

## Form ADV Part 2B Supplement

Aristotle Capital Management, LLC  
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**This Brochure Supplement provides information about investment personnel which is an addendum to the Aristotle Capital Management, LLC ('Aristotle Capital') Brochure. You should have received a copy of that Brochure. Please contact Aristotle Capital at [compliance@aristotlecap.com](mailto:compliance@aristotlecap.com) or (310) 478-4005 if you did not receive Aristotle Capital's Brochure or if you have any questions about the contents of this supplement.**

**Item 2 – Educational Background and Business Experience**

Education: B.S. and M.S., Electrical Engineering, Stanford University; MBA, Harvard Business School

Professional Designation: Mr. Gleicher has been awarded the use of the Chartered Financial Analyst® (CFA®) designation by the CFA Institute.

Business Experience:

Aristotle Capital Management, LLC ( <i>formerly Reed, Conner &amp; Birdwell, LLC</i> ) Chief Executive Officer and Chief Investment Officer	Los Angeles, CA 2011 – Present
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Aristotle Capital Management, LLC Chief Executive Officer and Chief Investment Officer	Los Angeles, CA 2006 – 2011
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Metropolitan West Capital Management, LLC Chief Executive Officer and Chief Investment Officer	Newport Beach, CA 1997 – 2010
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**Item 3 – Disciplinary Information.** Mr. Gleicher has no reportable disciplinary history.

**Item 4 – Other Business Activities.** No reportable outside business activities.

**Item 5 – Additional Compensation.** No reportable additional compensation.

**Item 6 – Supervision.** Mr. Gleicher is a principal of Aristotle Capital Management, LLC and reports to the Board of Managers, who is responsible for monitoring the services he provides to clients. Aristotle Capital also provides supervisory services in accordance with its compliance policies and procedures manual. The primary purpose of Aristotle Capital’s compliance policies and procedures is to comply with the supervision requirements of Section 203(e)(6) of the Investment Advisor’s Act. Aristotle Capital’s Chief Compliance Officer Michelle Gosom has responsibility for oversight of the compliance policies and procedures and can be reached at (310) 478-4005 or email her at [compliance@aristotlecap.com](mailto:compliance@aristotlecap.com).

**GARY W. LISENBEE**

**Born: 1947**

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**Item 2 – Educational Background and Business Experience**

Education: B.A., Accounting, California State University, Fullerton; M.A. Economics, California State University, Fullerton

Business Experience:

Aristotle Capital Management, LLC  
Co-Chief Executive Officer  
Los Angeles, CA  
2013 – Present

Metropolitan West Capital Management, LLC  
Co-Founder, Chief Executive Officer and Chief Investment Officer  
Newport Beach, CA  
1997 – 2013

**Item 3 – Disciplinary Information.** Mr. Lisenbee has no reportable disciplinary history.

**Item 4 – Other Business Activities.** Mr. Lisenbee is a Senior Partner at Aristotle Credit Partners, LLC, Aristotle Capital Boston, LLC and Aristotle Atlantic Partners, LLC, each affiliated advisers to Aristotle Capital Management.

**Item 5 – Additional Compensation.** No reportable additional compensation.

**Item 6 – Supervision.** Mr. Lisenbee is a principal of Aristotle Capital Management, LLC and reports to the Board of Managers, (310) 478-4005. Mr. Lisenbee is required to report material compliance violations directly to the Chief Compliance Officer, Michelle Gosom. You can contact her at (310) 478-4005 or email her at [compliance@aristotlecap.com](mailto:compliance@aristotlecap.com).

**CATALINA LLINÁS, CFA**

**Born: 1977**

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**Item 2 – Educational Background and Business Experience**

Education: Licenciatura en Economía, Universidad Europea de Madrid, Madrid, Spain; MBA, Georgetown University, Washington, D.C.

Professional Designation: Ms. Llinás has been awarded the use of the Chartered Financial Analyst® (CFA®) designation by the CFA Institute.

