

**Part 2B of Form ADV: *Brochure Supplement***

Scott E Aabel  
2520 N. Tamiami Trail  
Nokomis, FL 34275  
941-412-1199

**DBA: Premier Retirement Asset Management**

Spire Wealth Management, LLC  
1840 Michael Faraday Dr., #105  
Reston, VA 20190

April 2020

**Item 1**

This brochure supplement provides information about Scott E Aabel that supplements the Spire Wealth Management, LLC brochure. You should have received a copy of that brochure. Please contact Sue McKeown 703-657-6060 if you did not receive Spire Wealth Management, LLC's brochure or if you have any questions about the contents of this supplement.

Additional information about Scott E Aabel is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov)

**Item 2 Educational Background and Business Experience**

**Full Legal Name:** Scott E Aabel      **Born:** 1962

## **Education**

- New York University; Business/Business Law; 1985

## **Business Experience**

- Spire Investment Partners; Wealth Manager; from Aug 2016 to Present
- Premier Retirement Asset Management; Wealth Manager; from 2013 to Present
- Investors Capital Corp; Wealth Manager; from Jan 2016 to Aug 2016
- Sagepoint Financial; Wealth Manager; from March 2013 to Dec 2015
- Asset & Financial Planning Ltd; Wealth Manager; from May 2008 to March 2013
- Prime Capital Services; Wealth Manager; from May 1991 to March 2013

## **Item 3 Disciplinary Information**

Scott E Aabel has been:

Scott Aabel does have disciplinary disclosures.

***Details of these findings can be found by going to [www.IARD.gov](http://www.IARD.gov).***

## **Item 4 Other Business Activities**

### **A. Investment-Related Activities**

1. Scott E Aabel is also engaged in the following investment-related activities:

#### **Insurance company or agency**

Scott is licensed to sell insurance and therefore may receive commissions on the sale of those insurance policies.

## ***Item 5 Additional Compensation***

Scott E Aabel does not receive any economic benefit from a non-advisory client for the provision of advisory services.

## **Item 6 Supervision**

**Supervisor:** Allen Eickelberg

**Title:** VP Director of Operations

**Phone Number:** 703-657-6070

In addition to an annual in person review of our firms policies and procedures, each advisor is subject to the following ongoing supervision and review:

Daily trade reviews

Monthly review of personal securities accounts

Monthly review of business bank statements

Monthly correspondence reviews - including ongoing capture and review of email

Periodic reviews of client account activity

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Additional information about Gary A DiDonna is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov)

**Item 2 Educational Background and Business Experience**

**Full Legal Name:** Gary A DiDonna      **Born:** 1954

## **Education**

- Boston College; BS, Accounting/Finance; 1976

## **Business Experience**

- Spire Investment Partners; Wealth Manager; from 2016 to Present
- Premier Asset Management; Wealth Manager; from 2013 to Present
- Investors Capital Corp; Wealth Manager; from Jan 2016 to Aug 2016
- Sagepoint Financial; Wealth Manager; from Mar 2013 to Dec 2015
- Asset & Financial Planning; Wealth Manager; from Sept 2004 to Mar 2013
- Prime Capital Services; Wealth Manager; from Mar 2000 to Mar 2013

## **Designations**

Gary A DiDonna has earned the following designation(s) and is in good standing with the granting authority:

- Certified Financial Planner™; Certified Financial Planner Board of Standards, Inc.; 2004

The program is administered by the Certified Financial Planner Board of Standards Inc. Those with the CFP® designation have demonstrated competency in all areas of finance related to financial planning.

Candidates complete studies on over 100 topics, including stocks, bonds, taxes, insurance, retirement planning and estate planning. In addition to passing the CFP® certification exam, candidates must also complete qualifying work experience, agree to adhere to the CFP Board's code of ethics and professional responsibility and financial planning standards and complete 30 hours of continuing education every two years.

- Enrolled Agent - Since 1990

An enrolled agent is a person who has earned the privilege of representing taxpayers before the Internal Revenue Service by either passing a three-part comprehensive IRS test covering individual and business tax returns, or through experience as a former IRS employee. Enrolled agent status is the highest credential the IRS awards. Individuals who obtain this elite status must adhere to ethical standards and complete 72 hours of continuing education courses every three years.

