

Privacy Policy Disclosure

YR Securities ('YR') has adopted policies and procedures to protect our client's non-public personal information. YR is committed to safeguarding your confidential information and holds all personal information provided by clients in the strictest of confidence. Federal regulation requires YR to tell you how we collect, share and protect your personal information. YR Securities privacy policy applies to current, future and past clients. Please contact us at 757-961-0067 x 3 with any questions or concerns.

YR Securities may collect non-public, personal information about you to conduct regular business, administer, manage, service and provide related services for client accounts. It is necessary for YR to collect and provide access to customer information within the firm and to nonaffiliated companies with whom YR has entered into agreements. This non-public, personal information may be gathered through applications and other forms submitted to us by you, by your financial consultant, custodian, or other authorized representatives (such as your accountant or attorney), through the normal course of business.

The types of non-public personal information YR collects and shares will depend on the products and services received from us. This may include information on client applications (name, date of birth, social security number, address, phone number, assets, income, investment objective, risk tolerance) and information concerning account transactions (account numbers, transaction history, balances, beneficiary information).

Reasons why YR might disclose non-public, personal information may include:

- For everyday business purposes, such as processing your transactions or maintain, service and monitor your account(s)
- To non-affiliated third parties who assist us in administering your investment account(s), such as your brokerage, mutual fund, or other investment custodian(s)
- For our marketing purposes, to offer our products and services to you
- With our affiliated (same ownership) investment advisory company, WWI doing business as Dominion Capital Wealth Advisors ('DCWA'), if investment advisory services are appropriate and/or needed for a client
- In connection with a government or self-regulatory organization request or investigation
- As required by our regulators and/or best practices
- As required under the USA PATRIOT ACT

YR Securities restricts access to your personal and account information to those employees who need to know that information to provide services to you, your financial consultant or other authorized representative. Employees and third-party vendors/contractors governed by confidentiality agreements are prohibited from disclosing non-public personal information to any outside person or entity, during or after their employment or contractual engagement with YR Securities.

Additionally, YR Securities maintains physical, electronic, and procedural safeguards that meet federal and/or state standards to guard your non-public personal information.

If there is a material change in YR's privacy policy, you will be notified via written notice. If you have any questions regarding our privacy policy, please do not hesitate to call your Advisor or call YR Securities' Chief Compliance Officer at (757) 961-0067 x 3.