Business Experience:

Aristotle Capital Management, LLC  
Co-Chief Investment Officer  
Client Portfolio Manager  
Newport Beach, CA  
2019 – Present  
2013 – 2019

Metropolitan West Capital Management, LLC  
Senior Vice President, Portfolio Manager  
Newport Beach, CA  
2005 – 2013

Citibank España  
Financial Advisor

Madrid, Spain  
2002 –2003

**Item 3 – Disciplinary Information.** Ms. Llinás has no reportable disciplinary history.

**Item 4 – Other Business Activities.** No reportable outside business activities.

**Item 5 – Additional Compensation.** No reportable additional compensation.

**Item 6 – Supervision.** Howard Gleicher, Chief Executive Officer, Chief Investment Officer and the Board of Managers, (310) 478-4005.

**GEOFFREY S. STEWART, CFA**

**Born: 1974**

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**Item 2 – Educational Background and Business Experience**

Education: B.A., History, Duke University

Professional Designation: Mr. Stewart has been awarded the use of the Chartered Financial Analyst® (CFA®) designation by the CFA Institute.

Business Experience:

Aristotle Capital Management, LLC *(formerly Reed, Conner & Birdwell, LLC)*  
Principal, Portfolio Manager, Senior Global Research Analyst

Los Angeles, CA  
2003 – Present

**Item 3 – Disciplinary Information.** Mr. Stewart has no reportable disciplinary history.

**Item 4 – Other Business Activities.** No reportable outside business activities.

**Item 5 – Additional Compensation.** No reportable additional compensation.

**Item 6 – Supervision.** Howard Gleicher, Chief Executive Officer, Chief Investment Officer, (310) 478-4005.

**SEAN M. THORPE**

**BORN: 1968**

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**Item 2 – Educational Background and Business Experience**

Education: B.A., Economics/Finance, University of California, Los Angeles

Business Experience:

Aristotle Capital Management, LLC *(formerly Reed, Conner & Birdwell, LLC)*  
Principal, Portfolio Manager, Senior Global Research Analyst

Los Angeles, CA  
2007 – Present

Shamrock Holdings, LLC  
Senior Vice President

Los Angeles, CA  
2005 – 2006

**Item 3 – Disciplinary Information.** Mr. Thorpe has no reportable disciplinary history.

**Item 4 – Other Business Activities.** No reportable outside business activities.

**Item 5 – Additional Compensation.** No reportable additional compensation.

**Item 6 – Supervision.** Howard Gleicher, Chief Executive Officer, Chief Investment Officer, (310) 478-4005.

**ALBERTO JIMENEZ CRESPO, CFA**

**Born: 1973**

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**Item 2 – Educational Background and Business Experience**

Education: B.S. and M.S., Mining Engineering, Universidad Politécnica de Madrid; M.S., Mineral Economics, Colorado School of Mines

Professional Designation: Mr. Jimenez has been awarded the use of the Chartered Financial Analyst® (CFA®) designation by the CFA Institute.

Business Experience:

Aristotle Capital Management, LLC  
Principal, Portfolio Manager, Senior Global Research Analyst

Los Angeles, CA  
2014 – Present

Vinik Asset Management, LP  
Portfolio Manager, Equity Analyst

Tampa, FL  
2012 – 2013

Tradewinds Global Investors, LLC  
Managing Director, Portfolio Manager, Equity Analyst

Los Angeles, CA  
2006 – 2012

**Item 3 – Disciplinary Information.** Mr. Jimenez has no reportable disciplinary history.

**Item 4 – Other Business Activities.** No reportable outside business activities.

**Item 5 – Additional Compensation.** No reportable additional compensation.

**Item 6 – Supervision.** Howard Gleicher, Chief Executive Officer, Chief Investment Officer, (310) 478-4005.

**GREGORY D. PADILLA, CFA**

**Born: 1977**

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**Item 2 – Educational Background and Business Experience**

Education: B.S., Finance, Arizona State University; MBA, University of Southern California

Professional Designation: Mr. Padilla has been awarded the use of the Chartered Financial Analyst® (CFA®) designation by the CFA Institute.

