

Florian Financial Group LLC

Notice of Privacy Policy

1. What is the purpose of this Notice of Privacy Policy?

Florian Financial Group LLC is an investment advisory company registered with the United States Securities and Exchange Commission. Florian Financial Group LLC is providing this Notice of Privacy Policy so that you will understand what type of information we collect about you; how we use that information and the measures we take to protect your privacy.

2. What information do we collect about you?

We collect the following types of nonpublic personal information about you:

- Information we receive from you on your application for advisory services and related forms.
- Information about your advisory services and brokerage transactions with us and third parties not affiliated with us that are used by us to provide our advisory services to you.

3. To whom do we disclose your nonpublic personal information?

We disclose your nonpublic personal information to only the following persons:

- The companies you authorize us to use to provide advisory services to you, for example, the custodian for your account.
- The companies we use to carry out the services you authorize us to provide, for example, brokers, our accountants, lawyers and the like.

4. What information do we disclose about you to these parties?

We may disclose the following kinds of nonpublic personal information about you:

- Information we receive on your application for services or client questionnaire, such as, your name, social security number, assets, and income.
- Information about the transactions in your account.

5. How do we safeguard your nonpublic personal information?

We restrict access to nonpublic personal information about you to those employees and service providers (like brokers and custodians) who need to know that information in order for us to carry out the advisory services that you have asked us to provide you. We maintain physical, electronic, and procedural safeguards to guard your nonpublic personal information. After your service with Florian Financial Group LLC has terminated, we may share information as permitted or required by law.

6. If I have questions about this Notice of Privacy Policy, to whom to I direct my questions?

Questions about our Notice of Privacy Policy should be directed to Robert M. Florian at 1825 Maple Road, Suite 240, Williamsville, NY 14221 or at 716-810-9590.