



## PRIVACY POLICY

### 1. The Commitment to the Client

AMICUS Wealth Partners is committed to maintaining the trust and confidence of its Clients. It is important that the Client understands how the firm, AMICUS Wealth Partners, protects their privacy when it collects and uses information about them, and the measures taken to safeguard that information. Keeping Client information secure and private is a priority. The following describes the firm's Privacy Policy:

### 2. Types of Non-Public Personal Information the Firm Collects

In the course of providing service to the Client, the firm will collect non-public personal information about them from the following sources: information provided on account applications and other standard forms (for example, name, address, social security number, assets, types and amounts of investments, transactions, and income); information about the Client's AMICUS Wealth Partners transactions, its affiliates or others including those companies that work closely with the firm to provide the Client with diverse financial products and services (for example, their account balance, payment history, parties to transactions and credit card usage); information the firm receives from consumer reporting agencies (for example, credit worthiness and credit history); information obtained when verifying the information the Client provides on applications or other forms (this may be obtained from current or past employers, or from other institutions where they conduct financial transactions).

### 3. How the Firm Protects Non-Public Personal Information

Keeping Client information secure is one of the most important responsibilities of financial institutions. The broker-dealer restricts access to non-public personal information to those employees and agents who need to know that information in order to provide products or services to the Client. The broker-dealer maintains physical, electronic, and procedural safeguards that comply with federal standards to guard all non-public personal information.

### 4. Disclosures to Non-Affiliated Third Parties

The firm does not sell, share or disclose Client non-public personal information to non-affiliated third-party marketing companies. The firm may disclose the information it collects, as described above in the 'What Types of Non-Public Personal Information the Firm Collects' section to companies that perform other services on the firm's behalf, to other financial institutions with whom the firm has licensing agreements, or with unaffiliated entities in connection with a proposed or actual sale, merger, transfer or exchange of all or a portion of our business or any operating units. All of these companies are contractually obligated to keep the information that provided to them confidential and use the information only for the services required and as allowed by applicable law or regulation, and are not permitted to share or use the information for any other purpose. The firm may disclose non-public personal information about the Client under circumstances as permitted or required by law, such as state and federal government regulators, the SEC, FINRA or other regulatory agencies. These disclosures typically include information to process transactions on their behalf, to conduct firm operations, to follow instructions as the client authorizes, or to protect the security of the firm's financial records. If a registered representative leaves AMICUS Wealth Partners to join another financial institution, the representative may be permitted to retain copies of their Client information and share it with the new firm in order to continue to service the accounts. If Clients do not want AMICUS Wealth Partners or their representatives to disclose their personal information to the new firm, they should contact Gail Urban by email at [gail@amicus-partners.com](mailto:gail@amicus-partners.com) or in writing to: Gail Urban, AMICUS Wealth Partners, 923 Westport Place, Suite 230, Manhattan, KS, 66502.

### 5. Disclosures within the Family of Affiliated Companies

In the course of providing services to the Client, the firm is permitted by law to share within its family of affiliated companies' information about transactions or experiences with the Client (such as account balance or payment history).

### 6. Policy Relating to Former Customers

If a Client decides to close their account or become an inactive customer, the firm will adhere to the privacy policies and practices as described in this notice. AMICUS Wealth Partners reserves the right to change this policy at any time and will notify its clients in writing if any changes occur. This privacy policy applies to consumers who are Clients or former customers of AMICUS Wealth Partners in the United States. Questions regarding this policy should be submitted to Gail Urban in writing to: Gail Urban, AMICUS Wealth Partners, 923 Westport Place, Suite 230, Manhattan, KS, 66502.

Securities and advisory services through Independent Financial Group, LLC (IFG), a registered broker dealer and a registered investment adviser. Member FINRA/SIPC. AMICUS Wealth Partners and IFG are unaffiliated entities.