

PERIODIC TABLE OF GLOBAL FIDUCIARY PRACTICES  
*for investment stewards.*

## Organize

1

## Formalize

2

## Implement

3

## Monitor

4

### 1.1

The Investment Steward requires agreements with service providers to be in writing and consistent with fiduciary standards of care.

### 2.1

An investment time horizon has been identified for each investment portfolio.

### 3.1

A reasonable due diligence process is followed to select each service provider in a manner consistent with obligations of care.

### 4.1

Periodic reports are used to compare investment performance against appropriate index, peer group, and investment policy statement objectives.

### 1.2

Investments and investment services under the oversight of the Investment Steward are consistent with applicable governing documents.

### 2.2

An appropriate risk level has been identified for the portfolio.

### 3.2

When statutory or regulatory investment safe harbors are elected, each investment strategy is implemented in compliance with the applicable provisions.

### 4.2

Periodic reviews are made of qualitative and/or organizational changes of Investment Advisors, Investment Managers, and other service providers.

### 1.3

The roles and responsibilities of all involved parties (fiduciaries and non-fiduciaries) are defined and documented.

### 2.3

An expected return to meet each investment objective for the portfolio has been identified.

### 3.3

Decisions regarding investment strategies and types of investments are documented and made in accordance with fiduciary obligations of care.

### 4.3

Control procedures are in place to periodically review policies for trading practices and proxy voting.

### 1.4

The Investment Steward identifies conflicts of interest and addresses conflicts in a manner consistent with the duty of loyalty.

### 2.4

Selected asset classes are consistent with the portfolio's time horizon and risk and return objectives.

### 4.4

Periodic reviews are conducted to ensure that investment-related fees, compensation, and expenses are fair and reasonable for the services provided.

### 1.5

The Investment Steward requires agreements with service providers to be in writing and consistent with fiduciary standards of care.

### 2.5

Selected asset classes are consistent with implementation and monitoring constraints.

### 4.5

There is a process to periodically review the Investment Steward's effectiveness in meeting his or her fiduciary responsibilities.

### 1.6

Portfolio assets are protected from theft and embezzlement.

### 2.6

The investment policy statement contains sufficient detail to define, implement, and monitor the portfolio's investment strategy.

### 2.7

When socially responsible investment strategies are elected, the strategies are structured appropriately.