

EMPLOYER-SPONSORED RETIREMENT PLAN DESIGN SURVEY

An employer-sponsored retirement plan can provide valuable tax and savings benefits to a business and its employees. While every business has its own goals for finding, retaining, and compensating employees, a well-designed retirement plan can help meet these and other goals. We understand that choosing and designing a retirement plan can be a complex undertaking — there are many different types of plans, each with its own advantages and disadvantages. This survey will help us determine if your business could benefit from an employer-sponsored retirement plan, and guide us in recommending a plan that may be a good fit for your business and personal needs.

Part 1 - Business Information

Name of Business: _____

Contact Name: _____

Business Address: _____

Business Telephone: _____ E-Mail: _____

Type of Organization (choose one):

Corporation Sole Proprietor Partnership Subchapter 5 LLC Non-Profit

Part 2 - General Employer Objectives

1. Maximize tax deduction for the business
2. Maximize employer contributions for the owner(s)
3. Maximize income tax deferral for the owner(s)
4. Offer benefits to attract new employees
5. Retain employees/reduce turnover
6. Improve employee loyalty, satisfaction or morale
7. Reward employees for business success/profitability
8. Provide a primary source of retirement income for employees
9. Provide a supplemental source of retirement income for employees

Less Important: Low Priority Somewhat Important: Moderate Priority Very Important: High Priority

Part 3 - Plan Participation Requirements

10. Employees must be age _____ (not > 21) or older to participate in the plan.
11. Employees must have at least _____ (not > than 1 yr.) of employment to participate.
12. Employees must work _____ (not more than 1,000) or more hours annually to participate.

Part 4 - Plan Participation Requirements

13. Allow employee pre-tax contributions up to \$13,500 (16,000 at age 50+)
14. Allow employee pre-tax contributions up to \$19,500 (25,000 at age 50+)
15. Allow owners or other key (highly paid) employees to maximize personal contributions
16. Allow employees to make after-tax (i.e., Roth) contributions

Less Important: Low Priority Somewhat Important: Moderate Priority Very Important: High Priority

Please return the completed form to retire@iisfinancial.com

Visit our Employer Sponsored Retirement Plans page at www.iisfinancial.com/employee-benefits or contact us at the following location for more information about our services.

Thank you.

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