

Brochure Supplement (Part 2B of Form ADV)

October 17, 2022



MATTHEW P. GOIHL

Broadview Financial Management, LLC
10401 West Innovation Drive
Suite 100
Wauwatosa, WI 53226
414.390.1492
www.BroadviewFinancial.com

This brochure supplement provides information about Matthew P. Goihl that supplements Broadview's brochure. You should have received a copy of that brochure. Please contact Angela L. Pingel, Broadview's Chief Compliance Officer, at 414.390.1496 or angie@broadviewfinancial.com if you did not receive Broadview's brochure or if you have any questions about the contents of this supplement.

Additional information about Mr. Goihl is available on the SEC's website at www.adviserinfo.sec.gov.

Item 2 – Educational Background and Business Experience

Matthew P. Goihl, CFP[®], ADPA[®], began his career at Broadview Financial Management, LLC (“Broadview”) in April 2008. Currently and for at least the preceding five years, he has held the role of Financial Planner and Chief Executive Officer. Mr. Goihl received a B.A. degree from the University of Wisconsin-Madison in 1991 and an MBA from the University of Madison-Whitewater in 2000. He also received his CERTIFIED FINANCIAL PLANNER[™] (CFP[®]) certification in 2009 and his ADPA[®] designation in 2013. Mr. Goihl was born in 1968.

Qualification as a CFP[®] professional requires:

- A bachelor’s degree or its equivalent from an accredited college or university;
- Completion of financial planning education requirements set by the CFP[®] Board;
- Successful completion of the CFP[®] certification exam;
- Three years of qualifying full-time work experience;
- Successful completion of the Candidate Fitness Standards, which describe conduct that will or may bar an individual from being certified; and
- Continuing education.

Certified Financial Planner Board of Standards, Inc. owns the certification marks CFP[®], CERTIFIED FINANCIAL PLANNER[™], CFP[®] (with plaque design) and CFP[®] (with flame design) in the U.S., which it awards to individuals who successfully complete CFP[®] Board’s initial and ongoing certification requirements.

Qualification for the ADPA[®] designation requires:

- Completion of a course of study encompassing wealth transfers, federal taxation, retirement planning, and planning for financial and medical end-of-life needs for domestic partners;
- Successful completion of the ADPA[®] examination; and
- Continuing education.

Item 3 – Disciplinary Information

There are no legal or disciplinary events relating to Mr. Goihl that would be material to a client’s evaluation of Mr. Goihl.

Item 4 – Other Business Activities

Mr. Goihl is not involved in any other investment-related business or occupation or any other business or occupation for compensation.

Item 5 – Additional Compensation

Mr. Goihl does not receive any economic benefits from any non-client for providing advisory services, such as sales awards or bonuses for client referrals.

Item 6 – Supervision

Mr. Goihl is a shareholder of Broadview and, although he does not have a direct supervisor, his advisory activities are generally monitored by Broadview's Chief Compliance Officer and peer reviewed by Broadview's senior financial planners. Inquiries regarding Mr. Goihl's advisory activities can be directed to Angela L. Pingel, Chief Compliance Officer of Broadview, at 414.390.1496.

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JOSEPH B. DAILEY

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This brochure supplement provides information about Joseph B. Dailey that supplements Broadview's brochure. You should have received a copy of that brochure. Please contact Angela L. Pingel, Broadview's Chief Compliance Officer, at 414.390.1496 or angie@broadviewfinancial.com if you did not receive Broadview's brochure or if you have any questions about the contents of this supplement.

Additional information about Mr. Dailey is available on the SEC's website at www.adviserinfo.sec.gov.

Item 2 – Educational Background and Business Experience

Joseph B. Dailey, CFP[®], began his career at Broadview Financial Management, LLC (“Broadview”) in April 2010. Currently and for at least the proceeding five years, he has held the role of Financial Planner. In addition, Mr. Dailey has held the role of Portfolio Manager since 2021. Mr. Dailey received a B.S. degree from Fairfield University in 1995 and received his CERTIFIED FINANCIAL PLANNER[™] (CFP[®]) certification in 2010. Mr. Dailey was born in 1973.

Qualification as a CFP[®] professional requires:

- A bachelor’s degree or its equivalent from an accredited college or university;
- Completion of financial planning education requirements set by the CFP[®] Board;
- Successful completion of the CFP[®] certification exam;
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- Successful completion of the Candidate Fitness Standards, which describe conduct that will or may bar an individual from being certified; and
- Continuing education.

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Item 3 – Disciplinary Information

There are no legal or disciplinary events relating to Mr. Dailey that would be material to a client’s evaluation of Mr. Dailey.

Item 4 – Other Business Activities

Mr. Dailey is not involved in any other investment-related business or occupation or any other business or occupation for compensation.

Item 5 – Additional Compensation

Mr. Dailey does not receive any economic benefits from any non-client for providing advisory services, such as sales awards or bonuses for client referrals.

