



Blackridge Asset Management's Privacy Policy

Blackridge Asset Management, LLC is a Registered Investment Advisory firm in Palm Beach Gardens, FL. Through our investment advisor representatives, we provide financial management to the general public. We are providing you with this privacy policy to describe how we collect information about you, under what circumstances we may disclose the information to others, and how we safeguard your information in our possession.

We collect and use information about you in order to provide you with our financial products and services. For example, we use your information to determine the suitability of our investment recommendations and to process transactions for your account. We obtain most information directly from you when you open an account or purchase financial products or services from us. We may also obtain information about you from our representatives and their affiliated businesses, and from third parties such as retirement plan sponsors or third-party administrators, mutual fund companies, insurance companies, your former broker-dealer or its registered representative, clearing firms, or others who provide you with financial products or services.

We may disclose information about you to our staff, affiliates, representatives, their affiliated businesses, and third parties who provide you with financial products and services. Non-affiliate third parties may include retirement plan sponsors or third party administrators, mutual fund companies, insurance companies and agencies, other broker-dealers, and clearing firms. Our privacy policy is the same for current clients as well as former clients. If you close your account, in the process of transferring your investments, we may share your information with the new broker-dealer or custodian that you select. If our representative serving your account leaves, the representative is permitted to retain copies of your information so that he or she can assist with the transfer of your account.

We may disclose information such as your name, address, social security number, date of birth, transactional information, or other financial information when necessary for us to provide you with financial products and services or report on your account, or where disclosure is prescribed by law. For example, we report your tax related information to federal and state governments, and we may disclose information during the course of an audit or to law enforcement or regulatory agencies.

We do not disclose your information to non-affiliated companies who intend to market their products to you. For example, we will not sell clients names and addresses to a catalog or marketing company.

If you do not want us to share your information (other than as prescribed by law) with any non-affiliated third parties, including the registered representative servicing your account please contact our compliance department at 561-641-5050.

Our staff, affiliates, and representatives who have access to your information are required to follow our procedures which were reasonably created to secure your information. Our physical, electronic and procedural safeguards have been reasonably designed to protect your information.

Finally, if you have any questions please contact our local office at 561-641-5050.

