park-Sheldon if you have any questions about Ms. Chui's brochure supplement at 310-906-0517.

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### **Item 7: Requirements for State-Registered Advisers**

Ms. Chui has not been involved in any arbitration claim alleging damages in excess of \$2,500. Furthermore, he has neither been involved in nor found liable in any civil, self-regulatory organization, or administrative proceeding nor has been the subject of any bankruptcy petitions.