

Empirical Asset Management Awarded Top Guns Designation by Informa Financial Intelligence

Wellesley, MA—May 20, 2021— Empirical Asset Management has been awarded a PSN Top Guns distinction by Informa Financial Intelligence’s PSN manager database, North America’s longest running database of investment managers.

Empirical Asset Management was honored with a One Star Top Gun rating for its All-Cap Equity strategy.

Portfolio Manager and CEO Mark Fiskio commented, “This is a true testament to our proprietary *Rules Based Investing*[®] methodology and how it can drive returns while controlling risk. We are very pleased with receiving this honor.”

Through a combination of Informa Financial Intelligence’s proprietary performance screens, [*PSN Top Guns](#) (*free registration to view Top Guns) ranks products in six proprietary categories in over 50 universes. This is a well-respected quarterly ranking and is widely used by institutional asset managers and investors. Informa Financial Intelligence is part of Informa plc, a leading provider of critical decision-making solutions and custom services to financial institutions.

Top Gun firms are awarded a rating ranging from one to six stars, with the number of stars representing continued performance over time.

Empirical Asset Management’s All-Cap Equity strategy was named Top Gun One Star rating, meaning our All-Cap Equity had one of the top ten returns for the quarter in their respective universe coming in at number three.

It was a strong quarter for the market as a whole and particularly for small caps. In a stock pickers environment having unique factors for selection can be very rewarding. In Empirical’s case, the three sleeves focused on legal insider buying, upward earnings revisions and value/momentum coupling was very powerful.

“Congratulations to Empirical Asset Management for being recognized as a PSN Top Gun,” said [Ryan Nauman](#), *Market Strategist at Informa Financial Intelligence’s Zephyr*. “This highly esteemed designation allows us to recognize success, excellence and performance of leading investment managers each quarter.”

The complete list of PSN Top Guns and an overview of the methodology can be located on <https://psn.fi.informais.com/>

For more details on the methodology behind the PSN Top Guns Rankings or to purchase PSN Top Guns Reports, contact Margaret Tobiasen at Margaret.tobiasen@informa.com



EMPIRICAL

ASSET MANAGEMENT

About Empirical Asset Management

Based in Newton, MA, a suburb of Boston, Empirical Asset Management, LLC is a boutique investment management firm built around the belief that the best investment strategies are often the ones governed by sets of rules and that focus on risk control. Our proprietary methodology [Rules Based Investing®](#) has guided our investment philosophy since 1994.

EAM's solutions include three individual Equity portfolios focused on ESG, Equity Income, and All-Cap Growth, a Tactical model called Sector Rotation, and five Asset Allocation portfolios with different risk tolerances ranging from Conservative to Aggressive. All nine models have delivered Alpha since inception.

About Informa Financial Intelligence's Zephyr

Financial Intelligence, part of the Informa Intelligence Division of Informa plc, is a leading provider of products and services helping financial institutions around the world cut through the noise and take decisive action. Informa Financial Intelligence's solutions provide unparalleled insight into market opportunity, competitive performance and customer segment behavioral patterns and performance through specialized industry research, intelligence, and insight. IFI's Zephyr portfolio supports asset allocation, investment analysis, portfolio construction, and client communications that combine to help advisors and portfolio managers retain and grow client relationships. For more information about IFI, visit <https://financialintelligence.informa.com>. For more information about Zephyr's PSN Separately Managed Accounts data, visit <https://financialintelligence.informa.com/products-and-services/data-analysis-and-tools/psn-sma>.