

INTENTIONAL INVESTING: OUR ESG STRATEGY

ABOUT OUR FIRM

Neumann Capital Management, LLC (“NCM”) is a fee-only independent wealth management firm that was established in 1990. We use a **collaborative** approach which means you’ll have our **team** of wealth management experts dedicated to your financial success.

As an SEC registered Investment Advisor, NCM is held to a **Fiduciary** Standard of putting your interests first at all times. Furthermore, our team members who are **CFP® professionals** and/or **CFA® charterholders** are additionally subject to Code of Ethics and Standards of Conduct requirements by those organizations. We are committed to putting your interest first.

We take the time to get to know our clients and what they want to get out of life. Meaningful client relationships are important to us, and we strive to provide our clients with **lasting peace of mind**.



FOR MORE INFORMATION OR TO TALK TO US ABOUT OUR ESG STRATEGY

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AT NEUMANN CAPITAL MANAGEMENT, WE UNDERSTAND THAT CLIENTS INCREASINGLY WANT THEIR INVESTMENT PORTFOLIOS TO REFLECT THEIR PERSONAL VALUES AND PRIORITIES – WHILE EARNING A COMPETITIVE RETURN. THAT’S WHY WE CREATED OUR **ESG (ENVIRONMENTAL, SOCIAL & GOVERNANCE) STRATEGY**.

This approach combines our investment philosophy with additional analysis that evaluates the behavior of companies across three key factors – Environmental Impact, Social Impact, and Governance.



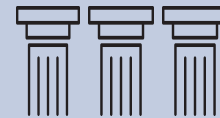
ENVIRONMENTAL

Whether they have a positive or negative impact on the environment



SOCIAL

How well they manage relationships with employees, suppliers, customers, and the communities where they operate



GOVERNANCE

Whether there are any controversies related to leadership, executive pay, and/or shareholder rights

Our ESG strategy can include companies making a positive contribution in the ESG areas as well as exclude companies deemed to conflict with the ESG values.

METHODOLOGY FOR SELECTING ESG FUNDS

For our ESG strategy we research and select mutual funds and exchange-traded funds (ETFs) that have a stated ESG mandate and/or an above-average Morningstar Sustainability Rating™. The Morningstar Sustainability Rating™ independently evaluates how well the companies in a fund’s portfolio are managing the ESG investing factors relevant to their industries.

In general, our ESG Strategy avoids or limits investments with business tied to:

- tobacco
- controversial weapons
- small arms
- alcohol
- adult entertainment
- gambling

In addition, we closely evaluate the involvement of investments in controversies related to business ethics, society & community, and/or environmental operations.