

Privacy Policy

Rev 07/01/12

FACTS		What do Woodlands Securities Corporation/Woodlands Asset Management, Inc. DO WITH YOUR PERSONAL INFORMATION?	
Why?	<i>Financial companies choose how they share your personal information. Federal law gives consumers the right to limit some but not all sharing. Federal law also requires us to tell you how we collect, share, and protect your personal information. Please read this notice carefully to understand what we do.</i>		
What?	<p>The types of personal information we collect and share depend on the product or service you have with us. This information can include:</p> <ul style="list-style-type: none"> • Social Security number and Date of Birth • Investment Objectives and Investment Experience • Drivers License and Financial Information <p>When you are no longer our customer, we continue to share your information as described in this notice.</p>		
How?	<i>All financial companies need to share client personal information to run their everyday business. In the section below, we list the reasons financial companies can share their Client personal information; the reasons Company chooses to share; and whether you can limit this sharing.</i>		
Reasons we can share your personal information		Does Company share?	Can you limit this sharing?
For our everyday business purposes—such as to process transactions, maintain your account(s), respond to court orders, legal investigations, or report to credit		Yes	No
For our marketing purposes—to offer our products and services to you		No	N/A
For joint marketing with other financial companies		No	N/A
For our affiliates' everyday business purposes—information about your transactions and experiences		No	N/A
For our affiliates' everyday business purposes—information about your creditworthiness		No	N/A
For nonaffiliates to market to you		No	N/A
Who we are			
Who is providing this Notice?	Woodlands Securities Corporation, Member FINRA and SIPC, and Woodlands Asset Management, Inc. (collectively referred to as "Company")		
What we do			
How does Company protect my personal information?	To protect your personal information from unauthorized access and use, we use security measures that comply with federal law. These measures include computer safeguards, secured files, and buildings.		
How does Company collect my personal information?	<p>We collect your personal information, for example, when you</p> <ul style="list-style-type: none"> – open an account or update your information – client meetings 		
Why can't I limit all sharing?	<p>Federal law gives you the right to limit only</p> <ul style="list-style-type: none"> • sharing for affiliates' everyday business purposes—information about your credit worthiness • affiliates from using your information to market to you • sharing for nonaffiliates to market to you <p>State laws and individual companies may give you additional rights to limit sharing.</p>		
Definitions			
Affiliates	Companies related by common ownership or control. They can be financial and nonfinancial companies. • N/A		
Nonaffiliates	<p>Companies not related by common ownership or control. They can be financial and nonfinancial companies.</p> <ul style="list-style-type: none"> • Custodial Firms, Mutual Fund and Insurance Companies, Issuers 		
Joint Marketing	A formal agreement between nonaffiliated financial companies that together market financial products or services to you. • N/A		
QUESTIONS?	Call 281-367-2483 or visit www.woodlandssecurities.com		



Woodlands Securities Corporation - Member FINRA and SIPC, and Woodlands Asset Management, Inc.
(collectively referred to as "Company"), PO BOX 7805, The Woodlands TX 77387