

This brochure supplement provides information about Daniel Da Ponte that supplements the Axis Advisors, LLC brochure. You should have received a copy of that brochure. Please contact Daniel Da Ponte, President & Chief Compliance Officer if you did not receive Axis Advisors, LLC's brochure or if you have any questions about the contents of this supplement.

Additional information about Daniel Da Ponte is also available on the SEC's website at www.adviserinfo.sec.gov.

Axis Advisors, LLC

Form ADV Part 2B – Individual Disclosure Brochure

for

Daniel Da Ponte

Personal CRD Number: 4351051

Investment Adviser Representative

Axis Advisors, LLC
197 Warren Avenue, Suite 203
East Providence, Rhode Island, 02914
(401) 441-5111
(866) 441-8095 Fax
ddaponte@axisadvisorsgroup.com
www.axisadvisorsgroup.com
www.danieldaponte.com

UPDATED: 3/26/2021

Item 2: Educational Background and Business Experience

Name: Daniel Da Ponte

Born: 1978

Education Background:

Education:

BS Business Administration, University of Rhode Island – 2000

Graduate Certificate in Personal Financial Planning, Kansas State University – 2009

Master's Degree in Personal Financial Planning, Kansas State University - 2014

Designations:

Accredited Investment Fiduciary® (AIF®): The AIF Designation certifies that the recipient has specialized knowledge of fiduciary standards of care and their application to the investment management process. To receive the AIF Designation, the individual must meet prerequisite criteria based on a combination of education, relevant industry experience, and/or ongoing professional development, complete a training program, successfully pass a **comprehensive**, closed-book final examination under the supervision of a proctor and agree to abide by the Code of Ethics and Conduct Standards. In order to maintain the AIF Designation, the individual must annually attest to the Code of Ethics and Conduct Standards, and accrue and report a minimum of six hours of continuing education. The e Designation is administered by the Center for Fiduciary Studies, the standards-setting body of fi360.

Business Background:

03/2013 – Present	Managing Member Axis Risk Management LLC
03/2013 – Present	President & Chief Compliance Officer Axis Advisors, LLC
01/1999 – 01/2019	State Senator State of Rhode Island
10/2005 – 03/2013	Registered Representative Axis Financial Group Inc.
05/2005 – 03/2013	Registered Representative Commonwealth Financial Network

Item 3: Disciplinary Information

There are no legal or disciplinary events that are material to a client's or prospective client's evaluation of this advisory business.

Item 4: Other Business Activities

Daniel Da Ponte is a licensed insurance agent. From time to time, he will offer clients advice or products from those activities. Clients should be aware that insurance services pay a commission and involve a conflict of interest, as commissionable products conflict with the fiduciary duties of a registered

investment adviser. Axis Advisors, LLC always acts in the best interest of the client; including the sale of commissionable products to advisory clients. Clients are in no way required to implement the plan through any representative of Axis Advisors, LLC in such individual's outside capacities.

Daniel Da Ponte has been elected to the Rhode Island FPA Board of Directors.

Daniel Da Ponte has joined the Board of Directors for Neighborhood Health Plan of Rhode Island.

Daniel Da Ponte is a Board Member of Portuguese-American Leadership Council of United States. Its a non-profit committed to being a national advocacy organization for issues important to the Portuguese-American community in the United States From time to time, he may offer clients advice or products from those activities and clients should be aware that these services may involve a conflict of interest. Axis Advisors, LLC always acts in the best interest of the client and clients always have the right to decide whether or not to utilize the services of any representative of Axis Advisors, LLC in such individual's outside capacities.

Item 5: Additional Compensation

Other than salary, annual bonuses, or regular bonuses, Daniel Da Ponte does not receive any economic benefit from any person, company, or organization, in exchange for providing clients advisory services through Axis Advisors, LLC.

Item 6: Supervision

As the only owner and representative of Axis Advisors, LLC, Daniel Da Ponte supervises all duties and activities of the firm. Daniel Da Ponte's contact information is on the cover page of this disclosure document. Daniel Da Ponte adheres to all required regulations regarding the activities of an Investment Adviser Representative and follows all policies and procedures outlined in the firm's policies and procedures manual, including the Code of Ethics, and appropriate securities regulatory requirements.

