



4211 Pleasant Valley Rd. #260
Chantilly, Virginia 20151
703-956-6662
www.pegasusfg.com

Privacy Policy Notice

Pegasus Financial Group is committed to safeguarding the confidential information of its clients. We hold all personal information in the strictest confidence. All personal information that we collect from you in connection with any of the services provided by Pegasus Financial Group LLC will not be disclosed to nonaffiliated third parties, except as required by law. We use health and financial information that you provide to us to help you meet your personal financial goals. Our policy with respect to personal information about you is listed below.

- We limit employee and agent access to information only to those who have a business or professional reason for knowing, and only to nonaffiliated parties as required by law. (For example, federal regulations require us to share certain amounts of information about you with a brokerage firm in order to execute securities transactions on your behalf, or so that our firm can discuss your financial situation with your accountant, attorney, or other advisors.)
- We maintain a secure office and computer environment to ensure that your information is not placed at unreasonable risk. In the event of an incident, we maintain business continuity, disaster recovery, and cybersecurity procedures to further safeguard your information.
- The categories of nonpublic personal information that we collect from a client depends upon the scope of the client engagement. It may include information about your personal finances, your health to the extent it is needed for the planning process, transactions between you and third parties, and from consumer reporting agencies.
- For unaffiliated third parties that require access to your personal information including our Broker/Dealer, United Planners Financial Services, other financial service companies, consultants, advisors, advocates, and auditors, we also require strict confidentiality in our agreements with them and expect them to keep this information private. Federal and state regulators also may review firm records as mandated under law.
- We do not provide your personally identifiable information to mailing list vendors or solicitors for any purpose.
- Personally identifiable information about you will be maintained during the time you are a client and for the time thereafter that such records are required to be maintained by federal and state securities laws, and the CFP® Board Code of Ethics and Professional Responsibility. After this required period, all such information will be destroyed.

If you have any questions or concerns regarding our Privacy Policy or wish to “Opt-Out” of the information sharing, please contact us by phone or at the address above, Attn: Privacy Policy, or by email: smoss@pegasusfg.com.

Client Signature: _____ Date: _____

Client Signature: _____ Date: _____