

# Form ADV Part 2B Brochure Supplement

Timothy J. Hawkins

LexAurum Advisors, LLC

d/b/a “WealthCharter Retirement Planning”

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This Brochure Supplement provides information about Timothy J. Hawkins that supplements the LexAurum Advisors, LLC (LexAurum) Brochure which you should have received. Please contact us at (913) 261-9316 if you did not receive the LexAurum Brochure or if you have any questions about it or the contents of this supplement.

Additional information about Mr. Hawkins is available on the SEC’s website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

## **Item 2- Educational Background and Business Experience**

Timothy J. Hawkins, born in 1967, joined LexAurum in 2016 as an investment advisor representative. Prior to that, Mr. Hawkins was simultaneously affiliated as a representative of VSR Advisory Services, an SEC registered investment adviser, and VSR Financial Services, Inc., a FINRA member broker dealer, affiliations he has held since 1999. From 1998 to 1999 he was an investment analysis manager for DeWaay Capital Management.

Mr. Hawkins earned a bachelor's degree in Accounting from Iowa State University in 1990 and a Master's in Business Administration from Cal State Northridge in 1996.

## **Item 3- Disciplinary Information**

Mr. Hawkins is currently not subject to, nor has ever been subject to, any legal or disciplinary events of a material nature.

## **Item 4- Other Business Activities**

Mr. Hawkins is a registered representative with Brokers International Financial Services, LLC (BIFS). In this capacity he receives commission-based compensation in connection with the purchase and sales of securities, including 12b-1 fees for the sale of investment company products. Compensation earned by Mr. Hawkins in his capacity as a registered representative is separate and in addition to advisory fees he earns. Accepting commission-based compensation poses a conflict of interest as it creates an incentive to effect securities transactions for the purpose of generating compensation however, the client is under no obligation, contractually or otherwise, to purchase securities products through Mr. Hawkins. Further, based on current fiduciary and suitability standards, Mr. Hawkins is required to make recommendations appropriate to each client's individual needs and objectives.

Mr. Hawkins is licensed as an independent insurance agent. He earns commission-based compensation for selling insurance products to clients. Insurance commissions earned by Mr. Hawkins are separate and in addition to advisory fees he earns. Accepting commission-based compensation poses a conflict of interest as it creates an incentive to effect insurance transactions for the purpose of generating compensation however, the client is under no

obligation, contractually or otherwise, to purchase insurance products through Mr. Hawkins. Further, based on current fiduciary and suitability standards, Mr. Hawkins is required to make recommendations appropriate to each client's individual needs and objectives.

Mr. Hawkins is a partner in Mighty Fine Properties LLC which is involved in the management of various rental properties, and The Dragon Group LLC which serves as a real estate holding company. He also performs Continuing Education for CPAs.

Mr. Hawkins uses the business name "WealthCharter Retirement Planning" to represent his advisory business conducted through LexAurum, his brokerage business conducted through BIFS, and his insurance business conducted through WealthCharter Retirement Planning.

#### **Item 5- Additional Compensation**

Mr. Hawkins does not receive any economic benefits, sales awards, or other compensation in connection with providing advisory services to clients.

#### **Item 6 - Supervision**

Lex-Aurum maintains a supervisory structure designed to reasonably detect and prevent violations of securities laws, rules and regulations. This system includes written supervisory procedures, trained and qualified home office personnel, guidance issued to advisors in a compliance manual, and periodic office compliance inspections. Mr. Hawkins reports to Peter W. Hughes, the CEO of the firm. Mr. Hughes can be reached at (913) 261-9316.