Strategy PLUS / Qualified – Paperwork and Process

charles SCHWAB

1. Forms

1. TPFG Investment Management Agreement (IMA)
2. TPFG Risk Profile & Statement of Investment Selection (SIS)
3. Schwab IRA Application
4. Schwab Transfer Form *(if applicable – please Include a statement)*

Optional Forms:

5. Schwab MoneyLink Electronic Funds Transfer Form
6. Schwab IRA Distribution Form

- **If including a check, make payable to: Charles Schwab FBO Client Name.**
- **Send checks directly to Charles Schwab.**

Please send checks to:

Charles Schwab
PO Box 982603
El Paso TX 79998

Overnight Mail:

Charles Schwab
1945 Northwestern Drive
El Paso, TX 79912-1108
(800) 854-5531

2. Submit

Send all forms to The Pacific Financial Group.

Electronically: TeamCS@TPFG.com
OR, Fax: 425-451-7731
OR, Mail: The Pacific Financial Group, Inc.
Attn: Client Services
11624 SE 5th Street, Ste 100,
Bellevue, WA 98005
Phone: 800-735-7199

1. Once TPFG receives the paperwork, TPFG's client services team will send you a confirmation email.
2. If you do not hear from TPFG within 2 weeks after receiving the new account confirmation email, please follow-up with TPFG to be sure everything is in good order.
3. Once the account has been traded, TPFG's client services team will send you a confirmation email.

THE PACIFIC FINANCIAL GROUP