

LASALLE ST. SECURITIES, L.L.C.
940 N. INDUSTRIAL DR.

ELMHURST, IL 60126-1131

NOTE: BROKER-DEALERS ARE REQUIRED TO DISCLOSE ANY MATERIAL ARRANGEMENTS WITH THE VENUES LISTED IN EACH SECTION BELOW, INCLUDING, BUT NOT LIMITED TO, ANY INTERNALIZATION

OR PAYMENT FOR ORDER FLOW ARRANGEMENTS

SEC RULE 606, QUARTERLY REPORT FOR THE QUARTER ENDING MARCH 31,2018

SECURITIES LISTED ON THE NEW YORK STOCK EXCHANGE

SUMMARY STATISTICS :

NON-DIRECTED ORDERS AS PERCENTAGE OF TOTAL CUSTOMER ORDERS	100.00%
MARKET ORDERS AS PERCENTAGE OF TOTAL NON-DIRECTED ORDERS	74.66%
LIMIT ORDERS AS PERCENTAGE OF TOTAL NON-DIRECTED ORDERS	21.61%

OTHER ORDERS AS PERCENTAGE OF TOTAL NON-DIRECTED ORDERS	3.73%
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VENUES RECEIVING SIGNIFICANT PERCENTAGE OF TOTAL NON-DIRECTED ORDERS :

1. Citadel Securities LLC	42.44%
2. VIRTU Americas LLC	34.16%
3. National Financial Services LLC	7.44%
4. Two Sigma Securities, LLC	3.08%
5. G1 Execution Services, LLC	2.43%
6. Cboe EDGX U.S. Equities Exchange	1.80%
7. NASDAQ Execution Services, LLC	1.59%
8. Cboe BYX U.S. Equities Exchange	1.56%
9. UBS Securities, LLC	1.24%
10. Instinet LLC	1.08%

INFORMATION CONCERNING SIGNIFICANT VENUES :

1. Citadel Securities LLC	
TYPES OF ORDERS ROUTED TO VENUE :	
MARKET ORDERS AS PERCENTAGE OF TOTAL MARKET ORDERS	39.54%
LIMIT ORDERS AS PERCENTAGE OF TOTAL LIMIT ORDERS	53.46%
OTHER ORDERS AS PERCENTAGE OF TOTAL OTHER ORDERS	36.60%
2. VIRTU Americas LLC	
TYPES OF ORDERS ROUTED TO VENUE :	
MARKET ORDERS AS PERCENTAGE OF TOTAL MARKET ORDERS	38.54%
LIMIT ORDERS AS PERCENTAGE OF TOTAL LIMIT ORDERS	22.06%
OTHER ORDERS AS PERCENTAGE OF TOTAL OTHER ORDERS	16.60%
3. National Financial Services LLC	
TYPES OF ORDERS ROUTED TO VENUE :	
MARKET ORDERS AS PERCENTAGE OF TOTAL MARKET ORDERS	9.28%
LIMIT ORDERS AS PERCENTAGE OF TOTAL LIMIT ORDERS	1.03%
OTHER ORDERS AS PERCENTAGE OF TOTAL OTHER ORDERS	7.66%
4. Two Sigma Securities, LLC	
TYPES OF ORDERS ROUTED TO VENUE :	
MARKET ORDERS AS PERCENTAGE OF TOTAL MARKET ORDERS	3.87%
LIMIT ORDERS AS PERCENTAGE OF TOTAL LIMIT ORDERS	0.88%
OTHER ORDERS AS PERCENTAGE OF TOTAL OTHER ORDERS	0.00%

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5. G1 Execution Services, LLC	
TYPES OF ORDERS ROUTED TO VENUE :	
MARKET ORDERS AS PERCENTAGE OF TOTAL MARKET ORDERS	3.02%
LIMIT ORDERS AS PERCENTAGE OF TOTAL LIMIT ORDERS	0.81%
OTHER ORDERS AS PERCENTAGE OF TOTAL OTHER ORDERS	0.00%
6. Cboe EDGX U.S. Equities Exchange	
TYPES OF ORDERS ROUTED TO VENUE :	
MARKET ORDERS AS PERCENTAGE OF TOTAL MARKET ORDERS	0.00%
LIMIT ORDERS AS PERCENTAGE OF TOTAL LIMIT ORDERS	8.31%
OTHER ORDERS AS PERCENTAGE OF TOTAL OTHER ORDERS	0.00%
7. NASDAQ Execution Services, LLC	
TYPES OF ORDERS ROUTED TO VENUE :	
MARKET ORDERS AS PERCENTAGE OF TOTAL MARKET ORDERS	0.00%
LIMIT ORDERS AS PERCENTAGE OF TOTAL LIMIT ORDERS	7.13%
OTHER ORDERS AS PERCENTAGE OF TOTAL OTHER ORDERS	1.28%
8. Cboe BYX U.S. Equities Exchange	
TYPES OF ORDERS ROUTED TO VENUE :	
MARKET ORDERS AS PERCENTAGE OF TOTAL MARKET ORDERS	2.02%

