

**Item 1: Cover Page  
Part 2B of Form ADV: Brochure Supplement  
March 2021**



**Jeffrey Walker Weston**

**Tortuga Wealth Management  
1957 Carson St. Suite 100  
Torrance, CA 90501  
www.tortugawealth.com**

**Firm Contact:  
Angela Park Sheldon  
Chief Compliance Officer**

This brochure supplement provides information about Mr. Weston that supplements our brochure. You should have received a copy of that brochure. Please contact Ms. Park-Sheldon if you did not receive Tortuga Wealth Management's brochure or if you have any questions about the contents of this supplement. Additional information about Mr. Weston is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov) by searching CRD # 6250883.

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## Item 2: Educational Background & Business Experience

**Jeffrey Walker Weston**

**Year of Birth:** 1981

### **Educational Background:**

- 2013: University of California, Los Angeles Extension: Financial Advising Coursework
- 2012: Georgetown University; McDonough School of Business, MBA
- 2005: University of Pennsylvania; Bachelor of Arts in International Relations

### **Business Background:**

- 2017 – Present Tortuga Wealth Management; President
- 2017 – Present LPL Financial; Registered Representative
- 2013 -- 2017 Morgan Stanley Smith Barney; Second Vice President
- 2012 – 2012 B Revolution Capital; Executive Director
- 2007 – 2010 Paladin Realty Partners, Analyst
- 2006 – 2007 Metropolitan West Asset Management; Settlements Officer

### **Exams, Licenses & Other Professional Designations:**

- 2014: CA Insurance Licensed
- 2013 – Series 7 & 66 Exams

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## Item 3: Disciplinary Information<sup>1</sup>

In 2016 while employed with Morgan Stanley Smith Barney (“Morgan Stanley”), Mr. Weston received a verbal complaint from a client which Morgan Stanley decided to settle for \$27,862.47 internally without the involvement of any self-regulatory authority or any legal procedures and without any contribution to the award by Mr. Weston. For more information regarding this matter, please refer to the Investment Adviser Public Disclosure (<https://www.adviserinfo.sec.gov>) and search for CRD# 6250883.

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## Item 4: Other Business Activities

Mr. Weston is a registered representative of LPL Financial, member FINRA/SIPC, and licensed insurance agent/broker. He may offer products and receive normal and customary commissions as a result of these transactions. A conflict of interest may arise as these commissionable securities sales may create an incentive to recommend products based on the compensation earned. To mitigate this potential conflict, Mr. Weston, as a fiduciary, will act in the client’s best interest.

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## Item 5: Additional Compensation

Mr. Weston does not receive any other economic benefit for providing advisory services in addition to advisory fees.

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<sup>1</sup> Note: Our firm may, under certain circumstances, rebut the presumption that a disciplinary event is material. If an event is immaterial, we are not required to disclose it. When we review a legal or disciplinary event involving the advisor to determine whether it is appropriate to rebut the presumption of materiality, we consider all of the following factors: (1) the proximity of advisor to the advisory function; (2) the nature of the infraction that led to the disciplinary event; (3) the severity of the disciplinary sanction; and (4) the time elapsed since the date of the disciplinary event. If we conclude that the materiality presumption has been overcome, we prepare and maintain a file memorandum of our determination in our records. We follow SEC rule 204-2(a)(14)(iii) and similar state rules.

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### **Item 6: Supervision**

Angela Park Sheldon, Chief Compliance Officer of Tortuga Wealth Management, supervises and monitors Mr. Weston's activities on a regular basis to ensure compliance with our firm's Code of Ethics. Please contact Ms. Park-Sheldon if you have any questions about Mr. Weston's brochure supplement at 310-906-0517.

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### **Item 7: Requirements for State-Registered Advisers**

With exception to what has been disclosed in Item 3 of this ADV Part 2B, Mr. Weston has not been involved in any arbitration claim, civil, self-regulatory organization, or administrative proceeding, or filed bankruptcy. Mr. Weston does not have any material disciplinary events to disclose.