Item 6 – Supervision

Mr. Dailey is a shareholder of Broadview and, although he does not have a direct supervisor, his advisory activities are generally monitored by Broadview’s senior financial planners. Inquiries regarding Mr. Dailey’s advisory activities can be directed to Angela L. Pingel, Chief Compliance Officer of Broadview, at 414.390.1496.

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ADAM W. DODGE

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This brochure supplement provides information about Adam W. Dodge that supplements Broadview's brochure. You should have received a copy of that brochure. Please contact Angela L. Pingel, Broadview's Chief Compliance Officer, at 414.390.1496 or angie@broadviewfinancial.com if you did not receive Broadview's brochure or if you have any questions about the contents of this supplement.

Additional information about Mr. Dodge is available on the SEC's website at www.adviserinfo.sec.gov.

Item 2 – Educational Background and Business Experience

Adam W. Dodge, CFP[®], began his career at Broadview Financial Management, LLC (“Broadview”) in June 2011. Since January 2021, Mr. Dodge is the Director of Financial Planning Support. Prior to this and for at least the proceeding five years, he held the role of Financial Planner. Mr. Dodge received a B.A. degree from St. Olaf College in 2008 and received his CERTIFIED FINANCIAL PLANNER[™] (CFP[®]) certification in 2014. Mr. Dodge was born in 1986.

Qualification as a CFP[®] professional requires:

- A bachelor’s degree or its equivalent from an accredited college or university;
- Completion of financial planning education requirements set by the CFP[®] Board;
- Successful completion of the CFP[®] certification exam;
- Three years of qualifying full-time work experience;
- Successful completion of the Candidate Fitness Standards, which describe conduct that will or may bar an individual from being certified; and
- Continuing education.

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Item 3 – Disciplinary Information

There are no legal or disciplinary events relating to Mr. Dodge that would be material to a client’s evaluation of Mr. Dodge.

Item 4 – Other Business Activities

Mr. Dodge is not involved in any other investment-related business or occupation or any other business or occupation for compensation.

Item 5 – Additional Compensation

Mr. Dodge does not receive any economic benefits from any non-client for providing advisory services, such as sales awards or bonuses for client referrals.

Item 6 – Supervision

Mr. Dodge is a shareholder of Broadview and, although he does not have a direct supervisor, his advisory activities are generally monitored by Broadview’s Chief Compliance Officer and peer reviewed by Broadview’s senior financial planners. Inquiries regarding Mr. Dodge’s advisory activities can be directed to Angela L. Pingel, Chief Compliance Officer of Broadview, at 414.390.1496.

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AMANDA H. TAYLOR

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This brochure supplement provides information about Amanda H. Taylor that supplements Broadview's brochure. You should have received a copy of that brochure. Please contact Angela L. Pingel, Broadview's Chief Compliance Officer, at 414.390.1496 or angie@broadviewfinancial.com if you did not receive Broadview's brochure or if you have any questions about the contents of this supplement.

Additional information about Ms. Taylor is available on the SEC's website at www.adviserinfo.sec.gov.

Item 2 – Educational Background and Business Experience

Amanda H. Taylor, CFP[®], began her career at Broadview Financial Management, LLC (“Broadview”) in May 2016. Currently and for at least the preceding five years, she has held the role of Financial Planner. Ms. Taylor received a B.A. degree from the University of Wisconsin- Milwaukee in 2001 and her CERTIFIED FINANCIAL PLANNER[™] (CFP[®]) certification in 2016. Ms. Taylor was born in 1978.

Qualification as a CFP[®] professional requires:

- A bachelor’s degree or its equivalent from an accredited college or university;
- Completion of financial planning education requirements set by the CFP[®] Board;
- Successful completion of the CFP[®] certification exam;
- Three years of qualifying full-time work experience;
- Successful completion of the Candidate Fitness Standards, which describe conduct that will or may bar an individual from being certified; and
- Continuing education.

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Item 3 – Disciplinary Information

There are no legal or disciplinary events relating to Ms. Taylor that would be material to a client’s evaluation of Ms. Taylor.

Item 4 – Other Business Activities

Ms. Taylor is not involved in any other investment-related business or occupation or any other business or occupation for compensation.

Item 5 – Additional Compensation

Ms. Taylor does not receive any economic benefits from any non-client for providing advisory services, such as sales awards or bonuses for client referrals.

Item 6 – Supervision

Ms. Taylor is a shareholder of Broadview and, although she does not have a direct supervisor, her advisory activities are generally monitored by Broadview’s Chief Compliance Officer and peer reviewed by Broadview’s senior financial planners. Inquiries regarding Ms. Taylor’s advisory activities can be directed to Angela L. Pingel, Chief Compliance Officer of Broadview, at 414.390.1496.

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ANDREW J. ISH

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This brochure supplement provides information about Andrew J. Ish that supplements Broadview's brochure. You should have received a copy of that brochure. Please contact Angela L. Pingel, Broadview's Chief Compliance Officer, at 414.390.1496 or angie@broadviewfinancial.com if you did not receive Broadview's brochure or if you have any questions about the contents of this supplement.