LIMIT ORDERS AS PERCENTAGE OF TOTAL LIMIT ORDERS	0.07%
OTHER ORDERS AS PERCENTAGE OF TOTAL OTHER ORDERS	0.85%
9. UBS Securities, LLC	
TYPES OF ORDERS ROUTED TO VENUE :	
MARKET ORDERS AS PERCENTAGE OF TOTAL MARKET ORDERS	0.53%
LIMIT ORDERS AS PERCENTAGE OF TOTAL LIMIT ORDERS	2.94%
OTHER ORDERS AS PERCENTAGE OF TOTAL OTHER ORDERS	5.53%
10. Instinet LLC	
TYPES OF ORDERS ROUTED TO VENUE :	
MARKET ORDERS AS PERCENTAGE OF TOTAL MARKET ORDERS	1.40%
LIMIT ORDERS AS PERCENTAGE OF TOTAL LIMIT ORDERS	0.07%
OTHER ORDERS AS PERCENTAGE OF TOTAL OTHER ORDERS	0.43%

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SEC RULE 606, QUARTERLY REPORT FOR THE QUARTER ENDING MARCH 31,2018

SECURITIES LISTED ON THE NASDAQ STOCK MARKET

SUMMARY STATISTICS :

NON-DIRECTED ORDERS AS PERCENTAGE OF TOTAL CUSTOMER ORDERS	100.00%
MARKET ORDERS AS PERCENTAGE OF TOTAL NON-DIRECTED ORDERS	73.27%
LIMIT ORDERS AS PERCENTAGE OF TOTAL NON-DIRECTED ORDERS	22.81%

OTHER ORDERS AS PERCENTAGE OF TOTAL NON-DIRECTED ORDERS	3.92%
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VENUES RECEIVING SIGNIFICANT PERCENTAGE OF TOTAL NON-DIRECTED ORDERS :

1. VIRTU Americas LLC	40.12%
2. Citadel Securities LLC	36.75%
3. National Financial Services LLC	5.85%
4. Cboe EDGX U.S. Equities Exchange	4.77%
5. Two Sigma Securities, LLC	2.98%
6. G1 Execution Services, LLC	2.24%
7. NASDAQ Execution Services, LLC	2.24%
8. Cboe BYX U.S. Equities Exchange	1.59%
9. UBS Securities, LLC	0.94%
10. Instinet LLC	0.68%

INFORMATION CONCERNING SIGNIFICANT VENUES :

1. VIRTU Americas LLC	
TYPES OF ORDERS ROUTED TO VENUE :	
MARKET ORDERS AS PERCENTAGE OF TOTAL MARKET ORDERS	41.17%
LIMIT ORDERS AS PERCENTAGE OF TOTAL LIMIT ORDERS	40.55%
OTHER ORDERS AS PERCENTAGE OF TOTAL OTHER ORDERS	18.12%
2. Citadel Securities LLC	
TYPES OF ORDERS ROUTED TO VENUE :	
MARKET ORDERS AS PERCENTAGE OF TOTAL MARKET ORDERS	40.32%
LIMIT ORDERS AS PERCENTAGE OF TOTAL LIMIT ORDERS	22.89%
OTHER ORDERS AS PERCENTAGE OF TOTAL OTHER ORDERS	50.72%
3. National Financial Services LLC	
TYPES OF ORDERS ROUTED TO VENUE :	
MARKET ORDERS AS PERCENTAGE OF TOTAL MARKET ORDERS	7.47%
LIMIT ORDERS AS PERCENTAGE OF TOTAL LIMIT ORDERS	1.00%
OTHER ORDERS AS PERCENTAGE OF TOTAL OTHER ORDERS	3.62%
4. Cboe EDGX U.S. Equities Exchange	
TYPES OF ORDERS ROUTED TO VENUE :	
MARKET ORDERS AS PERCENTAGE OF TOTAL MARKET ORDERS	0.00%
LIMIT ORDERS AS PERCENTAGE OF TOTAL LIMIT ORDERS	20.77%
OTHER ORDERS AS PERCENTAGE OF TOTAL OTHER ORDERS	0.72%

