

Part 2B of Form ADV: *Brochure Supplement*

James David Goodland
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DBA

GPS Wealth Management, LLC

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Item 1

This brochure supplement provides information about James David Goodland that supplements the Spire Wealth Management, LLC brochure. You should have received a copy of that brochure. Please contact Sue McKeown 703-657-6060 if you did not receive Spire Wealth Management, LLC's brochure or if you have any questions about the contents of this supplement.

Additional information about James David Goodland is available on the SEC website at www.adviserinfo.sec.gov

Item 2 Educational Background and Business Experience

Full Legal Name: James David Goodland **Born:** 1966

Education:

Attended Kaplan University for Financial Planning courses

Attended Brown College (1986 - 1987)

Attended American College for Certified Financial Planning courses

Business Experience:

- Spire Investment Partners; Wealth Manager; from 2015 to Present
- FRG, Inc.; Owner/Principal; from 1994 to Present
- GPS Wealth Management, LLC (Formally Securus Wealth Management); Managing Director; from 2006 to 2015

Registrations

Series 65 (Investment Advisor License)

Mr. Goodland is also licensed to sell Life & Health insurance in MN and several other states.

Item 3 Disciplinary Information

James David Goodland has been found, in connection with an SRO proceeding, to have been involved in a violation of the SRO's rules. Although not named in the complaint, Mr. Goodland was the registered representative of record and was made to contribute \$7500 by Cambridge (as the amount of his E&O insurance premium) so as to settle the agreement reached in October of 2014. Further details can be found on <http://brokercheck.finra.org>.

Comment: An individual came to me requesting 1031 TIC real estate investment products in early 2008 right before the real estate collapse. I introduced him to my former broker/dealer, Cambridge Investment Research. The individual chose a Minneapolis industrial property that Cambridge offered and had performed due diligence on. After the real estate market collapse, many of the investors of this property filed complaints against their respective broker/dealers. I was NOT a named party in this claim. I was listed only as an interested party as I only made the introduction and have never had a customer complaint from any client in over 22 years of service.

In 2015 Mr. Goodland was found to be in violation of SEC's Investment Advisers Act of 1940, was fined and suspended from acting in a supervisory capacity.

Comment: An advisor I supervised was accumulating a small cap stock for his clients. I hired Cambridge Investment Research as a compliance consultant and they audited us every year as well as set-up e-mail surveillance procedures and never had a problem with this. The SEC took issue with some of the advisors e-mails and the liquidity of the stock. None of the questioned e-mails were ever

flagged in the system. I chose to resolve this timely so I could focus on serving my clients so I negotiated a settlement with the SEC. There were never any customer complaints against the advisor, myself or Securus.

Item 4 Other Business Activities

A. Investment-Related Activities

Insurance company or agency

Mr. Goodland is also licensed to sell insurance and annuity products through various insurance companies. When acting in this capacity, he may receive commissions for the insurance products that he sells.

B. Non Investment-Related Activities

Mr. Goodland is engaged as a partner in GHLR, LLC. This is a real estate partnership investment.

Item 5 Additional Compensation

James David Goodland does not receive any economic benefit from a non-advisory client for the provision of advisory services.

Item 6 Supervision

Supervisor: Allen Eickelberg

Title: Director of Operations

Phone Number: 703-657-6063

In addition to an annual in person review of our firms policies and procedures, each advisor is subject to the following ongoing supervision and review:

1. Daily trade reviews
2. Monthly review of personal securities accounts
3. Monthly review of personal bank statements
4. Monthly correspondence reviews - including ongoing capture and review of email
5. Periodic reviews of client account activity