Prometheus Capital Management Corp. - Form CRS 06/24/2020

Item 1 Introduction

Prometheus Capital Management Corp. ("PCMC") is registered with the Securities and Exchange Commission as an Investment Adviser.

Brokerage and investment advisory services and fees differ and it is important for you to understand the differences. The SEC offers free and simple tools to research firms and financial professionals at www.Investor.gov/CRS which also provides information tailored to educate retail investors about financial professionals.

Item 2 Relationships and Services

What investment services and advice can you provide me?
Our firm offers the following principal investment advisory services to retail investors.

Our firm offers non-discretionary services listed below, which means that you make the ultimate decision regarding the purchase or sale of investments.

Individual Portfolio Management: PCMC offers continuous advice to clients regarding the investment of client funds based on the client's goals and objectives. Clients may impose reasonable restrictions.
Pension Consulting Services: We provide pension consulting services that consist of assisting employer plan sponsors in establishing, monitoring and reviewing their company's participant-directed retirement plan.
Financial Planning: We provide a variety of financial planning and consulting services based upon an analysis of the client's current situation, goals, and objectives.
Consulting Services: PCMC provides consulting services to clients who wish to receive advice on a more focused basis, which includes advice on topics such as estate planning, retirement, or any other specific topic.

For additional information, please see Items 4 and 7 of our Form ADV, 2A Brochure (Part 2A) available at www.procap.net or https://www.adviserinfo.sec.gov/IAPD/default.aspx.

Conversation Starters. Ask your financial professional…

- Given my financial situation, should I choose an investment advisory service? Should I choose a brokerage service? Should I choose both types of services? Why or why not?
- How will you choose investments to recommend to me?
- What is your relevant experience, including your licenses, education and other qualifications? What do these qualifications mean?

Item 3 Fees, Costs, Conflicts and Standard of Conduct

Individual Portfolio Management: PCMC's fees for Individual Portfolio Management services are charged based on a percentage of assets under management ranging from 0.70% - 1.75%. Fees are invoiced in arrears at the end of each calendar quarter. Fees are negotiable.
Pension Consulting Services: PCMC's fees for Pension Consulting services are charged based on a percentage of assets under management ranging from 0.70% - 1.75%. Fees are invoiced in arrears at the end of each calendar quarter.
Financial Planning: Our Financial Planning fees are charged at a rate of $275 per hour or on a fixed fee basis from $500 - $10,000. The client is billed in arrears based on hours accrued or plan fee, whichever is less.
Consulting Services: PCMC's consulting services fees are charged at $275 per hour or on a fixed basis from $500 - $10,000. Fees are agreed upon prior to entering into a contract with any client.

Additional Information:
You will pay fees and costs whether you make or lose money on your investments. Fees and costs will reduce any amount of money you make on your investments over time. Please make sure you understand what fees and costs you are paying.

For additional information, please see Item 5 of our Form ADV, 2A Brochure (Part 2A) available at www.procap.net or https://www.adviserinfo.sec.gov/IAPD/default.aspx

Conversation Starter. Ask your financial professional…

- Help me understand how these fees and costs might affect my investments. If I give you $10,000 to invest, how much will go to fees and costs, and how much will be invested for me?

What are your legal obligations to me when acting as my investment adviser? How else does your firm make money and what conflicts of interest do you have?

When we act as your investment adviser, we have to act in your best interest and not put our interest ahead of yours. At the same time, the way we make money creates some conflicts with your interests. You should understand and ask us about these conflicts because they can affect the investment advice we provide you. Here are examples to help you understand what this means.

It is important to understand that the more assets held in a retail client's account, the more the client will pay in fees. We therefore have an incentive to encourage a client to increase the assets in his/her account. In addition, our minimum account size is negotiable under certain circumstances and at our discretion. Should we agree to waive this minimum account size, we would receive less compensation for services provided. We, therefore, have a disincentive to waive this minimum. Management personnel and other related persons of our firm are licensed as registered representatives of a broker-dealer and/or licensed as insurance agents or brokers. This presents a conflict of interest to the extent that these individuals recommend that a client invest in a security which results in a commission being paid to the individuals.

Conversation Starter. Ask your financial professional…

- How might your conflicts of interest affect me, and how will you address them?

For additional information, please see our Form ADV, 2A Brochure (Part 2A) available at www.procap.net or https://www.adviserinfo.sec.gov/IAPD/default.aspx.

How do your financial professionals make money?

Our financial professionals are compensated based on agreed-upon compensation that is based on a percentage of the revenue from the accounts they service and may also receive periodic discretionary bonuses. Financial professionals may earn income from outside businesses (i.e. as a registered representative or independent insurance agent). Such compensation is completely independent from services they provide for Prometheus and no fees are collected from referrals generated between Prometheus and any outside firms. As a client of Prometheus, you are never obligated to utilize these services. For more information, please refer to Item 4,10 and 12 in our firm ADV Part 2a, or inquire with your financial advisor.

Item 4 Disciplinary History

Do you or your financial professionals have legal or disciplinary history?

Our firm has no disciplinary history. Visit www.Investor.gov/CRS for a free and simple search tool to research our firm and our financial professionals.

Conversation Starter. Ask your financial professional…

- As a financial professional, do you have any disciplinary history? For what type of conduct?

Item 5 Additional Information

You can find additional information about our services and request a copy of the relationship summary by visiting www.procap.net; emailing at swetzel@prometheuscapital.net or calling us at 215-321-9312.

Conversation Starter. Ask your financial professional…

- Who is my primary contact person? Is he or she a representative of an investment adviser or a broker-dealer? Who can I talk to if I have concerns about how this person is treating me?