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## ***Personal Financial Review***

### ***Personal Information***

Name \_\_\_\_\_

Age \_\_\_\_\_ Birth Date \_\_\_\_\_ US Citizen \_\_\_\_\_

Address \_\_\_\_\_

City \_\_\_\_\_ State \_\_\_\_\_ Zip \_\_\_\_\_

Own \_\_\_\_\_ Rent \_\_\_\_\_

Phone \_\_\_\_\_ Mobile \_\_\_\_\_

Email \_\_\_\_\_

Employer \_\_\_\_\_

Position \_\_\_\_\_

Business Phone \_\_\_\_\_

Business Address \_\_\_\_\_

### ***Personal Information (Spouse)***

Name \_\_\_\_\_

Age \_\_\_\_\_ Birth Date \_\_\_\_\_ US Citizen \_\_\_\_\_

Phone \_\_\_\_\_ Mobile \_\_\_\_\_

Email \_\_\_\_\_

Employer \_\_\_\_\_

Position \_\_\_\_\_

Business Phone \_\_\_\_\_

Business Address \_\_\_\_\_



**Children**

Name \_\_\_\_\_

Age \_\_\_\_\_ Birth Date \_\_\_\_\_ Sex \_\_\_\_\_

Name \_\_\_\_\_

Age \_\_\_\_\_ Birth Date \_\_\_\_\_ Sex \_\_\_\_\_

Name \_\_\_\_\_

Age \_\_\_\_\_ Birth Date \_\_\_\_\_ Sex \_\_\_\_\_

Name \_\_\_\_\_

Age \_\_\_\_\_ Birth Date \_\_\_\_\_ Sex \_\_\_\_\_

**Annual Income**

Your Income

\_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

Less than \$30,000

\$30,000 to \$59,999

\$60,000 to \$74,999

\$75,000 to \$99,999

\$100,000 to \$149,999

\$150,000 to \$199,999

\$200,000 to \$249,999

\$250,000 or more

Spouse Income

\_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_



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### **Net Worth**

Assets Are Worth (Excluding Home)

Liabilities Are (Excluding Home)

_____	Less than \$49,999	_____
_____	\$50,000 to \$99,999	_____
_____	\$100,000 to \$249,999	_____
_____	\$250,000 to \$499,999	_____
_____	\$500,000 to \$1,999,999	_____
_____	\$2,000,000 or more	_____

Market Value of Home \$ \_\_\_\_\_

Mortgage \$ \_\_\_\_\_

### **Current Savings & Investments**

Savings & CD's \_\_\_\_\_

Money Market Funds \_\_\_\_\_

Mutual Funds \_\_\_\_\_

Stocks \_\_\_\_\_

Bonds \_\_\_\_\_

Tax Deferred Annuity \_\_\_\_\_

Traditional IRA \_\_\_\_\_

Roth IRA \_\_\_\_\_

401 (K) or SEP IRA \_\_\_\_\_

Other \_\_\_\_\_



**Current Life Insurance**

You		Spouse
_____	Less than \$100,000	_____
_____	\$100,000 to \$499,999	_____
_____	\$500,000 to \$999,999	_____
_____	\$1,000,000 or more	_____
_____	Amount that is employer group term	_____

**Financial Overview**

Please circle the appropriate answer

Y– Yes, N– No, U- Unsure

- |   |   |   |   |
|---|---|---|---|
| 1. I am covered for health care costs   | Y | N | U |
| 2. My income is protected in the event I am sick or hurt at work  | Y | N | U |
| 3. I am covered for long term care  | Y | N | U |
| 4. My liability limits are up to date and consistent with my financial assets   | Y | N | U |
| 5. I save on a weekly or monthly basis  | Y | N | U |
| 6. My investments are allocated according to my risk tolerance  | Y | N | U |
| 7. I participate in a qualified retirement plan   | Y | N | U |
| 8. I maximize my annual retirement plan contributions   | Y | N | U |
| 9. I have recently check my social security benefits  | Y | N | U |
| 10. I have a current will or trust consistent with my estate distribution wishes                                      | Y | N | U |
| 11. A guardian has been appointed for my children   | Y | N | U |
| 12. I have reviewed my life insurance coverage in the last 2 years  | Y | N | U |
| 13. I have recently reviewed or updated my beneficiary designations to ensure they coordinate with my other documents | Y | N | U |
| 14. I know the amount of income my family will receive at my death  | Y | N | U |
| 15. I have a concern about providing for a loved one with special needs   | Y | N | U |



## Fringe Benefits

Please circle the appropriate answer

	H– High Priority	M– Medium Priority	L– Low Priority	N– Not Applicable
1. An overall financial analysis			<b>H</b> <b>M</b> <b>L</b>	<b>N</b>
2. Savings and investing on a regular basis			<b>H</b> <b>M</b> <b>L</b>	<b>N</b>
3. A tax-deferred or tax-deductible investment			<b>H</b> <b>M</b> <b>L</b>	<b>N</b>
4. A guaranteed income product			<b>H</b> <b>M</b> <b>L</b>	<b>N</b>
5. A conservative investment			<b>H</b> <b>M</b> <b>L</b>	<b>N</b>
6. An investment that offers high growth potential			<b>H</b> <b>M</b> <b>L</b>	<b>N</b>
7. Providing education funds for my children			<b>H</b> <b>M</b> <b>L</b>	<b>N</b>
8. Planning for retirement			<b>H</b> <b>M</b> <b>L</b>	<b>N</b>
9. Protecting my income in the event of sickness or accident			<b>H</b> <b>M</b> <b>L</b>	<b>N</b>
10. Providing long term care			<b>H</b> <b>M</b> <b>L</b>	<b>N</b>
11. Providing funds to pay off my mortgage and debts at my death			<b>H</b> <b>M</b> <b>L</b>	<b>N</b>
12. Conserving my estate for my heir			<b>H</b> <b>M</b> <b>L</b>	<b>N</b>
13. Protecting my family’s lifestyle upon my death			<b>H</b> <b>M</b> <b>L</b>	<b>N</b>

## Future Plans

Circle all that may apply in the next 2 years

Save more	Pay off loans	Purchase new home
Change jobs	Marriage	Salary increase
Birth of a child	Retirement	Start a business
Inheritance	Sell a business or property	Other _____

