

**ROBERT O. NELSON, JR.**

Nelson Securities, Inc. 9718 N. Morton Ct. Spokane, WA 99218, (800) 345-7593

This brochure supplement provides information about Robert O. Nelson, Jr. ("Rob") that supplements the Nelson Securities, Inc. ("NSI") brochure. If you did not receive the NSI brochure or if you have any questions about the contents of this supplement or the NSI brochure, please contact Shyla Gibson, Chief Compliance Officer.

**Phone Number:** (800) 345-7593 **Email:** sgibson@nelsonsecurities.com

**EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE** - Rob was born in 1969; he is President and owner of Nelson Securities, Inc., General Principal and has been with the firm since 1992. He has a Bachelor's Degree in Political Science from Loyola Marymount University. FINRA Series Exams: 7, 63, 65, 24; Insurance Life and Disability. Member: Investment Policy Committee & Compliance Committee

**DISCIPLINARY INFORMATION** - None

**OTHER BUSINESS ACTIVITIES**

- A. **INVESTMENT-RELATED ACTIVITIES** - Rob is a registered representative of NSI, a registered broker-dealer, and is also licensed for insurance product sales. In their separate capacity as registered representatives, advisory persons will typically receive commissions for the implementation of recommendations for commissionable transactions. Clients are not obligated to implement any recommendation provided by advisory persons. Neither the Advisor nor its advisory persons will earn investment advisory fees in connection with any services implemented in their separate capacity as a registered representative where commissions are also earned.
- B. **NON INVESTMENT-RELATED ACTIVITIES** - None

**ADDITIONAL COMPENSATION** - None

**SUPERVISION** - In the supervision of our advisors, advice provided is limited based on the restrictions set by NSI and by internal decisions as to the types of investments that may be included in client portfolios. We conduct periodic reviews of client holdings and documented suitability information to provide reasonable assurance that the advice provided remains aligned with each client's stated investment objectives and with internal guidelines. Investment decisions for the NSI portfolios are decided upon by the Investment Policy Committee (ADV2B). The committee meets regularly to discuss NSI's investments.

**Supervisor:** Patrick K. Donahue, CFO; Shyla Gibson, CCO. Should you have any questions regarding the Advisor's supervision or compliance practices, please contact us.

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**JEFFERY S. MILLER**

Nelson Securities, Inc. 9718 N. Morton Ct. Spokane, WA 99218, (800) 345-7593

This brochure supplement provides information about Jeffery S. Miller ("Jeff") that supplements the Nelson Securities, Inc. ("NSI") brochure. If you did not receive the NSI brochure or if you have any questions about the contents of this supplement or the NSI brochure, please contact Shyla Gibson, Chief Compliance Officer.

**Phone Number:** (800) 345-7593 **Email:** sgibson@nelsonsecurities.com

**EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE** - Jeff was born in 1966; he has been with the firm since 1992. He is a General Principal and Director of Investment Management. He has a Bachelor's Degree in Finance and a Minor in Economics from Washington State University. FINRA Series Exams: 7, 6, 63, 65, 24; Insurance Life and Disability. Member: Investment Policy Committee & Compliance Committee

**DISCIPLINARY INFORMATION** - None

**OTHER BUSINESS ACTIVITIES**

- A. **INVESTMENT-RELATED ACTIVITIES** - Mr. Miller is a registered representative of NSI, a registered broker-dealer, and is also licensed for insurance product sales. In their separate capacity as registered representatives, the advisory persons will typically receive commissions for the implementation of recommendations for commissionable transactions. Clients are not obligated to implement any recommendation provided by the advisory persons. Neither the Advisor nor its advisory persons will earn investment advisory fees in connection with any services implemented in their separate capacity as a registered representative where commissions are also earned.
- B. **NON INVESTMENT-RELATED ACTIVITIES** - Condominium Association President

**ADDITIONAL COMPENSATION** - None

**SUPERVISION** - In the supervision of our advisors, advice provided is limited based on the restrictions set by NSI and by internal decisions as to the types of investments that may be included in client portfolios. We conduct periodic reviews of client holdings and documented suitability information to provide reasonable assurance that the advice provided remains aligned with each client's stated investment objectives and with internal guidelines. Investment decisions for the NSI portfolios are decided upon by the Investment Policy Committee (ADV2B). The committee meets regularly to discuss NSI's investments.