Additional information about Mr. Ish is available on the SEC's website at www.adviserinfo.sec.gov.

Item 2 – Educational Background and Business Experience

Andrew J. Ish, CFA[®], CPA, CFP[®], began his career at Broadview Financial Management, LLC (“Broadview”) in August 2021. Since then, he has held the role of Financial Planner. Prior to joining Broadview, Mr. Ish was an Associate at Brownson, Rehmus, and Foxworth from 2019 to 2021; a Senior Investment Associate at Wetherby Asset Management from 2017 to 2018; and a Wealth Advisory Analyst at Bordeaux Wealth Advisors (fka Brownson, Rehmus, and Foxworth) from 2015 to 2017. Mr. Ish received a B.B.A. degree in 2007 and an MPA in 2008 from the University of Wisconsin-Whitewater. Mr. Ish is a Certified Public Accountant (CPA) since 2011. He received his Chartered Financial Analyst[®] (CFA[®]) designation in 2014 and is in good standing with the granting authority, the CFA Institute. In addition, Mr. Ish received his CERTIFIED FINANCIAL PLANNER[™] (CFP[®]) certification in 2016. Mr. Ish was born in 1984.

Qualification for a CPA license in Wisconsin requires:

- A bachelor’s degree or higher degree and at least 150 hours of qualifying education;
- Successful completion of the Uniform CPA Examination; and
- Continuing education.

Qualification for a CFA[®] charter requires:

- Passing the CFA[®] Program exams for Levels I, II, and III;
- Agreeing to follow the CFA Institute Code of Ethics and Standards of Professional Conduct;
- Four or more years of qualified work experience in investment decision making; and
- Becoming a regular member of the CFA Institute.

Qualification as a CFP[®] professional requires:

- A bachelor’s degree or its equivalent from an accredited college or university;
- Completion of financial planning education requirements set by the CFP[®] Board;
- Successful completion of the CFP[®] certification exam;
- Three years of qualifying full-time work experience;
- Successful completion of the Candidate Fitness Standards, which describe conduct that will or may bar an individual from being certified; and
- Continuing education.

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Item 3 – Disciplinary Information

There are no legal or disciplinary events relating to Mr. Ish that would be material to a client's evaluation of Mr. Ish.

Item 4 – Other Business Activities

Mr. Ish is not involved in any other investment-related business or occupation or any other business or occupation for compensation.

Item 5 – Additional Compensation

Mr. Ish does not receive any economic benefits from any non-client for providing advisory services, such as sales awards or bonuses for client referrals.

Item 6 – Supervision

Mr. Ish reports to Matthew Goihl, a shareholder and the Chief Executive Officer of Broadview. Mr. Goihl can be reached at 414.390.1491. In addition, inquiries regarding Mr. Ish's advisory activities can be directed to Angela L. Pingel, Chief Compliance Officer of Broadview, at 414.390.1496.

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LISA E. DREGNE

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This brochure supplement provides information about Lisa E. Dregne that supplements Broadview's brochure. You should have received a copy of that brochure. Please contact Angela L. Pingel, Broadview's Chief Compliance Officer, at 414.390.1496 or angie@broadviewfinancial.com if you did not receive Broadview's brochure or if you have any questions about the contents of this supplement.

Additional information about Ms. Dregne is available on the SEC's website at www.adviserinfo.sec.gov.

Item 2 – Educational Background and Business Experience

Lisa E. Dregne, CFP[®], began her career at Broadview Financial Management, LLC (“Broadview”) in October 2022. Since then, she has held the role of Financial Planner. Prior to joining Broadview, Ms. Dregne was a Financial Planner and Insurance Specialist at Global View Capital Advisors from 2020 to 2022; an Insurance Advisor and Owner at Yorkshire Insurance Advisors from 2019 to 2022; and a Risk Specialist at Wealth Partners Financial Services from 2015 to 2019. Ms. Dregne received a B.A. degree from the University of Wisconsin-Madison in 1996 and her CERTIFIED FINANCIAL PLANNER[™] (CFP[®]) certification in 2017. Ms. Dregne was born in 1973.

Qualification as a CFP[®] professional requires:

- A bachelor’s degree or its equivalent from an accredited college or university;
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Item 3 – Disciplinary Information

There are no legal or disciplinary events relating to Ms. Dregne that would be material to a client’s evaluation of Ms. Dregne.

Item 4 – Other Business Activities

Ms. Dregne is not involved in any other investment-related business or occupation or any other business or occupation for compensation.

Item 5 – Additional Compensation

Ms. Dregne does not receive any economic benefits from any non-client for providing advisory services, such as sales awards or bonuses for client referrals.

Item 6 – Supervision

Ms. Dregne reports to Matthew Goihl, a shareholder and the Chief Executive Officer of Broadview. Mr. Goihl can be reached at 414.390.1491. In addition, inquiries regarding Ms. Dregne’s advisory activities can be directed to Angela L. Pingel, Chief Compliance Officer of Broadview, at 414.390.1496.