This brochure supplement provides information about Joshua S. Pacheco that supplements the Axis Advisors LLC brochure. You should have received a copy of that brochure. Please contact Joshua S. Pacheco if you did not receive Axis Advisors LLC's brochure or if you have any questions about the contents of this supplement.

Additional information about Joshua S. Pacheco is also available on the SEC's website at www.adviserinfo.sec.gov.

Axis Advisors LLC

Form ADV Part 2B – Individual Disclosure Brochure

for

Joshua S. Pacheco

Personal CRD Number: 5865407

Investment Adviser Representative

Axis Advisors LLC
197 Warren Avenue Suite 203
East Providence, RI 02914
(508) 444-0581
josh@axisadvisorsgroup.com

UPDATED: 03/22/2021

Item 2: Educational Background and Business Experience

Name: Joshua Sousa Pacheco **Born:**1985

Educational Background and Professional Designations:

Education:

- Bachelor of Science, Finance, University of Massachusetts, 2009
- Masters Liberal Arts, Management, Harvard University, 2014

Business Background:

- 09/2014 - Present Investment Adviser Representative, Axis Advisors LLC
- 11/2014 - Present Registered Representative, Innovation Partners LLC
- 11/2013 - 05/2017 President, Safely Date Inc.

Item 3: Disciplinary Information

There are no legal or disciplinary events that are material to a client's or prospective client's evaluation of this advisory business.

Item 4: Other Business Activities

Joshua S. Pacheco is a licensed insurance agent. From time to time, he will offer clients advice or products from those activities. Clients should be aware that insurance services pay a commission and involve a conflict of interest, as commissionable products conflict with the fiduciary duties of a registered investment adviser. Axis Advisors, LLC always acts in the best interest of the client; including the sale of commissionable products to advisory clients. Clients are in no way required to implement the plan through any representative of Axis Advisors, LLC in such individuals outside capacities.

Joshua S. Pacheco is a partner in the ownership of multi-family home. Additionally, he is sole owner of an apartment complex as well as a furniture store.

Item 5: Additional Compensation

Joshua S. Pacheco does not receive any economic benefit from any person, company, or organization, other than Axis Advisors LLC in exchange for providing clients advisory services through Axis Advisors LLC.

Item 6: Supervision

As a representative of Axis Advisors LLC Joshua S. Pacheco is supervised by Daniel Da Ponte, the firm's Chief Compliance Officer. Daniel Da Ponte is responsible for ensuring that Joshua S. Pacheco adheres to all required regulations regarding the activities of an Investment Adviser Representative, as well as all policies and procedures outlined in the firm's Code of Ethics and compliance manual. The phone number for Daniel Da Ponte is (401) 441-5111 x 102.

This brochure supplement provides information about Jane E. McAuliffe that supplements the Axis Advisors LLC brochure. You should have received a copy of that brochure. Please contact Daniel DaPonte if you did not receive Axis Advisors LLC's brochure or if you have any questions about the contents of this supplement.

Additional information about Jane E. McAuliffe is also available on the SEC's website at www.adviserinfo.sec.gov.

Axis Advisors LLC

Form ADV Part 2B – Individual Disclosure Brochure

for

Jane Elizabeth McAuliffe

Personal CRD Number: 3031341

Partner and Investment Advisor Representative

Axis Advisors LLC
197 Warren Avenue Suite 203
East Providence, RI 02914
(401) 441-5111
jmcauliffe@axisadvisorsgroup.com

UPDATED: 03/22/2021

Item 2: Educational Background and Business Experience

Name: Jane Elizabeth McAuliffe **Born:**1973

Educational Background and Professional Designations:

Education:

- Bachelor of Science, Management, Bryant University, 1995

Business Background:

- 06/2017 - Present Partner and Financial Advisor, Axis Advisors LLC
- 07/2017 - Present President, Collaborative Divorce Strategies
- 07/2015 - 06/2017 Financial Advisor, Forbes Financial Planning, Inc.
- 07/2013 - 06/2015 Key Account Sales, The Shuster Group