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5. Two Sigma Securities, LLC	
TYPES OF ORDERS ROUTED TO VENUE :	
MARKET ORDERS AS PERCENTAGE OF TOTAL MARKET ORDERS	3.87%
LIMIT ORDERS AS PERCENTAGE OF TOTAL LIMIT ORDERS	0.62%
OTHER ORDERS AS PERCENTAGE OF TOTAL OTHER ORDERS	0.00%
6. G1 Execution Services, LLC	
TYPES OF ORDERS ROUTED TO VENUE :	
MARKET ORDERS AS PERCENTAGE OF TOTAL MARKET ORDERS	2.79%
LIMIT ORDERS AS PERCENTAGE OF TOTAL LIMIT ORDERS	0.75%

OTHER ORDERS AS PERCENTAGE OF TOTAL OTHER ORDERS	0.72%
7. NASDAQ Execution Services, LLC	
TYPES OF ORDERS ROUTED TO VENUE :	
MARKET ORDERS AS PERCENTAGE OF TOTAL MARKET ORDERS	0.00%
LIMIT ORDERS AS PERCENTAGE OF TOTAL LIMIT ORDERS	9.45%
OTHER ORDERS AS PERCENTAGE OF TOTAL OTHER ORDERS	2.17%
8. Cboe BYX U.S. Equities Exchange	
TYPES OF ORDERS ROUTED TO VENUE :	
MARKET ORDERS AS PERCENTAGE OF TOTAL MARKET ORDERS	2.17%
LIMIT ORDERS AS PERCENTAGE OF TOTAL LIMIT ORDERS	0.00%
OTHER ORDERS AS PERCENTAGE OF TOTAL OTHER ORDERS	0.00%
9. UBS Securities, LLC	
TYPES OF ORDERS ROUTED TO VENUE :	
MARKET ORDERS AS PERCENTAGE OF TOTAL MARKET ORDERS	0.43%
LIMIT ORDERS AS PERCENTAGE OF TOTAL LIMIT ORDERS	2.36%
OTHER ORDERS AS PERCENTAGE OF TOTAL OTHER ORDERS	2.17%
10. Instinet LLC	
TYPES OF ORDERS ROUTED TO VENUE :	
MARKET ORDERS AS PERCENTAGE OF TOTAL MARKET ORDERS	0.93%
LIMIT ORDERS AS PERCENTAGE OF TOTAL LIMIT ORDERS	0.00%
OTHER ORDERS AS PERCENTAGE OF TOTAL OTHER ORDERS	0.00%

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SEC RULE 606, QUARTERLY REPORT FOR THE QUARTER ENDING MARCH 31,2018

SECURITIES LISTED ON THE NYSE MKT LLC OR REGIONAL EXCHANGES
SUMMARY STATISTICS :

NON-DIRECTED ORDERS AS PERCENTAGE OF TOTAL CUSTOMER ORDERS	100.00%
MARKET ORDERS AS PERCENTAGE OF TOTAL NON-DIRECTED ORDERS	85.29%
LIMIT ORDERS AS PERCENTAGE OF TOTAL NON-DIRECTED ORDERS	13.82%

OTHER ORDERS AS PERCENTAGE OF TOTAL NON-DIRECTED ORDERS 0.89%

VENUES RECEIVING SIGNIFICANT PERCENTAGE OF TOTAL NON-DIRECTED ORDERS :

1. Citadel Securities LLC	45.64%
2. VIRTU Americas LLC	37.30%
3. Two Sigma Securities, LLC	4.17%
4. G1 Execution Services, LLC	2.95%
5. Cboe BYX U.S. Equities Exchange	2.58%
6. Instinet LLC	2.30%
7. National Financial Services LLC	1.73%
8. NASDAQ Execution Services, LLC	0.89%
9. Cboe EDGX U.S. Equities Exchange	0.66%
10. BNY Mellon Capital Markets LLC	0.56%

INFORMATION CONCERNING SIGNIFICANT VENUES :

