

CICCARELLI

ADVISORY SERVICES, INC.

Family Focused Wealth Management

FINANCIAL ATTITUDE QUESTIONNAIRE

1.) Rate the following methods of savings and investing.

	<u>SLIGHT</u>		<u>MOST</u>
	<u>PREFERENCE</u>		<u>PREFERENCE</u>
Savings Account	1	2	3
Cash Value of Life Insurance	1	2	3
Government Bonds	1	2	3
Corporate Bonds	1	2	3
Tax Exempt Bonds	1	2	3
Mutual Funds	1	2	3
Variable Annuities	1	2	3
Common Stocks	1	2	3
Real Estate	1	2	3
Tax Shelters (oil, cattle, etc.)	1	2	3

2.) What is the approximate % (percent) of your portfolio assets that should be invested for the following time horizons?

% up to 3 years	% 5 - 10 years
% 3 - 5 years	% 10+ years

3.) Select the answer that best reflects your return expectation.

- (a)_____ I cannot afford any possible loss of capital regardless of potential return.
- (b)_____ While unable to risk my capital, I want the best return I can get.
- (c)_____ Although stocks historically earn better than other types of securities, I will forego some potential future gains to reduce volatility and earn a steady stream of income.
- (d)_____ I believe in the power of compounding income and growth and want a combination of the two.
- (e)_____ Solid companies in growing businesses historically give very good results over time, with a level of risk I can tolerate.
- (f)_____ Higher risk investors generally earn higher, long-term returns, and I want higher returns.
- (g)_____ Smaller is better in the long run. Small companies' stocks may be more volatile, but may potentially reward me with the best long-term results.

4) In the handling of your finances, would you be willing to take above average risks in order to seek greater growth with some or all of your investable funds? Yes _____ No _____

If yes, indicate what percent of your investable funds you would be willing to place in investments of above average risk. _____ Percent

5) Please indicate in order of importance #1 - #9 for the areas below. (#1 would be most important and #9 being least important)

- a) Liquidity (availability short term) _____
- b) Current Income from investments _____
- c) Future Income _____
- d) Preservation of purchasing power _____
- e) Income Tax Deferral/Relief _____
- f) Long Term Capital Growth _____
- g) Safety of Principal/Low Volatility _____
- h) Consistency of Return _____
- i) Other _____

Thank you for taking the time to complete this questionnaire