Professional Designations

Certified Divorce Financial Analyst® C DFA® certificate holders are governed by the Institute for Divorce Financial Analysts (IDFA™) which is dedicated to the certification, education, and promotion of the use of financial professionals in the divorce arena. The IDFA provides comprehensive training using a variety of knowledge and skill-building techniques. Candidates learn how to help their clients with determinative financial issues related to divorce and receive the C DFA® designation after successfully completing the course and qualifying examinations. Upon course completion, candidates must pass three 2-hour multiple choice examinations and a fourth comprehensive case study examination demonstrating proficiency with the Institute's proprietary software. To retain the C DFA designation, you must also obtain 15 divorce-related hours of continuing education every two years.

Item 3: Disciplinary Information

There are no legal or disciplinary events that are material to a client's or prospective client's evaluation of this advisory business.

Item 4: Other Business Activities

Jane McAuliffe is also the President of Collaborative Divorce Strategies, a firm offering financial coaching and analysis during divorces. This activity accounts for approximately 40% of her time.

Item 5: Additional Compensation

Jane E. McAuliffe does not receive any economic benefit from any person, company, or organization, other than Axis Advisors LLC in exchange for providing clients advisory services through Axis Advisors LLC.

Item 6: Supervision

As a representative of Axis Advisors LLC, Jane E. McAuliffe is supervised by Daniel Da Ponte, the firm's Chief Compliance Officer. Daniel Da Ponte is responsible for ensuring that Jane E. McAuliffe adheres to all required regulations regarding the activities of an Investment Adviser Representative, as well as all policies and procedures outlined in the firm's Code of Ethics and compliance manual. The phone number for Daniel Da Ponte is (401) 441-5111 x 102.

This brochure supplement provides information about Jason Matthew Dessert that supplements the Axis Advisors LLC brochure. You should have received a copy of that brochure. Please contact Michael G. Leonardo if you did not receive Axis Advisors LLC's brochure or if you have any questions about the contents of this supplement.

Additional information about Michael G. Leonardo is also available on the SEC's website at www.adviserinfo.sec.gov.

Axis Advisors LLC

Form ADV Part 2B - Individual Disclosure Brochure

for

Michael G. Leonardo

Personal CRD Number: 3236871

Investment Adviser Representative

Axis Advisors LLC
197 Warren Avenue Suite 203
East Providence, RI 02914
(401) 480-0511
mleonardo@axisadvisorsgroup.com

UPDATED: 03/22/2021

Item 2: Educational Background and Business Experience

Name: Michael G. Leonardo **Born:**1957

Educational Background and Professional Designations:

Education:

Bachelor of Arts, in Finance, University of Massachusetts, 1979

Business Background:

11/2020 - Present	Investment Adviser Representative Axis Advisors LLC
09/2005 - 11/2020	Registered Representative and Financial Advisor Amerprise Financial Services, Inc.
05/1999 - 07/2006	Registered Representative IDS Life Insurance Company

Item 3: Disciplinary Information

There are no legal or disciplinary events that are material to a client's or prospective client's evaluation of this advisory business.

Item 4: Other Business Activities

Michael Leonardo is a licensed insurance agent. From time to time, he will offer clients advice or products from those activities. Clients should be aware that insurance services pay a commission and involve a conflict of interest, as commissionable products conflict with the fiduciary duties of a registered investment adviser. Axis Advisors, LLC always acts in the best interest of the client; including the sale of commissionable products to advisory clients. Clients are in no way required to implement the plan through any representative of Axis Advisors, LLC in such individual's outside capacities.

Michael Leonardo also holds a 20% interest in a real estate holding company, Advisors RE, LLC.

Item 5: Additional Compensation

Michael Leonardo does not receive any economic benefit from any person, company, or organization, other than Axis Advisors LLC in exchange for providing clients advisory services through Axis Advisors LLC.

Item 6: Supervision

As a representative of Axis Advisors LLC, Michael Leonardo is supervised by Daniel Da Ponte, the firm's Chief Compliance Officer. Daniel Da Ponte is responsible for ensuring that Michael Leonardo adheres to all required regulations regarding the activities of an Investment Adviser Representative, as well as all policies and procedures outlined in the firm's Code of Ethics and compliance manual. The phone number for Daniel Da Ponte is (401) 441-5111 x 102.

