Firm Overview

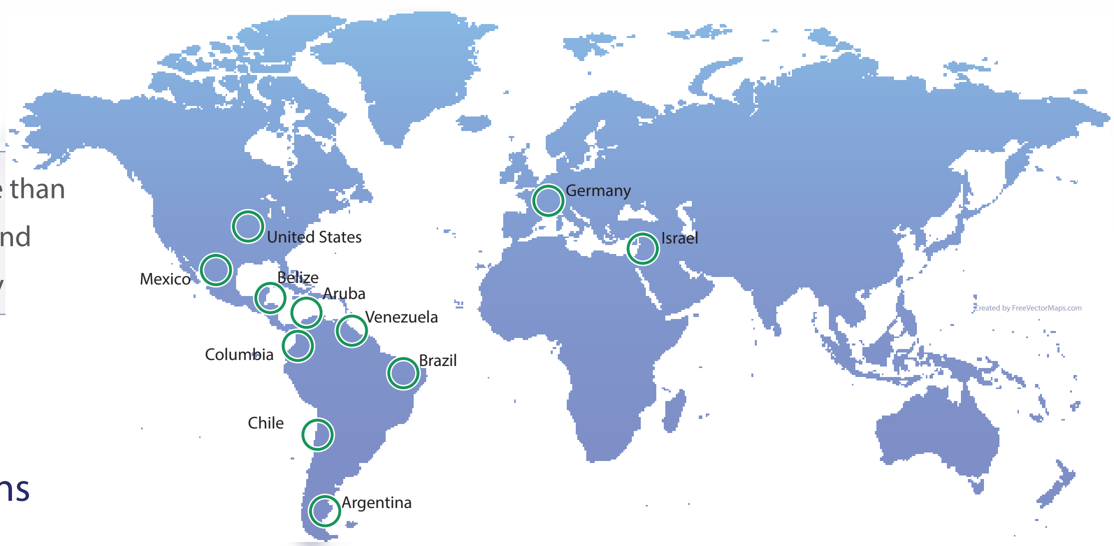
Legacy Wealth Management provides personalized financial planning and wealth management services that seek to help clients protect and grow their wealth. We offer comprehensive solutions tailored to each client in pursuit of our mission: to create a positive impact on the lives of our clients and their families through intelligent and transparent financial advice.

Legacy Wealth Management At-A-Glance

- **8** Financial Advisors
- **12** combined professional certifications held by Financial Advisors
- **175** years of combined Financial Advisor experience in the industry
- **5** operations associates
- **3** custodians used to hold client funds
- **2** South Florida offices

Founded in 1997

Serving clients in more than
30 states in the U.S. and
11 countries globally



Strength of Custodians We Recommend

BNY MELLON | PERSHING

80 years
of experience in clearing and custody

1.8 trillion[1]
in global client assets

Approximately
2,700
employees, worldwide

[1] Pershing LLC and its global affiliates as of March 31, 2020

LPL Financial

$670 billion[2]
client assets serviced and custodied

4,358
employees

Founded in
1989

[2] As of March 31, 2020

Interactive Brokers

40 years
in business as a securities firm

Conducts its broker/dealer business in
120+
market destinations worldwide

1250 S Pine Island Rd, #350 • Plantation, FL 33324 • Phone: 954 474 7100 • Fax: 954 474 7399 • Toll-Free: 800 519 4015 • lwmfl.com

Firm Overview

LegacyWealth Management
Managing Generations of Wealth℠

Delivering the fiduciary standard: to serve clients' best interests[3]

Leveraging innovative technology to communicate and collaborate with clients

Strengthened by a diverse and experienced team, fluent in multiple languages

Offering forward-thinking, highly-tailored investment strategies

Our Services

- Investment management[3]
- High-net-worth consulting
- Financial planning[3]
- Risk management
- Trust services[4]
- Personal and secure financial website

Financial Advisors' Licenses and Certifications[5]

AIF®	Accredited Investment Fiduciary®
CFP®	Certified Financial Planner®
MBA	Master of Business Administration
Series 6	Investment Company and Variable Contract
Series 25	NYSE Trading Assistant
Series 24	General Securities Principal*
Series 7	General Securities Representative*
Series 66	Uniform Combined State Law**
Series 51	Municipal Fund Securities Limited Principal
Series 63	Uniform Securities Agent
Series 65	Uniform Registered Investment Advisor***
Lic. 2-15	Florida Health & Life (Including Annuities & Variable Contracts)

* Registration held through LPL Financial
** Registration held through LPL Financial and LWM Advisory Services
*** Registration held through LWM Advisory Services

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[3] Applicable to LWM Advisory Services, LLC advised accounts.
[4] Trust services are offered through The Private Trust Company N.A., an affiliate of LPL Financial.
[5] Not all Financial Advisors hold each of these licenses and/or certification.