**Supervisors:** Rob Nelson, President; Shyla Gibson, CCO. Should you have any questions regarding the Advisor's supervision or compliance practices, please contact us.

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**RUSSELL R. PRICE**

Nelson Securities, Inc. 9718 N. Morton Ct. Spokane, WA 99218, (800) 345-7593

This brochure supplement provides information about Russell R. Price ("Rusty") that supplements the Nelson Securities, Inc. ("NSI") brochure. If you did not receive the NSI brochure or if you have any questions about the contents of this supplement or the NSI brochure, please contact Shyla Gibson, Chief Compliance Officer.

**Phone Number:** (800) 345-7593 **Email:** sgibson@nelsonsecurities.com

**EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE** - Rusty was born in 1955; he has been a Senior Account Executive with the firm since 1988, specializing in equity markets. He has a Bachelor's Degree in Geology from the University of Idaho. He is also a licensed Professional Geologist. FINRA Series Exams: 7, 63, 65. Member: Investment Policy Committee

**DISCIPLINARY INFORMATION** - None

**OTHER BUSINESS ACTIVITIES**

- A. **INVESTMENT-RELATED ACTIVITIES** - Rusty is a registered representative of NSI, a registered broker-dealer. In their separate capacity as registered representatives, the advisory persons will typically receive commissions for the implementation of recommendations for commissionable transactions. Clients are not obligated to

implement any recommendation provided by the advisory persons. Neither the Advisor nor its advisory persons will earn investment advisory fees in connection with any services implemented in their separate capacity as a registered representative where commissions are also earned.

- B. **NON INVESTMENT-RELATED ACTIVITIES** - As a licensed geologist, Rusty serves as a director for Coral Gold Resources and Snowshoe Mining. He acts as a geological consultant and not in a financial advisory capacity.

**ADDITIONAL COMPENSATION** - None

**SUPERVISION** - In the supervision of our advisors, advice provided is limited based on the restrictions set by NSI and by internal decisions as to the types of investments that may be included in client portfolios. We conduct periodic reviews of client holdings and documented suitability information to provide reasonable assurance that the advice provided remains aligned with each client's stated investment objectives and with internal guidelines. Investment decisions for the NSI portfolios are decided upon by the Investment Policy Committee (ADV2B). The committee meets regularly to discuss NSI's investments.

**Supervisors:** Rob Nelson, President; Shyla Gibson, CCO. Should you have any questions regarding the Advisor's supervision or compliance practices, please contact us.

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**DAVID A. VOSE**

Nelson Securities, Inc. 9718 N. Morton Ct. Spokane, WA 99218, (800) 345-7593

This brochure supplement provides information about David A. Vose ("Dave") that supplements the Nelson Securities, Inc. ("NSI") brochure. If you did not receive the NSI brochure or if you have any questions about the contents of this supplement or the NSI brochure, please contact Shyla Gibson, Chief Compliance Officer.

**Phone Number:** (800) 345-7593 **Email:** sgibson@nelsonsecurities.com

**EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE** - Dave was born in 1969; he has been with the firm since 1992 and is Vice-President, General Principal and Limited Municipal Securities Principal. He has a Bachelor's Degree in Business/Finance from Loyola Marymount University. FINRA Series Exams: 7, 63, 65, 51, 24; Insurance Life and Disability. Member: Investment Policy Committee & Compliance Committee

**DISCIPLINARY INFORMATION** - None

**OTHER BUSINESS ACTIVITIES**

- A. **INVESTMENT-RELATED ACTIVITIES** - Dave is a registered representative of NSI, a registered broker-dealer, and is also licensed for insurance product sales. In their separate capacity as registered representatives, the advisory persons will typically receive commissions for the implementation of recommendations for commissionable transactions. Clients are not obligated to implement any recommendation provided by the advisory persons. Neither the Advisor nor its advisory persons will earn investment advisory fees in connection with any services implemented in their separate capacity as a registered representative where commissions are also earned.
- B. **NON INVESTMENT-RELATED ACTIVITIES** - None

**ADDITIONAL COMPENSATION** - None

**SUPERVISION** - In the supervision of our advisors, advice provided is limited based on the restrictions set by NSI and by internal decisions as to the types of investments that may be included in client portfolios. We conduct periodic reviews of client holdings and documented suitability information to provide reasonable assurance that the advice provided remains aligned with each client's stated investment objectives and with internal guidelines. Investment decisions for the NSI portfolios are decided upon by the Investment Policy Committee (ADV2B). The committee meets regularly to discuss NSI's investments.

