

**LADD TAX & FINANCIAL SERVICES INC**

*PO Box 56, 25 Canalview Mall*

*Fulton, NY 13069*

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**Consent for Disclosure of Tax Return Information**

Federal law requires this consent form be provided to you. Unless authorized by law, we cannot disclose your tax return information to third parties for purposes other than the preparation and filing of your tax return without your consent. If you consent to the disclosure of your tax return information, Federal law may not protect your tax return information from further use or distribution.

You are not required to complete this form to engage our tax return preparation services. If we obtain your signature on this form by conditioning our tax return preparation services on your consent, your consent will not be valid. If you agree to the disclosure of your tax return information, your consent is valid for the amount of time that you specify. If you do not specify the duration of your consent, your consent is valid for one year from the date of signature.

**Consent**

I/We, \_\_\_\_\_, authorize Ellen Ladd, EA (“Preparer”) to disclose all of my Tax Return Information, which includes but may not be limited to, all communications with Preparer and any information Preparer derives or generates from Tax Return Information in connection with my \_\_\_\_\_ Tax Return(s) to: (a) H.D. Vest Investment Services<sup>SM</sup>, a registered broker-dealer; (b) H.D. Vest Advisory Services<sup>SM</sup>, a registered investment adviser; and (c) affiliates of those entities (collectively, “HD Vest”), all of which are headquartered at 6333 N. State Highway 161, Fourth Floor, Irving, Texas 75038. I acknowledge that I may request a more limited disclosure of Tax Return Information.

I understand that Ladd Tax & Financial Services Inc is not a registered broker-dealer or a registered investment adviser.

I understand that Preparer is affiliated with HD Vest as an independent contractor solely for the purpose of offering financial products and services. HD Vest does not offer, provide or supervise tax advice or tax preparation services, and any services provided by HD Vest will be pursuant to a written agreement directly with the relevant HD Vest entity.

I acknowledge that if I make an investment through HD Vest that Preparer will receive a part of any management fees, commissions, or other fees paid on investments I make. I understand that I am under no obligation whatsoever to follow any recommendations made or to purchase any other products or services offered by or through Preparer.

I understand that the Tax Return Information may not be disclosed or used by Preparer for any purpose other than as permitted by this consent document.

## Purposes

1. This consent allows Preparer to disclose my Tax Return Information to HD Vest for the purpose of providing me with information pertaining to various financial services and products, which may include, but are not limited to, financial planning, investment advice and investment products (e.g., stocks, bonds, mutual funds, insurance and annuities). Without limiting the foregoing, this consent allows Preparer to download my Tax Return Information into financial planning or other software or systems owned or operated by Preparer or HD Vest for the purpose of assessing my financial situation or providing me with potential investment recommendations, whether or not I open an account or purchase any products or services through HD Vest, and whether or not such recommendations are actually made.

2. HD Vest may capture, retain, and review my electronic communications with Preparer or other people affiliated with Ladd Tax & Financial Services Inc. These communications may include email and attachments which contain Tax Return Information. HD Vest will protect the confidential nature of this information by disclosing it only in response to the lawful requests of United States federal or state agencies, or otherwise as required by law.

3. I understand that HD Vest and its authorized third party vendors will retain and store my Tax Return Information in hard copy and/or electronically as required by applicable regulations, or longer as determined in the sole discretion of HD Vest.

Unless otherwise specified below, the duration of this consent is three years from the date of signature.

If I prefer a more limited duration, I will specify the duration of my consent here: I specify the duration of the above consent to be \_\_\_\_\_ years from the date of signature.

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**Taxpayer Name**

**Signature**

**Date**

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**Taxpayer Name (*joint return*)**

**Signature**

**Date**

If you believe your tax return information has been disclosed or used improperly in a manner unauthorized by law or without your permission, you may contact the Treasury Inspector General for Tax Administration (TIGTA) by telephone at 1-800-366-4484, or by email at [complaints@tigta.treas.gov](mailto:complaints@tigta.treas.gov).