This brochure supplement provides information about Cheryl D. Sanfilippo that supplements the Axis Advisors LLC brochure. You should have received a copy of that brochure. Please contact Cheryl D. Sanfilippo if you did not receive Axis Advisors LLC's brochure or if you have any questions about the contents of this supplement.

Additional information about Cheryl D. Sanfilippo is also available on the SEC's website at www.adviserinfo.sec.gov.

Axis Advisors LLC

Form ADV Part 2B – Individual Disclosure Brochure

for

Cheryl D. Sanfilippo

Personal CRD Number: 7270478

Investment Adviser Representative

Axis Advisors LLC
197 Warren Avenue, Suite 203
East Providence, RI02914
(401) 441-5111
Cheryl@axisadvisorsgroup.com

UPDATED: 03/22/2021

Item 2: Educational Background and Business Experience

Name: Cheryl D. Sanfilippo **Born:** 1963

Educational Background and Professional Designations:

Education:

- Bachelor of Science Management Advisory Service (Accounting and MIS), Southern New Hampshire University (formerly New Hampshire College) - 1985
- Master of Business Administration, Providence College Graduate School - 1990

Designations:

CFP® - Certified Financial Planner: The CERTIFIED FINANCIAL PLANNER™, CFP® and federally registered CFP (with flame design) marks (collectively, the “CFP® marks”) are professional certification marks granted in the United States by Certified Financial Planner Board of Standards, Inc. (“CFP Board”).

The CFP® certification is a voluntary certification; no federal or state law or regulation requires financial planners to hold CFP® certification. It is recognized in the United States and a number of other countries for its (1) high standard of professional education; (2) stringent code of conduct and standards of practice; and (3) ethical requirements that govern professional engagements with clients.

To attain the right to use the CFP® marks, an individual must satisfactorily fulfill the following requirements:

- Education – Complete an advanced college-level course of study addressing the financial planning subject areas that CFP Board’s studies have determined as necessary for the competent and professional delivery of financial planning services, and attain a Bachelor’s Degree from a regionally accredited United States college or university (or its equivalent from a foreign university). CFP Board’s financial planning subject areas include insurance planning and risk management, employee benefits planning, investment planning, income tax planning, retirement planning, and estate planning;
- Examination – Pass the comprehensive CFP® Certification Examination. The examination includes case studies and client scenarios designed to test one’s ability to correctly diagnose financial planning issues and apply one’s knowledge of financial planning to real world circumstances;
- Experience – Complete at least three years of full-time financial planning-related experience (or the equivalent, measured as 2,000 hours per year); and
- Ethics – Agree to be bound by CFP Board’s *Standards of Professional Conduct*, a set of documents outlining the ethical and practice standards for CFP® professionals.

Individuals who become certified must complete the following ongoing education and ethics requirements in order to maintain the right to continue to use the CFP® marks:

- i. Continuing Education – Complete 30 hours of continuing education hours every two years, including two hours on the *Code of Ethics* and other parts of the *Standards of Professional Conduct*, to maintain competence and keep up with developments in the financial planning field; and
- ii. Ethics – Renew an agreement to be bound by the *Standards of Professional Conduct*. The Standards prominently require that CFP® professionals provide financial planning services at a fiduciary standard of care. This means CFP® professionals must provide financial planning services in the best interests of their clients.

CFP® professionals who fail to comply with the above standards and requirements may be subject to CFP Board’s enforcement process, which could result in suspension or permanent revocation of their CFP® certification.