**Supervisors:** Rob Nelson, President; Shyla Gibson, CCO. Should you have any questions regarding the Advisor's supervision or compliance practices, please contact us.

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**AREALOUS C. EARTHMAN**

Nelson Securities, Inc. 9718 N. Morton Ct. Spokane, WA 99218, (800) 345-7593

This brochure supplement provides information about Arealous C. Earthman ("Ace") that supplements the Nelson Securities, Inc. ("NSI") brochure. If you did not receive the NSI brochure or if you have any questions about the contents of this supplement or the NSI brochure, please contact Shyla Gibson, Chief Compliance Officer.

**Phone Number:** (800) 345-7593 **Email:** sgibson@nelsonsecurities.com

**EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE** - Ace was born in 1955; he has been with the firm since 1992 and is a Senior Account Executive. He has a Bachelor's Degree in Business Administration from the University of Redlands. FINRA Series Exams: 7, 63, 65; Insurance Life and Disability.

**DISCIPLINARY INFORMATION** - None

**OTHER BUSINESS ACTIVITIES**

- A. **INVESTMENT-RELATED ACTIVITIES** - Ace is a registered representative of NSI, a registered broker-dealer, and is also licensed for insurance product sales. In their separate capacity as registered representatives, the advisory persons will typically receive commissions for the implementation of recommendations for commissionable transactions. Clients are not obligated to implement any recommendation provided by the advisory persons. Neither the Advisor nor its advisory persons will earn investment advisory fees in connection with any services implemented in their separate capacity as a registered representative where commissions are also earned.
- B. **NON INVESTMENT-RELATED ACTIVITIES** - None

**ADDITIONAL COMPENSATION** - None

**SUPERVISION** - In the supervision of our advisors, advice provided is limited based on the restrictions set by NSI and by internal decisions as to the types of investments that may be included in client portfolios. We conduct periodic reviews of client holdings and documented suitability information to provide reasonable assurance that the advice provided remains aligned with each client's stated investment objectives and with internal guidelines. Investment decisions for the NSI portfolios are decided upon by the Investment Policy Committee (ADV2B). The committee meets regularly to discuss NSI's investments.

**Supervisors:** Rob Nelson, President; Shyla Gibson, CCO. Should you have any questions regarding the Advisor's supervision or compliance practices, please contact us.

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**STEVEN T. KELLER**

Nelson Securities, Inc. 4703 NW 53rd Ave, Suite B-4, Gainesville, FL 32653, (800) 934-2909

This brochure supplement provides information about Steven T. Keller ("Steve") that supplements the Nelson Securities, Inc. ("NSI") brochure. If you did not receive the NSI brochure or if you have any questions about the contents of this supplement or the NSI brochure, please contact Shyla Gibson, Chief Compliance Officer.

**Phone Number:** (800) 345-7593 **Email:** sgibson@nelsonsecurities.com

**EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE** - Steve was born in 1948; he has been with the firm since 1993 and is a Senior Account Executive. He has a Bachelor's Degree in Marketing from St. Joseph's University. FINRA Series Exams: 6, 7, 63, 65; Insurance Life and Disability.

**DISCIPLINARY INFORMATION** - None

**OTHER BUSINESS ACTIVITIES**

- A. **INVESTMENT-RELATED ACTIVITIES** – Steve is a registered representative of NSI, a registered broker-dealer, and is also licensed for insurance product sales. In their separate capacity as registered representatives, the advisory persons will typically receive commissions for the implementation of recommendations for commissionable transactions. Clients are not obligated to implement any recommendation provided by the advisory persons. Neither the Advisor nor its advisory persons will earn investment advisory fees in connection with any services implemented in their separate capacity as a registered representative where commissions are also earned.
- B. **NON INVESTMENT-RELATED ACTIVITIES** - None

**ADDITIONAL COMPENSATION** - None

**SUPERVISION** - In the supervision of our advisors, advice provided is limited based on the restrictions set by NSI and by internal decisions as to the types of investments that may be included in client portfolios. We conduct periodic reviews of client holdings and documented suitability information to provide reasonable assurance that the advice provided remains aligned with each client's stated investment objectives and with internal guidelines. Investment decisions for the NSI portfolios are decided upon by the Investment Policy Committee (ADV2B). The committee meets regularly to discuss NSI's investments.

