

2009 LEADING PROVIDERS

Wealth Managers

OF THE SOUTHEAST
UNITED STATES

**THE PROVIDERS SELECTED
MEET OR EXCEED THE
CRITERIA BELOW**

Fee-Only or Fee-Based
RIA or IAR
No Unresolved Lawsuits
or Grievances
Advanced
Certifications Held
Comprehensive Range of
Wealth Management &
Financial Planning
Services Offered
10+ Years of Industry
Experience

**Regatta Research and
Money Management, LLC**

2121 North Causeway, Suite 257
New Orleans, LA 70001
504-831-4636 || www.regattaresearch.com
As seen on CNN News, Regatta offers fee-only wealth and active portfolio management services, and CEs for individuals, CPAs & Financial Advisors across the U.S. Founders Eric Greschner & Rudy Blanchard are authors of a new book on Structured Products, "Win in Any Market."

Stearns Financial Services Group

324 West Wendover, Suite 204
Greensboro, NC 27408
336-230-1811 || www.sfsfg.net
SFSG has created a high benchmark for successful wealth management, offering comprehensive financial planning and investment management services to individuals, closely held businesses and foundations.

Peachtree Planning Corporation

5040 Roswell Road
Atlanta, GA 30342
800-366-0839 || www.peachtreeplanning.com
Peachtree Planning, est. 1987, is an award-winning firm, specializing in providing comprehensive risk management and investment solutions to a broad range of individual and business owner clients.

Equitas Capital Advisors, LLC

909 Poydras Street, Suite 1850
New Orleans, LA 70112
504-569-9600 || www.equitas-capital.com
Equitas Capital Advisors is one of the largest investment management consulting firms in the South, serving institutional and high net worth investors for nearly thirty years, blending the resources of a large corporation with the flexibility of a small, independent firm.

FAC Wealth Management, LLC

9015 Strada Stell Court, Suite 104
Naples, FL 34109
239-596-0118 || www.facwealth.com
FAC Wealth Management combines academics with customized solutions to deliver advanced asset and wealth management services.

Linden Thomas and Company

5955 Carnegie Boulevard, Suite 200
Charlotte, NC 28209
877 554 8150 || lindenthomas.com
As independent investment consultants, Linden Thomas & Company maintains the commitment and responsibility to make clients' financial goals the highest priority.

**Financial and Investment
Management Advisors, Inc.**

72096 Ramos Avenue, Suite D
Covington, LA 70433
800-256-5221 || www.fimadvisors.com
Competent, honest, transparent, state of the art, fee-based financial planning & investment management firm providing client-centered wealth management. Their clients' interests always come first!

**Ernest T. George III, CFP®
Wealth Strategies Group, LLC**




102 South Jackson Street
Starkville, MS 39759
662-323-8045 || www.raymondjames.com/erniegeorge
Since 1974, Ernie George has helped families, business owners and retirees achieve financial independence by aligning their financial and life goals through personalized investment planning and risk management.
SECURITIES OFFERED THROUGH RAYMOND JAMES FINANCIAL SERVICES, INC. MEMBER FINRA/SIPC.

**Kathleen S. Parks, CFP®, CRPS
Greenbrier Capital Management, PLLC**

4849 Chambliss Avenue
Knoxville, TN 37919
865-588-0698 || www.grnbr.com
Greenbrier serves as family wealth managers to women with inherited wealth and couples who have grown their financial wealth significantly through the ownership of a business.

St. John & Associates, Inc.

500 Sun Valley Drive, Suites D-3 & D-4
Roswell, GA 30076
770-642-7631 || www.stjohnfinancial.com
St. John & Associates, Inc. is dedicated to the goal of servicing the needs of their clients. They have successfully demonstrated their commitment and competence over the past 20 years through comprehensive and integrated financial services.

 Fourth Year Selected
 Third Year Selected
 Second Year Selected

www.goldlinerresearch.com

415-892-9400

Goldline Research strives to provide objective research on professional services companies. Goldline Research does not provide a ranking of companies and this list should not be construed as a "Top 10" list. Goldline Research's evaluation of wealth managers is not based upon investment performance or client interviews. Fewer than twenty percent of the wealth managers in a given region typically qualify to be included on a list. Although Goldline Research confirms that at the time of initial publication of this list that each company meets the general criteria above, no promise is made that they will continue to do so, or that a particular service provider is appropriate for the reader's individual circumstance. Only you can determine this after your own independent inquiries. Any use of the information provided herein is at your own risk. Goldline Research disclaims all liability or responsibility for injury arising from your utilization of the information contained herein. Companies are listed in no particular order and no comparisons are made between the listed companies and any unlisted companies. Goldline Research is not affiliated with Forbes® magazine.