



DEMPSEY LORD SMITH, LLC
MEMBER FINRA/SIPC

US Patriot Act Requirement

To help the government fight the funding of terrorism and money laundering activities, federal law requires all financial institutions to obtain, verify and record information that identifies each person who opens an account. This means when you open an account, we ask for your name, address, date of birth, and other information that will allow us to identify you. We will request information on your driver's license or other identifying documents.

Securities offered through Dempsey Lord Smith, LLC Member FINRA/SIPC
Advisory services offered through Dempsey Lord Smith, LLC
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