



Privacy Policy

TCFG Wealth Management, LLC and its affiliates (“TCFG”) respects your right to privacy and are committed to safeguarding your confidential information. We have always been committed to secure the confidentiality and integrity of your personal information. We are proud of our privacy practices and want our current and prospective customers to understand what information we collect and how we use it.

Customer Information we collect

TCFG collects and develops personal information about you. The term “Customer Information” means any type of personally identifiable information provided by you or gathered about you that is not generally publicly known. The purpose for collecting Customer Information is to provide and support the financial products and services you obtain from TCFG so that we can (i) know who you are and thereby prevent unauthorized access to your information, (ii) design and improve the products and services we offer and (iii) comply with the laws and regulations that govern us.

What Information We Collect

We may collect the following types of "nonpublic personal information" about you:

- Information from your application or other forms about your identity, such as your name, address, social security number, income, income tax rate, net worth, financial objectives, risk tolerance and the names of beneficiaries.
- Information about your financial products and services transactions with TCFG
- Information we receive or obtain from other sources, including:
 - Information collected and developed by issuers of securities, insurance products or services you own, to issue, administer and process transactions relating to these products or services.
 - For products involving the extension of credit, information about your creditworthiness and credit history that we may obtain from unaffiliated consumer reporting agencies.

Disclosure of Your customer Information

TCFG recognizes the right of each customer to select a broker-dealer representative to provide brokerage and insurance products and services. Your Customer Information may be shared with your Representative and his/her new firm during the transfer of your Representative's securities registration to another firm. The information to be shared may include Confidential Information in the custody of your Representative as well as other information in our possession or in the possession of certain non-affiliated third parties who perform services for your account that we believe are necessary to transfer your account, including your address, telephone number, email address, account number and type, transaction history, tax reporting information and other information relating to your account.

Your Customer Information may also be shared with certain entities that are owned, controlled by or affiliated with your Representative. You may prevent disclosure of your Customer Information by instructing TCFG not to provide your Customer Information in these instances by calling TCFG at 949.365.5830 by email at info@tcfgwealth.com, or by writing us at 28202 Cabot Road, Laguna Niguel CA 92766. You may prevent this disclosure now or at any later time



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