

This brochure supplement provides information about Brian Daniel Parke that supplements the Connecticut Capital Management Group, LLC brochure. You should have received a copy of that brochure. Please contact Brian Daniel Parke if you did not receive Connecticut Capital Management Group, LLC's brochure or if you have any questions about the contents of this supplement.

Additional information about Brian Daniel Parke is also available on the SEC's website at www.adviserinfo.sec.gov.

Connecticut Capital Management Group, LLC

Form ADV Part 2B – Individual Disclosure Brochure

for

Brian Daniel Parke

Personal CRD Number: 5355150

Investment Adviser Representative

Connecticut Capital Management Group, LLC

2 Schooner Ln Suite 1-12

Milford, CT 06460

(203) 877-1520

bparke@connecticutcapital.com

UPDATED: 10/25/2022

Item 2: Educational Background and Business Experience

Name: Brian Daniel Parke

Born: 1986

Educational Background and Professional Designations:

Education:

Bachelors of Science Economics, Quinnipiac University – 2008

Designations:

CFP® - Certified Financial Planner

The CERTIFIED FINANCIAL PLANNER™, CFP® and federally registered CFP (with flame design) marks (collectively, the “CFP® marks”) are professional certification marks granted in the United States by Certified Financial Planner Board of Standards, Inc. (“CFP Board”).

The CFP® certification is a voluntary certification; no federal or state law or regulation requires financial planners to hold CFP® certification. It is recognized in the United States and a number of other countries for its (1) high standard of professional education; (2) stringent code of conduct and standards of practice; and (3) ethical requirements that govern professional engagements with clients.

To attain the right to use the CFP® marks, an individual must satisfactorily fulfill the following requirements:

- Education – Complete an advanced college-level course of study addressing the financial planning subject areas that CFP Board’s studies have determined as necessary for the competent and professional delivery of financial planning services, and attain a Bachelor’s Degree from a regionally accredited United States college or university (or its equivalent from a foreign university). CFP Board’s financial planning subject areas include insurance planning and risk management, employee benefits planning, investment planning, income tax planning, retirement planning, and estate planning;
- Examination – Pass the comprehensive CFP® Certification Examination. The examination includes case studies and client scenarios designed to test one’s ability to correctly diagnose financial planning issues and apply one’s knowledge of financial planning to real world circumstances;
- Experience – Complete at least three years of full-time financial planning-related experience (or the equivalent, measured as 2,000 hours per year); and
- Ethics – Agree to be bound by CFP Board’s *Standards of Professional Conduct*, a set of documents outlining the ethical and practice standards for CFP® professionals.

Individuals who become certified must complete the following ongoing education and ethics requirements in order to maintain the right to continue to use the CFP® marks:

- i. Continuing Education – Complete 30 hours of continuing education hours every two years, including two hours on the *Code of Ethics* and other parts of the *Standards of Professional Conduct*, to maintain competence and keep up with developments in the financial planning field; and
- ii. Ethics – Renew an agreement to be bound by the *Standards of Professional Conduct*. The Standards prominently require that CFP® professionals provide financial planning services at a fiduciary standard of care. This means CFP® professionals must provide financial planning services in the best interests of their clients.

CFP® professionals who fail to comply with the above standards and requirements may be subject to CFP Board’s enforcement process, which could result in suspension or permanent revocation of their CFP® certification.

Business Background:

10/2019 – Present	Investment Adviser Representative Connecticut Capital Management Group, LLC
01/2015 – Present	Member Connecticut Capital Management Group LLC
08/2008 – 11/2021	Member Connecticut Benefits Group, LLC
08/2011 – 12/2019	Investment Advisor Representative Cambridge Investment Research Advisors
04/2013 – 12/2019	Registered Representative Cambridge Investment Research
10/2008 – 12/2011	Investment Adviser Representative Connecticut Capital Management Group, LLC

Item 3: Disciplinary Information

There are no legal or disciplinary events that are material to a client’s or prospective client’s evaluation of this advisory business.

Item 4: Other Business Activities

Brian Daniel Parke is a licensed insurance agent. This activity creates a conflict of interest since there is an incentive to recommend insurance products based on commissions or other benefits

received from the insurance company, rather than on the client's needs. Additionally, the offer and sale of insurance products by supervised persons of Connecticut Capital Management Group, LLC are not made in their capacity as a fiduciary, and products are limited to only those offered by certain insurance providers. Connecticut Capital Management Group, LLC addresses this conflict of interest by requiring its supervised persons to act in the best interest of the client at all times, including when acting as an insurance agent. Connecticut Capital Management Group, LLC periodically reviews recommendations by its supervised persons to assess whether they are based on an objective evaluation of each client's risk profile and investment objectives rather than on the receipt of any commissions or other benefits. Connecticut Capital Management Group, LLC will disclose in advance how it or its supervised persons are compensated and will disclose conflicts of interest involving any advice or service provided. At no time will there be tying between business practices and/or services (a condition where a client or prospective client would be required to accept one product or service conditioned upon the selection of a second, distinctive tied product or service). No client is ever under any obligation to purchase any insurance product. Insurance products recommended by Connecticut Capital Management Group, LLC's supervised persons may also be available from other providers on more favorable terms, and clients can purchase insurance products recommended through other unaffiliated insurance agencies

Brian Daniel Parke is a Board Member of the Rape Crisis Center of Milford.

Brian Daniel Parke is a Board Member of The Devon Rotary Club of Connecticut.

Item 5: Additional Compensation

Brian Daniel Parke does not receive any economic benefit from any person, company, or organization, other than Connecticut Capital Management Group, LLC in exchange for providing clients advisory services through Connecticut Capital Management Group, LLC.

Item 6: Supervision

As the Chief Compliance Officer of Connecticut Capital Management Group, LLC, Brian Daniel Parke supervises all duties and activities of the firm. Brian Daniel Parke's contact information is on the cover page of this disclosure document. Brian Daniel Parke adheres to applicable regulatory requirements, together with all policies and procedures outlined in the firm's code of ethics and compliance manual.