Business Experience:

Aristotle Capital Management, LLC Los Angeles, CA  
Principal, Portfolio Manager, Senior Global Research Analyst 2014 – Present

Vinik Asset Management, LP Tampa, FL  
Portfolio Manager, Equity Analyst 2012 – 2013

Tradewinds Global Investors, LLC Los Angeles, CA  
Managing Director, Portfolio Manager, Equity Analyst 2006 – 2012

**Item 3 – Disciplinary Information.** Mr. Padilla has no reportable disciplinary history.

**Item 4 – Other Business Activities.** No reportable outside business activities.

**Item 5 – Additional Compensation.** No reportable additional compensation.

**Item 6 – Supervision.** Howard Gleicher, Chief Executive Officer, Chief Investment Officer, (310) 478-4005.

**STEVEN M. BOROWSKI**

**Born: 1957**

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**Item 2 – Educational Background and Business Experience**

Education: B.A., Social Science, University of California, Irvine; MBA, Pepperdine University

Business Experience:

Aristotle Capital Management, LLC Los Angeles, CA  
President 2011 – Present

MetWest Realty Advisors Los Angeles, CA  
Managing Director 2010 – 2011

Metropolitan West Capital Management, LLC Newport Beach, CA  
Managing Partner 1997 – 2010

**Item 3 – Disciplinary Information.** Mr. Borowski has no reportable disciplinary action.

**Item 4 – Other Business Activities.** No reportable outside business activities.

**Item 5 – Additional Compensation.** No reportable additional compensation.

**Item 6 – Supervision.** Mr. Borowski is a principal of Aristotle Capital Management, LLC and reports to the Board of Managers, (310) 478-4005. Mr. Borowski is required to report material compliance

violations directly to the Chief Compliance Officer, Michelle Gosom. You can contact her at (310) 478-4005 or email her at compliance@aristotlecap.com.

**RICHARD H. SCHWEITZER, CFA**

**Born: 1964**

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**Item 2 – Educational Background and Business Experience**

Education: B.A., Business Administration, California State University at Northridge; MBA, University of Southern California

Professional Designations: Mr. Schweitzer has been awarded the use of the Chartered Financial Analyst® (CFA®) designation by the CFA Institute and is a Certified Public Accountant (CPA) in the State of California (inactive).

Business Experience:

Aristotle Capital Management, LLC <i>(formerly Reed, Conner &amp; Birdwell, LLC)</i> Chief Financial Officer, Chief Operating Officer	Los Angeles, CA 2010 – Present
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MetWest Realty Advisors, LLC Chief Operating Officer, Chief Financial Officer	Los Angeles, CA 2010 – Present
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MetWest Ventures, LLC Chief Operating Officer, Chief Financial Officer	Los Angeles, CA 2009 – Present
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MetWest Financial Chief Operating Officer, Chief Financial Officer	Los Angeles, CA 1996 – 2009
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**Item 3 – Disciplinary Information.** Mr. Schweitzer has no reportable disciplinary action.

**Item 4 – Other Business Activities.** Mr. Schweitzer is a member of the California State University Northridge School of Business Advisory Board. Mr. Schweitzer serves as a Senior Partner at Aristotle Credit Partners, LLC Aristotle Capital Boston, LLC and Aristotle Atlantic Partners, LLC, each affiliated investment advisers to Aristotle Capital Management. Mr. Schweitzer also serves as the COO and CFO of MetWest Ventures, LLC, MetWest Realty Advisors, LLC and MetWest Properties, LLC.