1. Citadel Securities LLC	
TYPES OF ORDERS ROUTED TO VENUE :	
MARKET ORDERS AS PERCENTAGE OF TOTAL MARKET ORDERS	42.47%
LIMIT ORDERS AS PERCENTAGE OF TOTAL LIMIT ORDERS	62.71%
OTHER ORDERS AS PERCENTAGE OF TOTAL OTHER ORDERS	84.21%
2. VIRTU Americas LLC	
TYPES OF ORDERS ROUTED TO VENUE :	
MARKET ORDERS AS PERCENTAGE OF TOTAL MARKET ORDERS	40.77%
LIMIT ORDERS AS PERCENTAGE OF TOTAL LIMIT ORDERS	18.31%
OTHER ORDERS AS PERCENTAGE OF TOTAL OTHER ORDERS	0.00%
3. Two Sigma Securities, LLC	
TYPES OF ORDERS ROUTED TO VENUE :	
MARKET ORDERS AS PERCENTAGE OF TOTAL MARKET ORDERS	4.78%
LIMIT ORDERS AS PERCENTAGE OF TOTAL LIMIT ORDERS	0.68%
OTHER ORDERS AS PERCENTAGE OF TOTAL OTHER ORDERS	0.00%
4. G1 Execution Services, LLC	
TYPES OF ORDERS ROUTED TO VENUE :	
MARKET ORDERS AS PERCENTAGE OF TOTAL MARKET ORDERS	3.41%
LIMIT ORDERS AS PERCENTAGE OF TOTAL LIMIT ORDERS	0.34%
OTHER ORDERS AS PERCENTAGE OF TOTAL OTHER ORDERS	0.00%

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5. Cboe BYX U.S. Equities Exchange	
TYPES OF ORDERS ROUTED TO VENUE :	
MARKET ORDERS AS PERCENTAGE OF TOTAL MARKET ORDERS	2.86%
LIMIT ORDERS AS PERCENTAGE OF TOTAL LIMIT ORDERS	1.02%
OTHER ORDERS AS PERCENTAGE OF TOTAL OTHER ORDERS	0.00%
6. Instinet LLC	
TYPES OF ORDERS ROUTED TO VENUE :	
MARKET ORDERS AS PERCENTAGE OF TOTAL MARKET ORDERS	2.64%
LIMIT ORDERS AS PERCENTAGE OF TOTAL LIMIT ORDERS	0.34%
OTHER ORDERS AS PERCENTAGE OF TOTAL OTHER ORDERS	0.00%
7. National Financial Services LLC	
TYPES OF ORDERS ROUTED TO VENUE :	
MARKET ORDERS AS PERCENTAGE OF TOTAL MARKET ORDERS	1.98%
LIMIT ORDERS AS PERCENTAGE OF TOTAL LIMIT ORDERS	0.34%
OTHER ORDERS AS PERCENTAGE OF TOTAL OTHER ORDERS	0.00%
8. NASDAQ Execution Services, LLC	
TYPES OF ORDERS ROUTED TO VENUE :	
MARKET ORDERS AS PERCENTAGE OF TOTAL MARKET ORDERS	0.00%
LIMIT ORDERS AS PERCENTAGE OF TOTAL LIMIT ORDERS	6.44%
OTHER ORDERS AS PERCENTAGE OF TOTAL OTHER ORDERS	0.00%
9. Cboe EDGX U.S. Equities Exchange	
TYPES OF ORDERS ROUTED TO VENUE :	
MARKET ORDERS AS PERCENTAGE OF TOTAL MARKET ORDERS	0.00%
LIMIT ORDERS AS PERCENTAGE OF TOTAL LIMIT ORDERS	4.75%
OTHER ORDERS AS PERCENTAGE OF TOTAL OTHER ORDERS	0.00%
10. BNY Mellon Capital Markets LLC	
TYPES OF ORDERS ROUTED TO VENUE :	
MARKET ORDERS AS PERCENTAGE OF TOTAL MARKET ORDERS	0.66%
LIMIT ORDERS AS PERCENTAGE OF TOTAL LIMIT ORDERS	0.00%
OTHER ORDERS AS PERCENTAGE OF TOTAL OTHER ORDERS	0.00%

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SEC RULE 606, QUARTERLY REPORT FOR THE QUARTER ENDING MARCH 31,2018

EXCHANGE - LISTED OPTIONS

SUMMARY STATISTICS :

NON-DIRECTED ORDERS AS PERCENTAGE OF TOTAL CUSTOMER ORDERS	100.00%
MARKET ORDERS AS PERCENTAGE OF TOTAL NON-DIRECTED ORDERS	38.25%
LIMIT ORDERS AS PERCENTAGE OF TOTAL NON-DIRECTED ORDERS	60.39%

OTHER ORDERS AS PERCENTAGE OF TOTAL NON-DIRECTED ORDERS 1.36%

VENUES RECEIVING SIGNIFICANT PERCENTAGE OF TOTAL NON-DIRECTED ORDERS :