Certified Public Accountant (CPA): CPAs are licensed and regulated by their state boards of accountancy. While state laws and regulations vary, the education, experience and testing requirements for licensure as a CPA generally include minimum college education (typically 150 credit hours with at least a baccalaureate degree and a concentration in accounting), minimum experience levels (most states require at least one year of experience providing services that involve the use of accounting, attest, compilation, management advisory, financial advisory, tax or consulting skills, all of which must be achieved under the supervision of or verification by a CPA), and successful passage of the Uniform CPA Examination. In order to maintain a CPA license, states generally require the completion of 40 hours of continuing professional education (CPE) each year (or 80 hours over a two year period or 120 hours over a three year period). Additionally, all American Institute of Certified Public Accountants (AICPA) members are required to follow a rigorous *Code of Professional Conduct* which requires that they act with integrity, objectivity, due care, competence, fully disclose any conflicts of interest (and obtain client consent if a conflict exists), maintain client confidentiality, disclose to the client any commission or referral fees, and serve the public interest when providing financial services. The vast majority of state boards of accountancy have adopted the AICPA's *Code of Professional Conduct* within their state accountancy laws or have created their own.

Business Background:

- 07/2020 - Present Financial Planner
Axis Advisors LLC
- 07/2019 - Present Certified Public Accountant (CPA)
O'Reilly, Skuncik & Sanfilippo, Ltd.
- 01/2013 - Present Adjunct Instructor, as needed,
Roger Williams University
- 02/2017 - 06/2019 Accounting Supervisor
Highlander Charter School
- 10/2000 - 01/2017 Certified Public Accountant (CPA) & Financial Planner
Corrigan Financial, Inc.

Item 3: Disciplinary Information

There are no legal or disciplinary events that are material to a client's or prospective client's evaluation of this advisory business.

Item 4: Other Business Activities

Ms. Sanfilippo is a shareholder and CPA at O'Reilly, Skuncik & Sanfilippo, Ltd, an accounting and tax consulting firm. She devotes approximately 150 hours a month, with about 4.5 hours during trading hours.

Item 5: Additional Compensation

Cheryl D. Sanfilippo does not receive any economic benefit from any person, company, or organization, other than Axis Advisors LLC in exchange for providing clients advisory services through Axis Advisors LLC.

Item 6: Supervision

As a representative of Axis Advisors LLC, Cheryl D. Sanfilippo is supervised by Daniel Da Ponte, the firm's Chief Compliance Officer. Daniel Da Ponte is responsible for ensuring that Cheryl D. Sanfilippo adheres to all required regulations regarding the activities of an Investment Adviser Representative, as well as all policies and procedures outlined in the firm's Code of Ethics and compliance manual. The phone number for Daniel Da Ponte is (401) 441-5111.

This brochure supplement provides information about Jason Matthew Dessert that supplements the Axis Advisors LLC brochure. You should have received a copy of that brochure. Please contact Jason Matthew Dessert if you did not receive Axis Advisors LLC's brochure or if you have any questions about the contents of this supplement.

Additional information about Jason Matthew Dessert is also available on the SEC's website at www.adviserinfo.sec.gov.

Axis Advisors LLC

Form ADV Part 2B – Individual Disclosure Brochure

for

Jason Matthew Dessert

Personal CRD Number: 4482002

Investment Adviser Representative

Axis Advisors LLC
197 Warren Avenue Suite 203
East Providence, RI 02914
(401) 480-0511
jdessert@axisadvisorsgroup.com

UPDATED: 03/22/2021

Item 2: Educational Background and Business Experience

Name: Jason Matthew Dessert **Born:**1974

Educational Background and Professional Designations:

Education:

MBA, University of Florida - 2006

BS Finance/MIS, University of Rhode Island - 1998

Business Background:

04/2018 - Present Investment Adviser Representative
Axis Advisors LLC

08/2016 - Present Account Manager
Newell Brands

10/2007 - Present Owner
Sentry Tax and Accounting

05/2015 - 12/2017 IAR
HD Vest

10/2007 - 10/2017 Owner
Hope Tax Services

10/2015 - 08/2016 Account Manager
SC Johnson & Co

09/2006 - 09/2015 Account Manager
Kraft Foods

Item 3: Disciplinary Information

There are no legal or disciplinary events that are material to a client's or prospective client's evaluation of this advisory business.

Item 4: Other Business Activities

Jason Matthew Dessert is an accountant. From time to time, he will offer clients advice or products from this activity. Axis Advisors LLC always acts in the best interest of the client. Clients are in no way required to utilize the services of any representative of Axis Advisors LLC in their capacity as an accountant.