Enrolled agents, like attorneys and certified public accountants (CPAs), have unlimited practice rights. This means they are unrestricted as to which taxpayers they can represent, what types of tax matters they can handle, and which IRS offices they can represent clients before. Learn more about

## **Item 3 Disciplinary Information**

Gary A DiDonna has no reportable disciplinary history.

## **Item 4 Other Business Activities**

## **A. Investment-Related Activities**

1. Gary A DiDonna is also engaged in the following investment-related activities:

### **Registered representative of a broker-dealer**

Advisor also carries the securities license required by FINRA (Financial Industry Regulatory Authority) in order to offer securities products and execute securities transactions separately from their registration as an Investment Advisor representative providing investment advice. This additional licensing allows our advisors a much more robust suite of products to offer to their clients. Registration, supervision and continuing education are all requirements for maintaining this type of registration.

Conflicts of holding this type of license could be in cross-selling. Selling out of an advisory account and buying in a securities account and thereby generating a commission for the representative. Moving monies from an advisory account into a commission account in order to affect a commissionable trade.

The types of commissions that may be earned on these types of accounts/products could be any one of the following:

1. Mutual Fund 12b-1 commissions
  2. Mutual Funds Trail Commissions
- Direct Product Sponsor Commissions

### **Other investment-related business**

Licensed as an insurance representative allows the advisor to offer various insurance products such as Variable Annuities, Life Insurance, Long Term Care insurance. Typically these products generate commission payments to the representatives selling the products separately from their investment advisory services. The ability to offer these products to clients allows the advisor a much more robust suite of products and thereby providing the client with a much more comprehensive financial plan

## **B. Non Investment-Related Activities**

Gary provides tax advice and tax return services to some clients.

## **Item 6 Supervision**

**Supervisor:** Allen Eickelberg

**Title:** Vice President, Director of Operations

**Phone Number:** 703-657-6070

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Additional information about James C Protigal is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov)

**Item 2 Educational Background and Business Experience**

**Full Legal Name:** James C Protigal      **Born:** 1969



## **Education**

- University of Hartford; BS, Finance/Economics; 1991

## **Business Experience**

- Spire Investment Partners, LLC; Wealth Manager; from Aug 2016 to Present
- Premier Retirement Asset Management; Wealth Manager; from 2013 to Present
- Investors Capital Corp; Wealth Manager; from Jan 2016 to Aug 2016
- Sagepoint Financial; Wealth Manager; from Mar 2013 to Dec 2015
- Prime Capital Services; Wealth Manager; from Sept 2000 to Mar 2013
- Asset & Financial Planning LTD; Wealth Manager; from Apr 2002 to Mar 2013

## **Item 3 Disciplinary Information**

James C Protigal has been:

Found to have been involved in violations of investment-related statutes. More information can be found at [IARD.gov](http://IARD.gov) and [BrokerCheck.FINRA.org](http://BrokerCheck.FINRA.org).

## **Item 4 Other Business Activities**

### **A. Investment-Related Activities**

1. James C Protigal is also engaged in the following investment-related activities:

#### **Registered representative of a broker-dealer**

Advisor also carries the securities license required by FINRA (Financial Industry Regulatory Authority) in order to offer securities products and execute securities transactions separately from their registration as an Investment Advisor representative providing investment advice. This additional licensing allows our advisors a much more robust suite of products to offer to their clients. Registration, supervision and continuing education are all requirements for maintaining this type of registration.

Conflicts of holding this type of license could be in cross-selling. Selling out of an advisory account and buying in a securities account and thereby generating a commission for the representative. Moving monies from an advisory account into a commission account in order to affect a commissionable trade.

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2. Mutual Funds Trail Commissions

Direct Product Sponsor Commissions

**Other investment-related business**

Licensed as an insurance representative allows the advisor to offer various insurance products such as Variable Annuities, Life Insurance, Long Term Care insurance. Typically these products generate commission payments to the representatives selling the products. The ability to offer these products to clients allows the advisor a much more robust suite of products and thereby providing the client with a much more comprehensive financial plan

**B. Non Investment-Related Activities**

James C Protigal is engaged in tax preparation activities.

**Item 5 Additional Compensation**

James C Protigal does not receive any economic benefit from a non-advisory client for the provision of advisory services.

**Item 6 Supervision**

**Supervisor:** Allen Eickelberg

**Title:** Vice President, Director of Operations

**Phone Number:** 703-657-6070

In addition to an annual in person review of our firms policies and procedures, each advisor is subject to the following ongoing supervision and review:

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