

# **NOTICE OF PRIVACY POLICY**

Founders Financial Securities, LLC

At Founders Financial Securities LLC, protecting your privacy is very important to us. We want you to understand what information we collect and how we use it. We collect and use “nonpublic personal information” in order to provide our customers with a broad range of financial products and services as effectively and conveniently as possible. We treat nonpublic personal information with our utmost attention, care and in accordance with our Privacy Policy.

“Nonpublic personal information” is nonpublic information about you that we obtain in connection with providing a financial product or service to you. This information may be collected in person, by mail, fax, or by other electronic means as permitted by law or in accordance with express authorization from you.

## **What Information We Collect and From Whom We Collect It**

We may collect nonpublic personal information about you from the following sources:

- Information we receive from you on applications or other forms such as your name, address, medical information and social security number;
- Information about your transactions with us, our affiliates, or others such as your account balance, payment history and parties to transactions; and
- Information we receive from non-affiliated third parties, including consumer reporting agencies.

## **What Information We Disclose and To Whom We Disclose It**

We do not disclose any nonpublic personal information about our customers or former customers to our affiliates or non-affiliates without your express consent, except as permitted by law. We may disclose all of the information we collect, as described above, to persons or companies that perform services on our behalf and to other financial institutions with which we have joint marketing agreements.

“Our affiliates” are companies with which we share common ownership and which offer life and health insurance and pension and savings products.

## **Your Right to Verify Accuracy of Information We Collect**

Keeping your information accurate and up to date is very important to us. You may access and correct nonpublic personal information about you that we collect except for information relating to a claim or a criminal or civil proceeding.

## **Our Security Procedures**

We restrict access to your nonpublic personal information and only allow disclosures to persons and companies as permitted by law to assist in providing products or services to you. We maintain physical, electronic and procedural safeguards to protect your nonpublic personal information. Should your relationship with us end, we will maintain and only disclose nonpublic personal information that we have about you in accordance with this Privacy Policy.

## **“Opting-out” of Third-Party Disclosures**

If your representative leaves our firm, or if you decide to close your account and transfer to another firm with your representative, and you do not want us to share your non public personal information (other than as permitted by law) with the representative or the new firm, please send your request in writing to us at Founders Financial Securities LLC, Attention: Compliance Department, 1020 Cromwell Bridge Road, Towson, MD 21286.