



Henry B. Summer and Company  
1508 Lindsay Street, Newberry, SC 29108  
Phone: (803) 276-4246 Fax: (803) 276-9555

## ***Checklist of Items to Bring to Your First Investment Meeting***

The following is a list that will be helpful information to review during your first investment meeting. It is meant to provide us the most comprehensive view of where you are financially.

Bringing in as much information as possible allows us the opportunity to provide recommendations that are both customized and specific to you and your tax and financial situation. When we have limited information, we too are limited and can only provide recommendations that are generic.

All the items on the checklist may not apply to you at this time, and if we prepare your tax return, we may already have much of this information.

Recent documents, such as

- Current salary information
- Last years tax return (unless we prepared it)
- Birth date(s), address(es), Social Security number(s), (for spouse and beneficiaries, if applicable)

Your average monthly expenses, including

- Mortgage or rent
- Credit card payments
- Other loan payments (such as student or home equity loans)

Most recent statements from any existing accounts, such as

- 401(k) or other employer-sponsored retirement plans
- IRAs
- Bank accounts and CDs
- Brokerage accounts (Investment, etc.)
- Trust, will(s)
- Power(s) of Attorney
- Insurance policies

In addition to the above information, we would also like for you to bring a list of any questions or concerns you have about your own situation specifically or about finances or the economy in general.

We want to make sure to cover what's important to you. Please let us know what your questions and concerns are.

*Securities offered through HD Vest Investment ServicesSM, Member: SIPC, Advisory services are offered through H.D. Vest Advisory ServicesSM, 6333 North State Highway 161, Fourth Floor, Irving, Texas 75038, (972) 870-6000.*