

# Paul Cammareri

Independent Financial Advisor

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This Brochure Supplement provides information about Paul Cammareri that supplements the B. Riley Wealth Advisors, Inc. Brochure. You should have received a copy of that Brochure. Please contact B. Riley's Compliance Department if you did not receive the B. Riley Wealth Advisors Brochure or if you have any questions about the contents of this Supplement.

Additional information about this Financial Advisor is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

## **Item 2 – Business Experience and Educational Background**

**CRD #:** 5211919

**Year of Birth:** 1984

**Education:** B.S. Cum laude in Business Management with a concentration in Finance, St Francis College, 2006

### **Business Experience:**

July 2022 to Present	Registered Representative, B. Riley Wealth Management
December 2019 to Present	Financial Advisor, B. Riley Wealth Advisors (f/k/a National Asset Management)
June 2016 to July 2022	Registered Representative, National Securities Corp.
December 2008 to June 2016	Registered Representative, Investors Capital Corp.

### **Professional Designations:**

Mr. Cammareri has held the Accredited Wealth Management Advisor<sup>SM</sup> (AWMA<sup>®</sup>) designation from the College for Financial Planning since 2022. Individuals who hold the AWMA<sup>®</sup> designation have completed a course of study encompassing wealth strategies, equity-based compensation plans, tax reduction alternatives, and asset protection alternatives. Additionally, individuals must pass an end-of-course examination that tests their ability to synthesize complex concepts and apply theoretical concepts to real-life situations. All designees have agreed to adhere to Standards of Professional Conduct and are subject to a disciplinary process. Designees renew their designation every two years by completing 16 hours of continuing education, reaffirming adherence to the Standards of Professional Conduct and complying with self-disclosure requirements.

## **Item 3 – Disciplinary Information**

Mr. Cammareri has no disciplinary actions to disclose.

## **Item 4 – Other Business Activities**

Mr. Cammareri is a registered representative with B. Riley Wealth Management, Inc. ("BRWM"), member FINRA/SIPC, as well as an investment advisory representative ("IAR") of B. Riley Wealth Advisors, Inc. ("BRWA") and licensed to conduct business in this state.

Registered representatives of BRWM provide securities brokerage services for commissions and receive a portion of the brokerage commissions paid to BRWM. They may also receive a portion of any ongoing distribution of service (trail) fees from the sale of mutual funds or from variable annuity purchases. The dual registration presents an inherent conflict of interest and an incentive to recommend investment products based on the compensation received, rather than on a client's needs.

However, in accordance with fiduciary duties of an IAR and as a matter of Firm procedure, IARs assist clients in making decisions regarding whether to establish a brokerage or an advisory account (and determine the types of transactions that will take place through each account if a client has both accounts) based on the clients' goals, objectives, risk tolerance, and other factors.

As a registered representative of BRWM, Mr. Cammareri can only offer those products and services available through BRWM. Mr. Cammareri will direct all your broker-dealer securities transactions through BRWM and will only offer advisory programs available through BRWA. This means that you may be able to receive better transaction pricing and execution at a different broker-dealer, and you may be able to receive a more appropriate advisory program from a different registered investment advisor.

Mr. Cammareri is a licensed insurance agent with various insurance companies (a complete list will be provided upon request) through B. Riley Wealth Insurance. Mr. Cammareri is authorized to offer and sell some or all of the following insurance products: fixed life & annuities, disability, long term care, and employer benefit products. If you purchase an insurance product, the insurance company will pay Mr. Cammareri a commission and/or other fees. All such payments are separate from and in addition to the advisory fee.

Mr. Cammareri is also engaged in the sale of Medicare Supplement Insurance plans and/or Medicare Advantage plans to both new clients as well as existing advisory clients. Neither product is offered through B. Riley Wealth Insurance Corporation. If you purchase a Medicare plan through him, he will be paid a commission and/or other fees. All such payments are separate from and in addition to any advisory fee.

### **Item 5 – Additional Compensation**

As an SEC-registered Investment Adviser, BRWA pays Mr. Cammareri a portion of any investment advisory fees collected. As a Broker-Dealer, BRWM pays Mr. Cammareri a portion of any commissions, markups and transaction charges collected, but only on non-advisory assets. Other than normal production bonuses, Mr. Cammareri does not receive additional compensation based on the amount of new accounts or client referrals.

### **Item 6 – Supervision**

BRWA provides investment advisory and supervisory services in accordance with the BRWA Policies and Procedures. Each advisor associated with BRWA has been assigned a supervising principal. The supervising principal, or any properly documented qualified designee, is responsible for undertaking the day-to-day supervision of the advisor's activities. Supervising principals have primary responsibility to review and approve the account activities of the advisors assigned to them and may counsel with the Compliance Department to address perceived issues as deemed appropriate. The Compliance & Supervision Departments also provide additional oversight functions, as necessary. Each BRWA advisor must acknowledge that he or she is aware of and agrees to abide by all applicable government and industry regulations as well as the BRWA Code of Ethics.

Brandon Carvalho, Regional Supervisory Officer, has primary responsibility for the supervision of Mr. Cammareri and may be reached at 561-869-5170.