

Client Profile

Client A

First, Middle, Last Name		Date of Birth	
Primary Address	City	State	Zip Code
Email Address	Home Phone	Cell Phone	
Drivers License Number	Expiration Date		
Marital Status	Date of Marriage	Date of Divorce	
Employer	Title		
Employer's Address			
Employer's Phone	Employer's Fax	Business Email	

Client B

First, Middle, Last Name		Date of Birth	
Primary Address	City	State	Zip Code
Email Address	Home Phone	Cell Phone	
Drivers License Number	Expiration Date		
Marital Status	Date of Marriage	Date of Divorce	
Employer	Title		
Employer's Address			
Employer's Phone	Employer's Fax	Business Email	
Children's Names	Social Security #	DOB	Existing Education Funds



AJW FINANCIAL
STRENGTH | INDEPENDENCE | FREEDOM

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3300 Edinborough Way, Suite 550 | Edina, MN 55435 | 952-405-2000 | www.ajwfinancial.com

Risk Profile

What best describes your investment personality? Please rank answers 1 – 4 (1=closest to my personality)

Safety:

Rank

I cannot tolerate any decline in my investments.

I can tolerate some fluctuation in my investments, as long as they may recover within 1 – 3 years.

I can accept short-term losses for potentially higher returns overall.

I expect my investments to fluctuate as a cost of potentially achieving significant returns.

Liquidity:

I need to have access to my money:

- within the next two years
- within the next 3 to 5 years
- within the next 6 to 10 years
- will not need for 11 years or more

☐☐☐☐

Income:

I need steady income from my investments now.

☐

I will not need income from my investments in the near future.

☐

My experience with investments is:

- minimal
- moderate
- significant

☐☐☐

For the long term, what would you consider a realistic pre-tax return?

- Growth (capital appreciation)
- Yield (interest rate)
- Inflation

Goals

At what age do you expect to stop working full-time?

Do you plan to work part-time during retirement?

What is your desired monthly income in retirement?

Do you desire to contribute to your children's education funds?

- If Yes, how much do you wish to contribute? (private vs. public, partial fund vs. 100%)

How much emergency funds do you need?

What are some other goals you desire to achieve? (new home, second home, travel, start a business, change careers, etc.)

Assets

Liabilities

	Date Purchased	Owner	Fair Market Value		Original Amount	Original Date	Term	Rate	Current Balance
Checking				Ready Reserve					
Savings									
Homestead				Mortgage					
Other Real Estate				Other Mortgage					
Business				Business Loan					
Autos				Auto Loans					
Boat, Other Vehicles				Student Loans					
Personal Property				Other Loans					
Company Retirement									
IRA				Charge Accounts					
Roth IRA									
Pension									
Bonds				Unpaid Income Tax					
Stocks				Other Debt					
Mutual Funds									
Other									

Insurance

Life Insurance Company	Insured	Amount	Type	Issue Date	Premium	Cash Value	Loans

Disability Company	Insured	Monthly Benefit	Benefit Period	Waiting Period	Premium	Details

Long-Term Car Company	Insured	Monthly Benefit	Benefit Period	Waiting Period	Premium	Details

Estate Documents

			Date of Document	Type	Description
Do you have a current will?	Yes <input type="checkbox"/>	No <input type="checkbox"/>			
Do you have a health care directive?	Yes <input type="checkbox"/>	No <input type="checkbox"/>			
Do you have a power of attorney?	Yes <input type="checkbox"/>	No <input type="checkbox"/>			
Do you have a trust?	Yes <input type="checkbox"/>	No <input type="checkbox"/>			

Other helpful documents to bring to your review Meeting:

- *Most recent completed tax return*
- *Current payroll check stub*
- *Any will and trust documents*
- *Retirement plan documents*
- *Existing insurance policies*
- *Group benefit and insurance information*
- *Home Mortgage Information*
- *Loan Statements*
- *Bank and credit card statements*
- *Investment account statements*
- *Any other information that you feel may be pertinent*