Madison Avenue Securities, LLC®
Member FINRA/SIPC, a Registered Investment Advisor

15050 Avenue of Science, Suite 150
San Diego, CA 92128
www.mas-bd.com
Phone: 888.627.7323
Fax: 858.207.1789

Madison Avenue Securities Sponsored Platforms

► Lockwood Managed Accounts Program, Custodied at Pershing LLC[1]

Description	Performance Summary	Platform Fee	Client Fee	Manager	Type	Minimum
Suite of over 100 3rd party managers.	Each manager on platform tracks performance separately.	Varies by AUM	Can be customized by client and account type. Generally 140-200bps.	100+ managers to select from	Wrap	Varies, by manager. Generally $25k-100k.

► Madison-Sponsored WRAP Program, Custodied at TD Ameritrade Institutional[2]

Description	Performance Summary	Platform Fee	Client Fee	Manager web link	Type	Minimum
MAS sponsored wrap program. Various 3rd party managers will be available through the platform.	Not Available	Varies by AUM	Brookstone: 225bps Good Harbor: 125-225bps Lunt Capital: 75-185bps	Brookstone Capital Management Good Harbor Financial Lunt Capital Management	Wrap	Varies, by manager.

Third Party Sponsored Platforms

► ENVESTNET PLATFORM

Website: www.envestnet.com Phone: (866) 924-8912 Address: 35 E Wacker Drive, 24th Floor, Chicago, IL 60601

Description	Client Fee	Manager	Minimum
Integrated portfolio, practice management and reporting solutions, including proposal tools, and encompassing a broad range of institutional-quality research, investment products and advisory resources.	Can be customized by client and account type. Usually 140 - 200bps.	Hundreds of managers available in various formats, including Separately Managed Account ("SMA"), Unified Managed Account ("UMA"), mutual funds, and fund strategist portfolios.	$25,000 for most mutual fund accounts. $100,000 for most SMAs. Actual minimums may vary from manager to manager.

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► SEI PLATFORM

Website: www.SEIC.com Phone: (888) 734-2679 Address: 1 Freedom Valley Drive, Oaks, PA 19456

Description	Client Fee	Manager	Minimum
Provider of wealth management solutions, including asset management and investment processing. Solution includes proposal tools and investments provided through a series of professionally managed portfolios, including tax managed portfolios.	Varies by strategy selected, but generally 130 - 200bps.	Model portfolios managed by teams of CFAs at SEI and include management by dozens of top asset managers.	No minimum. Quarterly account maintenance fees apply for accounts smaller than $25,000.

Turnkey Asset Management Programs ("TAMPS")

► ITS Asset Management

Website: www.ITSconnect.com Phone: (800) 765-4877 Address: 1720 Washington Road, Washington, PA 15301

Description	Client Fee	Minimum
Manager offers a series of portfolios including dynamic, strategic, and tactical. Manager focuses on capital preservation first and capital growth next.	200bps	$30,000

► Flexible Plan Investments

Website: www.FlexiblePlan.com Phone: (800) 347-3539 Address: 3883 Telegraph Road, Suite 100, Bloomfield Hills, MI 48302

Description	Client Fee	Minimum
Variety of management choices from equity to income, to international and sector funds and socially responsible funds. Manager also has the ability to manage pension assets for some employers and VA sub-accounts.	175-260bps	$25,000

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► Hanlon Investment Management, Inc.

Website: www.HanlonInvest.com Phone: (888) 641-7100 Address: 3393 Bargaintown Road, Egg Harbor Twp., NJ 08234

Description	Client Fee	Minimum
Combination of modern portfolio theory as well as intermediate term trend following, which attempts to be invested in the most productive asset classes, primarily through mutual funds.	220bps	$75,000

► Morningstar Managed Portfolios

Website: www.mp.Morningstar.com Phone: (877) 626-3227

Description	Client Fee	Minimum
Series of managed ETF and Mutual Fund Portfolios with research and management backed by Morningstar.	Generally 140-155bps	$50,000

► Howard Capital Management

Website: www.HowardCM.com Phone: (770) 642-4902 Address: 555 Sun Valley Dr, Suite B-4, Roswell, GA 30076

Description	Client Fee	Minimum
Investment strategies are designed to protect capital in market downturns while seeking to outperform the major indices during market upswings using a combination of strategic and tactical approaches. The "HCM-BuyLine" is used to make the strategic decision whether or not to be in the stock market, when and how much to invest in equities. The manager also offers a 401k subscription service called 401(k) Optimizer.	220bps	None. A maximum one time "set-up" fee of $300 may apply to accounts smaller than $25,000.

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► The Pacific Financial Group

Website: www.tpfg.com Phone: (800) 735-7199 Address: 777 108th Avenue NE, Suite 2100, Bellevue, WA 98004

Description	Client Fee	Minimum
Provides management for VA subaccounts and has the ability to manage pension and 401k assets for thousands of employers. Also offers SMAs and Managed Portfolios on multiple custodial platforms.	150-200bps	$50,000 for SMAs, $10,000 for Managed Portfolios.

► Assetmark

Website: www.Assetmark.com Phone: (800) 664-5345 Address: 1655 Grant Street, 10th Floor, Concord, CA 94520

Description	Client Fee	Minimum
Various platform options including guided portfolios, UMA, single strategy, and privately managed accounts.	145-190bps	$25,000

► Portfolio Strategies

Website: www.portstrat.com Phone: (800) 959-2001 Address: 1724 W Union Avenue, Suite 200, Tacoma, WA 98405

Description	Client Fee	Minimum
Offers a managed alternative to "buy and hold" with client accounts actively managed on a daily basis.	190-296bps	$20,000

Madison Avenue Securities, LLC., ("MAS") A Registered Investment Advisor. Member FINRA & SIPC.

[1] Clearing services provided by Pershing LLC. Pershing LLC, a wholly owned subsidiary of The Bank of New York Mellon Corporation (BNY Mellon). Pershing LLC, member FINRA, NYSE, SIPC.

[2] Brokerage services provided by TD Ameritrade Institutional, Division of TD Ameritrade, Inc., member FINRA/SIPC. TD Ameritrade is a trademark jointly owned by TD Ameritrade IP Company, Inc. and The Toronto- Dominion Bank. Used with permission.

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