1. NASDAQ PHLX Options	19.35%
2. Cboe Options Exchange	19.13%
3. NASDAQ Options Market	14.76%
4. The MIAX Options Exchange	8.81%
5. BOX Option Exchange	7.91%
6. Cboe BZX Options Exchange, Inc.	7.15%
7. ISE Gemini Options Exchange	7.15%
8. NYSE Arca Options	6.40%
9. Cboe EDGX Option Exchange	4.14%
10. NYSE American Options	3.54%

INFORMATION CONCERNING SIGNIFICANT VENUES :

1. NASDAQ PHLX Options	
TYPES OF ORDERS ROUTED TO VENUE :	
MARKET ORDERS AS PERCENTAGE OF TOTAL MARKET ORDERS	32.87%
LIMIT ORDERS AS PERCENTAGE OF TOTAL LIMIT ORDERS	10.97%
OTHER ORDERS AS PERCENTAGE OF TOTAL OTHER ORDERS	11.11%
2. Cboe Options Exchange	
TYPES OF ORDERS ROUTED TO VENUE :	
MARKET ORDERS AS PERCENTAGE OF TOTAL MARKET ORDERS	26.97%
LIMIT ORDERS AS PERCENTAGE OF TOTAL LIMIT ORDERS	12.97%
OTHER ORDERS AS PERCENTAGE OF TOTAL OTHER ORDERS	72.22%
3. NASDAQ Options Market	
TYPES OF ORDERS ROUTED TO VENUE :	
MARKET ORDERS AS PERCENTAGE OF TOTAL MARKET ORDERS	0.79%
LIMIT ORDERS AS PERCENTAGE OF TOTAL LIMIT ORDERS	23.82%
OTHER ORDERS AS PERCENTAGE OF TOTAL OTHER ORDERS	5.56%
4. The MIAX Options Exchange	
TYPES OF ORDERS ROUTED TO VENUE :	
MARKET ORDERS AS PERCENTAGE OF TOTAL MARKET ORDERS	6.30%
LIMIT ORDERS AS PERCENTAGE OF TOTAL LIMIT ORDERS	10.60%
OTHER ORDERS AS PERCENTAGE OF TOTAL OTHER ORDERS	0.00%

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5. BOX Option Exchange
TYPES OF ORDERS ROUTED TO VENUE :
MARKET ORDERS AS PERCENTAGE OF TOTAL MARKET ORDERS 13.39%
LIMIT ORDERS AS PERCENTAGE OF TOTAL LIMIT ORDERS 4.49%
OTHER ORDERS AS PERCENTAGE OF TOTAL OTHER ORDERS 5.56%

6. Cboe BZX Options Exchange, Inc.
TYPES OF ORDERS ROUTED TO VENUE :
MARKET ORDERS AS PERCENTAGE OF TOTAL MARKET ORDERS 1.97%
LIMIT ORDERS AS PERCENTAGE OF TOTAL LIMIT ORDERS 10.60%
OTHER ORDERS AS PERCENTAGE OF TOTAL OTHER ORDERS 0.00%

7. ISE Gemini Options Exchange
TYPES OF ORDERS ROUTED TO VENUE :
MARKET ORDERS AS PERCENTAGE OF TOTAL MARKET ORDERS 1.57%
LIMIT ORDERS AS PERCENTAGE OF TOTAL LIMIT ORDERS 10.85%
OTHER ORDERS AS PERCENTAGE OF TOTAL OTHER ORDERS 0.00%

8. NYSE Arca Options
TYPES OF ORDERS ROUTED TO VENUE :
MARKET ORDERS AS PERCENTAGE OF TOTAL MARKET ORDERS 0.39%
LIMIT ORDERS AS PERCENTAGE OF TOTAL LIMIT ORDERS 10.35%
OTHER ORDERS AS PERCENTAGE OF TOTAL OTHER ORDERS 0.00%

9. Cboe EDGX Option Exchange
TYPES OF ORDERS ROUTED TO VENUE :
MARKET ORDERS AS PERCENTAGE OF TOTAL MARKET ORDERS 5.31%
LIMIT ORDERS AS PERCENTAGE OF TOTAL LIMIT ORDERS 3.49%
OTHER ORDERS AS PERCENTAGE OF TOTAL OTHER ORDERS 0.00%

10. NYSE American Options
TYPES OF ORDERS ROUTED TO VENUE :
MARKET ORDERS AS PERCENTAGE OF TOTAL MARKET ORDERS 7.28%
LIMIT ORDERS AS PERCENTAGE OF TOTAL LIMIT ORDERS 1.12%
OTHER ORDERS AS PERCENTAGE OF TOTAL OTHER ORDERS 5.56%

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