Jason Matthew Dessert is also an enrolled agent practicing under Sentry Tax and Accounting. He spends approximately 30% of his time on this activity.

Jason Matthew Dessert an Account Manager at Newell Brands. He spends approximately 30% of his time on this activity.

Item 5: Additional Compensation

Jason Matthew Dessert does not receive any economic benefit from any person, company, or organization, other than Axis Advisors LLC in exchange for providing clients advisory services through Axis Advisors LLC.

Item 6: Supervision

As a representative of Axis Advisors LLC, Jason Matthew Dessert is supervised by Daniel Da Ponte, the firm's Chief Compliance Officer. Daniel Da Ponte is responsible for ensuring that Jason Matthew Dessert adheres to all required regulations regarding the activities of an Investment Adviser Representative, as well as all policies and procedures outlined in the firm's Code of Ethics and compliance manual. The phone number for Daniel Da Ponte is 4014415111 x 102.

This brochure supplement provides information about Emanuel J. Pinheiro that supplements the Axis Advisors LLC brochure. You should have received a copy of that brochure. Please contact Emanuel J. Pinheiro if you did not receive Axis Advisors LLC's brochure or if you have any questions about the contents of this supplement.

Additional information about Emanuel J. Pinheiro is also available on the SEC's website at www.adviserinfo.sec.gov.

Axis Advisors LLC

Form ADV Part 2B – Individual Disclosure Brochure

for

Emanuel James Pinheiro

Personal CRD Number: 5623110

Investment Adviser Representative

Axis Advisors LLC
197 Warren Avenue Suite 203
East Providence, RI 02914
(401) 441-5111

jpinheiro@axisadvisorsgroup.com

UPDATED: 03/22/2021

Item 2: Educational Background and Business Experience

Name: Emanuel James Pinheiro **Born:**1986

Educational Background and Professional Designations:

Education:

- Bachelor of Business Administration, University of Rhode Island, 2008
- Bachelor of Arts, Economics, University of Rhode Island - 2008

Business Background:

- 02/2021 - Present Financial Advisor, Axis Advisors LLC
- 06/2016 - 02/2021 Principal, Horizon Benefits, LLC
- 05/2013 - 05/2016 Insurance Agent, Self- Employed
- 12/2013 - 12/2015 Registered Representative, MML Investors Services, LLC
- 10/2013 - 12/2015 Agent, MassMutual Life Insurance Company
- 07/2012 - 05/2013 Internal Wholesaler, Fidelity Investments
- 01/2009 - 07/2012 Registered Representative, NY Life Securities LLC
- 12/2008 - 07/2012 Agent, New York Life Insurance Company

Item 3: Disciplinary Information

There are no legal or disciplinary events that are material to a client's or prospective client's evaluation of this advisory business.

Item 4: Other Business Activities

Emanuel J. Pinheiro is a licensed insurance agent. From time to time, he will offer clients advice or products from those activities. Clients should be aware that insurance services pay a commission and involve a conflict of interest, as commissionable products conflict with the fiduciary duties of a registered investment adviser. Axis Advisors, LLC always acts in the best interest of the client; including the sale of commissionable products to advisory clients. Clients are in no way required to implement the plan through any representative of Axis Advisors, LLC in such individual's outside capacities.

Also, Mr. Pinheiro is the owner of a rental property. He spends about 10 hours a month on this endeavor.

Item 5: Additional Compensation

Emanuel James Pinheiro does not receive any economic benefit from any person, company, or organization, other than Axis Advisors LLC in exchange for providing clients advisory services through Axis Advisors LLC.

Item 6: Supervision

As a representative of Axis Advisors LLC, Emanuel J. Pinheiro is supervised by Daniel Da Ponte, the firm's Chief Compliance Officer. Daniel Da Ponte is responsible for ensuring that Emanuel J. Pinheiro

adheres to all required regulations regarding the activities of an Investment Adviser Representative, as well as all policies and procedures outlined in the firm's Code of Ethics and compliance manual. The phone number for Daniel Da Ponte is (401) 441-5111 x 102.