**Item 5 – Additional Compensation.** Mr. Schweitzer serves as CFO & COO at MetWest Ventures, LLC, MetWest Realty Advisors, LLC and MetWest Properties, LLC. Mr. Schweitzer also has an ownership interest in each of the entities. Mr. Schweitzer has other outside interests but none of which occupy more than 10% of his time or compensation.

**Item 6 – Supervision.** Mr. Schweitzer is a principal of Aristotle Capital Management, LLC and reports to the Board of Managers, (310) 478-4005. Mr. Schweitzer is required to report material compliance violations directly to the Chief Compliance Officer, Michelle Gosom. You can contact her at (310) 478-4005 or email her at compliance@aristotlecap.com.

**Item 2 – Educational Background and Business Experience**

Education: B.A., Political Science, University of California, Los Angeles

Business Experience:

Aristotle Capital Management, LLC <i>(formerly Reed, Conner &amp; Birdwell, LLC)</i>	Los Angeles, CA
Chief Compliance Officer	2013 – Present
Senior Compliance Officer,	2000 – 2013
Senior Vice President, Business Development & Client Service	

Transamerica Insurance & Investments	Los Angeles, CA
Marketing Associate	1997 – 2000

**Item 3 – Disciplinary Information.** Ms. Gosom has no reportable disciplinary history.

**Item 4 – Other Business Activities.** Ms. Gosom is the Chief Compliance Officer of Aristotle Capital Boston, LLC and Aristotle Atlantic Partners, LLC, each affiliated advisers of Aristotle Capital Management, LLC.

**Item 5 – Additional Compensation.** No reportable additional compensation.

**Item 6 – Supervision.** Richard Schweitzer, Chief Financial Officer & Chief Operating Officer, (310) 478-4005.



## Exhibit to ADV Part 2B

### **CFA Institute Financial Adviser Statement**

The Chartered Financial Analyst (CFA) charter is a globally respected, graduate-level investment credential established in 1962 and awarded by CFA Institute — the largest global association of investment professionals.

There are currently more than 164,000 CFA charterholders working in 151 local member societies. To earn the CFA charter, candidates must: 1) pass three sequential, six-hour examinations; 2) have at least four years of qualified professional investment experience; 3) join CFA Institute as members; and 4) commit to abide by, and annually reaffirm, their adherence to the CFA Institute Code of Ethics and Standards of Professional Conduct.

### High Ethical Standards

The CFA Institute Code of Ethics and Standards of Professional Conduct, enforced through an active professional conduct program, require CFA charterholders to:

- Place their clients' interests ahead of their own
- Maintain independence and objectivity
- Act with integrity
- Maintain and improve their professional competence
- Disclose conflicts of interest and legal matters

### Global Recognition

Passing the three CFA exams is a difficult feat that requires extensive study (successful candidates report spending an average of 300 hours of study per level). Earning the CFA charter demonstrates mastery of many of the advanced skills needed for investment analysis and decision making in today's quickly evolving global financial industry. As a result, employers and clients are increasingly seeking CFA charterholders—often making the charter a prerequisite for employment.

Additionally, regulatory bodies in 28 countries and territories recognize the CFA charter as a proxy for meeting certain licensing requirements, and more than 125 colleges and universities around the world have incorporated a majority of the CFA Program curriculum into their own finance courses.

### Comprehensive and Current Knowledge

The CFA Program curriculum provides a comprehensive framework of knowledge for investment decision making and is firmly grounded in the knowledge and skills used every day in the investment profession. The three levels of the CFA Program test a proficiency with a wide range of fundamental and advanced investment topics, including ethical and professional standards, fixed-income and equity analysis, alternative and derivative investments, economics, financial reporting standards, portfolio management, and wealth planning.

The CFA Program curriculum is updated every year by experts from around the world to ensure that candidates learn the most relevant and practical new tools, ideas, and investment and wealth management skills to reflect the dynamic and complex nature of the profession.

To learn more about the CFA charter, visit [www.cfainstitute.org](http://www.cfainstitute.org).