**Supervisors:** Rob Nelson, President; Shyla Gibson, CCO. Should you have any questions regarding the Advisor's supervision or compliance practices, please contact us.

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**ENRIQUE M. GARCIA**

Nelson Securities, Inc. 12250 El Camino Real, Suite 165, San Diego, CA 92130, (888) 536-3346

This brochure supplement provides information about Enrique M. Garcia ("Rick"). that supplements the Nelson Securities, Inc. ("NSI") brochure. If you did not receive the NSI brochure or if you have any questions about the contents of this supplement or the NSI brochure, please contact Shyla Gibson, Chief Compliance Officer.

**Phone Number:** (800) 345-7593 **Email:** sgibson@nelsonsecurities.com

**EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE** - Rick was born in 1976; he has been with the firm since 1997 and is a Senior Account Executive. FINRA Series Exams: 6, 63, 65; Insurance Life and Disability.

**DISCIPLINARY INFORMATION** - None

**OTHER BUSINESS ACTIVITIES**

- A. **INVESTMENT-RELATED ACTIVITIES** - Rick is a registered representative of NSI, a registered broker-dealer, and is also licensed for insurance product sales. In their separate capacity as registered representatives, the advisory persons will typically receive commissions for the implementation of recommendations for commissionable transactions. Clients are not obligated to implement any recommendation provided by the advisory persons. Neither the Advisor nor its advisory persons will earn investment advisory fees in connection with any services implemented in their separate capacity as a registered representative where commissions are also earned.
- B. **NON INVESTMENT-RELATED ACTIVITIES** - None

**ADDITIONAL COMPENSATION** - None

**SUPERVISION** - In the supervision of our advisors, advice provided is limited based on the restrictions set by NSI and by internal decisions as to the types of investments that may be included in client portfolios. We conduct periodic reviews of client holdings and documented suitability information to provide reasonable assurance that the advice provided remains aligned with each client's stated investment objectives and with internal guidelines. Investment decisions for the NSI portfolios are decided upon by the Investment Policy Committee (ADV2B). The committee meets regularly to discuss NSI's investments.

**Supervisors:** Rob Nelson, President; Shyla Gibson, CCO. Should you have any questions regarding the Advisor's supervision or compliance practices, please contact us.

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**SCOTT P. NEWMAN**

Nelson Securities, Inc. 12250 El Camino Real, Suite 165, San Diego, CA 92130, (888) 536-3346

This brochure supplement provides information about Scott P. Newman that supplements the Nelson Securities, Inc. ("NSI") brochure. If you did not receive the NSI brochure or if you have any questions about the contents of this supplement or the NSI brochure, please contact Shyla Gibson, Chief Compliance Officer.

**Phone Number:** (800) 345-7593 **Email:** sgibson@nelsonsecurities.com

**EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE** - Scott was born in 1966; he has been with the firm since 2002 and is a Senior Account Executive. He has a Bachelor's Degree in Economics from San Diego State University. FINRA Series Exams: 7, 31, 63, 65; Insurance Life and Disability.

**DISCIPLINARY INFORMATION** - None

**OTHER BUSINESS ACTIVITIES**

- A. **INVESTMENT-RELATED ACTIVITIES** - Scott is a registered representative of NSI, a registered broker-dealer, and is also licensed for insurance product sales. In their separate capacity as registered representatives, the advisory persons will typically receive commissions for the implementation of recommendations for commissionable transactions. Clients are not obligated to implement any recommendation provided by the advisory persons. Neither the Advisor nor its advisory persons will earn investment advisory fees in connection with any services implemented in their separate capacity as a registered representative where commissions are also earned.
- B. **NON INVESTMENT-RELATED ACTIVITIES** - None

**ADDITIONAL COMPENSATION** - None

**SUPERVISION** - In the supervision of our advisors, advice provided is limited based on the restrictions set by NSI and by internal decisions as to the types of investments that may be included in client portfolios. We conduct periodic reviews of client holdings and documented suitability information to provide reasonable assurance that the advice provided remains aligned with each client's stated investment objectives and with internal guidelines. Investment decisions for the NSI portfolios are decided upon by the Investment Policy Committee (ADV2B). The committee meets regularly to discuss NSI's investments.

**Supervisors:** Rob Nelson, President; Shyla Gibson, CCO. Should you have any questions regarding the Advisor's supervision or compliance practices, please contact us.