

# *INTREPID*



This brochure supplement provides information about DOUGLAS F. BALES that supplements the Intrepid Financial Planning Group, LLC brochure. You should have received a copy of that brochure. Please contact the Intrepid Financial Planning Group, LLC office at (317) 818-1776 if you did not receive Intrepid Financial Planning Group, LLC's brochure or if you have any questions about the contents of this supplement.

Additional information about DOUGLAS F. BALES is available on the United States Securities and Exchange Commission's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

**INTREPID FINANCIAL PLANNING GROUP, LLC.**

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Indianapolis, IN 46240

(317) 818-1776

IARD No. 112196

SEC FILE NUMBER: 801-61020

ADV Part 2B – Brochure Supplement For:

**DOUGLAS F. BALES**

1100 W. White River Blvd.

Muncie, IN 47303

(765) 284-3384

CRD No. 1858326

March 30, 2018

## **EDUCATION BACKGROUND & BUSINESS EXPERIENCE**

Douglas F. Bales, born in 1966, attended Millikin University in Decatur, IL. He graduated in 1988 with a Bachelor of Science Degree in Finance. In addition, Mr. Bales received his Chartered Life Underwriter (CLU) designation in 1991. In order to receive the CLU designation, Mr. Bales had to complete a minimum amount of course work and pass a CLU examination.

Since 2001, Mr. Bales has been affiliated with Whiting Financial Advisors, LLC in Muncie, Indiana and has been a member/owner of Whiting Financial Advisors, LLC since 2004. He oversees the financial services operations specializing in investment management, retirement planning, estate planning, business transfer, and money management.

## **DISCIPLINARY INFORMATION**

There are no legal or disciplinary events to report for Douglas F. Bales.

## **OTHER BUSINESS ACTIVITIES**

In addition to being a registered investment advisor representative through Intrepid Financial Planning Group, LLC, Douglas F. Bales is also a member/owner of Whiting Financial Advisors, LLC and a licensed life and health insurance agent. Mr. Bales oversees all aspects of the investment management services with Whiting.

## **ADDITIONAL COMPENSATION**

Douglas F. Bales receives fee based compensation Intrepid Financial Planning Group, LLC and from time to time receives compensation from insurance carriers for insurance work unrelated to Intrepid.

## **SUPERVISION**

All of Intrepid Financial Planning Group LLC's advisory representatives and employees are monitored and supervised by Richard K. Locke, Lead Portfolio Manager/Chief Compliance Officer. Mr. Locke is located at the Indianapolis headquarters and is available via telephone at (317) 818-1776.

The majority of all investment advice from Intrepid Financial Planning Group, LLC comes jointly from Lead Portfolio Manager/Chief Compliance Officer, Richard K. Locke and its advisory representatives. All client communications are retained in client files and are reviewed by the Chief Compliance Officer.

In addition, all advisory representatives and employees are required to accurately report all personal securities transactions on a quarterly basis. Personal securities transactions are then reviewed by the Chief Compliance Officer.

## **OTHER DISCLOSURES**

There are no additional disclosures for Douglas F. Bales.