

**Item 1: Cover Page
Part 2B of Form ADV: Brochure Supplement
August 2021**



Marcus Peters

**Tortuga Wealth Management
1957 Carson St. Suite 100
Torrance, CA 90501
www.tortugawealth.com**

**Firm Contact:
Angela Park Sheldon
Chief Compliance Officer**

This brochure supplement provides information about Mr. Peters that supplements our brochure. You should have received a copy of that brochure. Please contact Ms. Park Sheldon if you did not receive Tortuga Wealth Management's brochure or if you have any questions about the contents of this supplement. Additional information about Mr. Peters is available on the SEC's website at www.adviserinfo.sec.gov by searching CRD # 6578011.

Item 2: Educational Background & Business Experience

Marcus Allen Peters

Year of Birth: 1989

Educational Background:

- 2014: Kent State University; Bachelor of Arts in International Relations
- 2017 – 2021: University of Delaware; Master of Science in International Business (In Progress)

Business Background:

- 2021– Present Tortuga Wealth Management; Client Relationship Manager
- 2021 – Present LPL Financial; Registered Representative
- 2020 – 2021 Unemployed
- 2018 – 2020 Lincoln Financial Distributors; Internal Wholesaler
- 2016 – 2018 JPMorgan Chase; Global Liquidity Analyst

Exams, Licenses & Other Professional Designations:

- 2021: Series 65 Exam
- 2020: Chartered Retirement Planning Counselor (CRPC®)
- 2018: Series 63 and SIE Exams
- 2017: Series 7 Exam

Chartered Retirement Planning Counselor (CRPC®)

The CRPC® is offered by The College for Financial Planning®. The CRPC® Program focuses on the pre- and post-retirement needs of individuals. Enrollment in the program guides you through the retirement process, addressing issues such as estate planning and asset management. The College for Financial Planning® awards the Chartered Retirement Planning CounselorSM and CRPC® designation to students who: successfully complete the program; pass the final examination; and comply with the Code of Ethics, which includes agreeing to abide by the Standards of Professional Conduct promulgated by The College for Financial Planning®. Applicants must also disclose of any criminal, civil, self-regulatory organization, or governmental agency inquiry, investigation, or proceeding relating to their professional or business conduct. Conferment of the designation is contingent upon the College for Financial Planning's review of matters either self-disclosed or which are discovered by the College that are required to be disclosed. Successful students receive a certificate and are granted the right to use the designation on correspondence and business cards for a two-year period. Continued use of the CRPC® designation is subject to ongoing renewal requirements. Every two years individuals must renew their right to continue using the CRPC® designation by: completing 16 hours of continuing education and reaffirming to abide by the Standards of Professional Conduct, Terms and Conditions, and self-disclose any criminal, civil, self-regulatory organization, or governmental agency inquiry, investigation, or proceeding relating to their professional or business conduct.

Item 3: Disciplinary Information¹

There are no legal or disciplinary events material to the evaluation of Mr. Peters.

Item 4: Other Business Activities

Mr. Peters is a registered representative of LPL Financial, member FINRA/SIPC, and licensed insurance agent/broker. She may offer products and receive normal and customary commissions as a result of these transactions. A conflict of interest may arise as these commissionable securities sales may create an incentive to recommend products based on the compensation earned. To mitigate this potential conflict, Mr. Peters, as a fiduciary, will act in the client's best interest.

Item 5: Additional Compensation

Mr. Peters does not receive any other economic benefit for providing advisory services in addition to advisory fees.

Item 6: Supervision

Angela Park Sheldon, Chief Compliance Officer of Tortuga Wealth Management, supervises and monitors Mr. Peters' activities on a regular basis to ensure compliance with our firm's Code of Ethics. Please contact Ms. Park Sheldon if you have any questions about Mr. Peters' brochure supplement at 310-906-0517.

Item 7: Requirements for State-Registered Advisers

Mr. Peters has not been involved in any arbitration claim alleging damages in excess of \$2,500. Furthermore, she has neither been involved in nor found liable in any civil, self-regulatory organization, or administrative proceeding nor has been the subject of any bankruptcy petitions.

¹ Note: Our firm may, under certain circumstances, rebut the presumption that a disciplinary event is material. If an event is immaterial, we are not required to disclose it. When we review a legal or disciplinary event involving the advisor to determine whether it is appropriate to rebut the presumption of materiality, we consider all of the following factors: (1) the proximity of advisor to the advisory function; (2) the nature of the infraction that led to the disciplinary event; (3) the severity of the disciplinary sanction; and (4) the time elapsed since the date of the disciplinary event. If we conclude that the materiality presumption has been overcome, we prepare and maintain a file memorandum of our determination in our records. We follow SEC rule 204-2(a)(14)(iii) and similar state rules.