

*This brochure supplement provides information about Lee Eric Kerr that supplements the Crosswalk Investment Advisory, Inc. brochure. You should have received a copy of that brochure. Please contact Lee Eric Kerr if you did not receive Crosswalk Investment Advisory, Inc.'s brochure or if you have any questions about the contents of this supplement.*

*Additional information about Lee Eric Kerr is also available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).*

**Crosswalk Investment Advisory, Inc.**  
Form ADV Part 2B – Individual Disclosure Brochure

*for*

**Lee Eric Kerr**

Personal CRD Number: 1789414  
Investment Adviser Representative

Crosswalk Investment Advisory, Inc.  
108 West Main Street  
Strasburg, PA, 17579  
(717) 295-8881  
[lkerr@crosswalkinvestments.com](mailto:lkerr@crosswalkinvestments.com)

UPDATED: 06/03/2019

## Item 2: Educational Background and Business Experience

**Name:** Lee Eric Kerr                      **Born:** 1960

### **Educational Background and Professional Designations:**

#### **Education:**

B.S. Accountancy, Villanova University - 1981

#### **Business Background:**

06/1999 - Present                      President  
Crosswalk Investment Advisory, Inc.

## Item 3: Disciplinary Information

There are no legal or disciplinary events that are material to a client's or prospective client's evaluation of this advisory business.

## Item 4: Other Business Activities

Lee Eric Kerr provides tax preparation and planning services at Crosswalk Wealth Management Group, LLC. From time to time, he may offer clients advice or products from those activities and clients should be aware that these services may involve a conflict of interest. Crosswalk Investment Advisory, Inc. always acts in the best interest of the client and clients always have the right to decide whether or not to utilize the services of any representative of Crosswalk Investment Advisory, Inc. in such individual's outside capacities.

## Item 5: Additional Compensation

Other than salary, annual bonuses, or regular bonuses, Lee Eric Kerr does not receive any economic benefit from any person, company, or organization, in exchange for providing clients advisory services through Crosswalk Investment Advisory, Inc..

## **Item 6: Supervision**

As the Chief Compliance Officer of Crosswalk Investment Advisory, Inc., Lee Eric Kerr supervises all duties and activities of the firm. Lee Eric Kerr's contact information is on the cover page of this disclosure document. Lee Eric Kerr adheres to applicable regulatory requirements, together with all policies and procedures outlined in the firm's code of ethics and compliance manual.

## Item 7: Requirements For State Registered Advisers

*This disclosure is required by state securities authorities and is provided for your use in evaluating this investment advisor representative's suitability.*

- A. Lee Eric Kerr has not been involved in any of the events listed below.
1. An award or otherwise being found liable in an arbitration claim alleging damages in excess of \$2,500, involving any of the following:
    - a) an investment or an investment-related business or activity;
    - b) fraud, false statement(s), or omissions;
    - c) theft, embezzlement, or other wrongful taking of property;
    - d) bribery, forgery, counterfeiting, or extortion; or
    - e) dishonest, unfair, or unethical practices.
  2. An award or otherwise being found liable in a civil, self-regulatory organization, or administrative proceeding involving any of the following:
    - a) an investment or an investment-related business or activity;
    - b) fraud, false statement(s), or omissions;
    - c) theft, embezzlement, or other wrongful taking of property;
    - d) bribery, forgery, counterfeiting, or extortion; or
    - e) dishonest, unfair, or unethical practices.
- B. Lee Eric Kerr has not been the subject of a bankruptcy petition.

*This brochure supplement provides information about Edward Juris Plakans that supplements the Crosswalk Investment Advisory, Inc. brochure. You should have received a copy of that brochure. Please contact Edward Juris Plakans if you did not receive Crosswalk Investment Advisory, Inc.'s brochure or if you have any questions about the contents of this supplement.*

*Additional information about Edward Juris Plakans is also available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).*

**Crosswalk Investment Advisory, Inc.**  
Form ADV Part 2B – Individual Disclosure Brochure

*for*

**Edward Juris Plakans**  
Personal CRD Number: 2488119  
Investment Adviser Representative

Crosswalk Investment Advisory, Inc.  
108 West Main Street  
Strasburg, PA 17579  
(717) 295-8881  
[eplakans@crosswalkinvestments.com](mailto:eplakans@crosswalkinvestments.com)

UPDATED: 08/26/2020

## Item 2: Educational Background and Business Experience

**Name:** Edward Juris Plakans      **Born:** 1971

### **Educational Background and Professional Designations:**

#### **Education:**

Business Administration Business, Franklin & Marshall College - 1993

#### **Business Background:**

09/1999 - Present      Financial Advisor  
Crosswalk Investment Advisory, Inc.

## Item 3: Disciplinary Information

There are no legal or disciplinary events that are material to a client's or prospective client's evaluation of this advisory business.

## Item 4: Other Business Activities

Edward Juris Plakans is a licensed insurance agent. From time to time, he will offer clients advice or products from this activity. Clients should be aware that these services pay a commission and involve a possible conflict of interest, as commissionable products can conflict with the fiduciary duties of a registered investment adviser. Crosswalk Investment Advisory, Inc. always acts in the best interest of the client; including in the sale of commissionable products to advisory clients. Clients are in no way required to implement the plan through any representative of Crosswalk Investment Advisory, Inc. in their capacity as a licensed insurance agent.

### **Item 5: Additional Compensation**

Edward Juris Plakans does not receive any economic benefit from any person, company, or organization, other than Crosswalk Investment Advisory, Inc. in exchange for providing clients advisory services through Crosswalk Investment Advisory, Inc..

### **Item 6: Supervision**

As a representative of Crosswalk Investment Advisory, Inc., Edward Juris Plakans is supervised by Lee Kerr, the firm's Chief Compliance Officer. Lee Kerr is responsible for ensuring that Edward Juris Plakans adheres to all required regulations regarding the activities of an Investment Adviser Representative, as well as all policies and procedures outlined in the firm's Code of Ethics and compliance manual. The phone number for Lee Kerr is (717) 295-8881.

## Item 7: Requirements For State Registered Advisers

*This disclosure is required by state securities authorities and is provided for your use in evaluating this investment advisor representative's suitability.*

- A. Edward Juris Plakans has NOT been involved in any of the events listed below.
1. An award or otherwise being found liable in an arbitration claim alleging damages in excess of \$2,500, involving any of the following:
    - a) an investment or an investment-related business or activity;
    - b) fraud, false statement(s), or omissions;
    - c) theft, embezzlement, or other wrongful taking of property;
    - d) bribery, forgery, counterfeiting, or extortion; or
    - e) dishonest, unfair, or unethical practices.
  2. An award or otherwise being found liable in a civil, self-regulatory organization, or administrative proceeding involving any of the following:
    - a) an investment or an investment-related business or activity;
    - b) fraud, false statement(s), or omissions;
    - c) theft, embezzlement, or other wrongful taking of property;
    - d) bribery, forgery, counterfeiting, or extortion; or
    - e) dishonest, unfair, or unethical practices.
- B. Edward Juris Plakans has NOT been the subject of a bankruptcy.