Documents Needed for Financial Planning

The following documents provide information that will be important as we work together to create your financial and estate plan. This material will be treated confidentially and returned when your plan is completed, or earlier if requested.

Most Recent Payroll Stub

- ☐Client A
- ☐Client B

Income Tax Returns – Previous Two years with all Schedules

- ☐Client A
- ☐Client B

Wills and Trusts

- ☐Client A
- ☐Client B

Insurance/Annuity Contracts, Statements,

And Inforce Illustrations

- ☐Life
- ☐Health
- ☐Disability
- ☐Group Insurance
- ☐Annuities

Current Financial Statements

- ☐Personal Net Worth/Assets and Liability Listing
- ☐Living Expenses Summary/Budget

Savings and Retirement Statements

- ☐Pension Plan/Profit Sharing
- ☐Keogh/SEP
- ☐401 (k)/Tax Sheltered Annuity/Employee Deferred Comp.
- ☐IRA/Roth IRA
- ☐Savings
- ☐Mutual Fund Accounts & Brokerage Accounts with Basis Information

Business Documents

- ☐Buy/Sell Agreement
- ☐Deferred Compensation
- ☐Wage Continuation
- ☐Employment Agreement
- ☐Group Benefit Programs
- ☐Other Employer Paid Benefits

Company Benefit Statements/Booklets

- ☐Client A
- ☐Client B